

Research Paper



The probability of Lymph node metastasis with a tumor size larger than and smaller than 4 cm is different in stages T1-T3 of Siewert type II adenocarcinoma of esophagogastric junction: A Population-Based Study

Huolun Feng^{1,2#}, Jiabin Zheng^{1#}, Chengbin Zheng^{1#}, Zhenru Deng^{1#}, Qianchao Liao^{1#}, Junjiang Wang^{1,2⊠}, Yong Li^{1,2⊠}

1. Department of gastrointestinal surgery, Guangdong Provincial People's Hospital; Guangdong Academy of Medical Sciences, Guangzhou 510080, Guangdong, P. R. China.

2. The Second School of Clinical Medicine, Southern Medical University, Guangzhou 510515, Guangdong, P. R. China.

#These authors contributed equally to this work.

🖂 Corresponding authors: Junjiang Wang, MD, PhD, E-mail: sywangjunjiang@scut.edu.cn and/or Yong Li, MD, PhD, E-mail: liyong@gdph.org.cn; Department of General Surgery, Guangdong Provincial People's Hospital; Guangdong Academy of Medical Sciences, Guangzhou 510080, P.R. China.

© The author(s). This is an open access article distributed under the terms of the Creative Commons Attribution License (https://creativecommons.org/licenses/by/4.0/). See http://ivyspring.com/terms for full terms and conditions.

Received: 2021.06.01; Accepted: 2021.09.12; Published: 2021.09.27

Abstract

Background: In adenocarcinoma of esophagogastric junction (AEG), the relationship between tumor size (TS) and lymph node metastasis (LNM) is unclear. This study aimed to explore the relationship between TS and LNM, and to construct a prediction model for LNM.

Materials and Methods: Data from 4649 Siewert type II AEG patients were retrospectively acquired from the Surveillance, Epidemiology, and End Result (SEER) database. TS data was analyzed as a continuous variable, but also divided into 1-cm-interval categorical groups for further analysis. The logistic regression model and restricted cubic spline (RCS) model was used to explore the relationship between TS and LNM, after adjusting for covariates. Internal validations as well as external validation (Single-Center data) were used to check our LNM prediction model.

Results: TS and LNM showed a significant relationship in the logistic regression analysis, regardless of the TS data being entered as a continuous or a categorical variable, after adjusting for covariates. The logistic regression model and RCS consistently showed that larger TS resulted in larger Odds Ratio (OR) values. When tumors were larger than 4 cm, the OR value remained relatively constant. The receiver operator characteristic curve evaluated the nomogram by the area under the curve (AUC) (AUC=0.737, in internal validation; AUC=0.626, in external validation), and the calibration curve of the nomogram showed an improved prediction system.

Conclusions: In Siewert type II T1-T3 stage AEG patients, we reported that LNM increased with TS up to 4-cm, and our nomogram provided a simple tool to predict LNM.

Key words: lymph node metastasis, tumor size, adenocarcinoma of esophagogastric junction, SEER, nomogram

Introduction

Recently, the incidence of adenocarcinoma of esophagogastric junction (AEG) has increased [1–4], and research into AEG has therefore received more and more attention. AEG is defined as a tumor with an epicenter within 5 cm of the esophagogastric junction. The Siewert classification, universally accepted by many experts, divides AEG into 3 types depending on the location of the epicenter of the tumor. Siewert type II AEG includes tumors located from 1 cm above to 2 cm below the esophagogastric junction, and is often defined as a true tumor of the cardia [5, 6]. In the eight edition American Joint Committee on Cancer (AJCC) cancer staging manual, Siewert type III was reclassified from the esophageal cancer staging system to the gastric cancer staging system. Siewert type II AEG on the other hand, still uses the esophageal cancer staging system where tumor size (TS) classification is neglected.

As the esophageal cancer staging system to predict Siewert type II AEG prognosis is not exact, there is much debate surrounding it [7-9]. There were concerns over the low accuracy of LNM (lymph node metastasis) diagnosis, and inadequate factors included in the current staging system. The node (N) staging of AEG relies on the number of lymph node metastatic. Preoperative diagnosis of lymph node mainly relies on CT, endoscopic metastasis ultrasound, and MRI, which are mainly based on the size of the lymph nodes [9-12]. The diagnostic accuracy of LNM prediction using these methods is not particularly high as the size of the lymph nodes evaluation is greatly affected by others factors, and thus is largely dependent on the physician's evaluation. Pathological diagnosis is the gold standard for judging LNM [13]. However, Pathological diagnoses are easily affected by surgical approach, surgical method, lymph node dissection, and other procedures. In Siewert type II and III early AEG, studies have shown that TS is related to LNM. However, within the T1-T3 stages of Siewert type II AEG the relationship between TS and LNM has not yet been described. Additionally, TS is undervalued as a prognostic tool.

Therefore, in this study we illustrated the relation between TS and LNM, and constructed LNM prediction model that can be applied in clinical practice.

Materials and Methods

Data were collected using the SEER*Stat software (Version 8.3.2) from Surveillance, Epidemiology, and End Result (SEER) database (2002-2016). Eligibility criterion was as follows: (1) patient diagnosed with Siewert type II AEG at 20 years old or after, (2) T stage was T1-T3 with a TS less than 30 cm, (3) metastasis (M) stage was M0, (4) patient underwent radical surgery, postoperative survival time was more than two months, and complete follow-up data was accessible, (5) included variables were also complete and accessible. SEER database selected tumor site ICD-O-3 code 160 and "CS site-specific factor 25". Histology type coding were 8140-8147, 8160-8162, 8180-8221, 8250-8507, 8514-8551, 8571-8574, 8576, and 8940-8941 [14, 15]. Single-Center data were selected to validate a LNM prediction model which was based on SEER data (the selection criteria are close to the above). The SEER

database is a public database, so institutional ethical approval and informed consent were not required. In the single-center cohort data (Guangdong Provincial People's Hospital), all patients signed the preoperative informed consent, patients' identification information had been removed, institutional review board approval was not required.

Data selection included age, gender, race, grade, T stage, N stage, TS, examined lymph node, survival time, and survival condition. TS was grouped at 1 cm intervals [16, 17]. When larger than 7 cm, tumors were grouped together due to the small number of samples and high positive rate of lymph nodes. TS ranges were divided into the following subgroups: 1 (0-1 cm), 2 (1.1-2 cm), 3 (2.1-3 cm), 4 (3.1-4 cm), 5 (4.1-5 cm), 6 (5.1-6 cm), 7 (6.1-7 cm), and 8 (\geq 7.1 cm). Additionally, TS as a raw continuous variable was also analyzed.

Statistical analysis

R software (version 3.61) was used for statistical analysis. Baseline characteristics were showed. Continuous variables are expressed as the median [IQR] and categorical variables were reported as frequencies with percentages. A χ^2 test or Fisher test was used to compare categorical data. A Cox regression model was applied to survival difference within TS groups after adjustment other confounders. A logistic regression model was used to explore the relationship between TS and LNM. Both logistic regression and stepwise regression methods were used to construct the nomogram. The receiver operator characteristic (ROC) curve validated the discrimination power of the nomogram, and the calibration curve illustrated the prediction. All statistical tests were bilateral, and P value with less than 0.05 was considered statistically significant.

Scatter plots of TS and LNM percentages were produced. In clinical data, examined lymph node affected LNM. To exclude this bias, we constructed two logistic regression models. One model adjusted examined lymph node and other covariates to only illustrate the relationship between TS and LNM, whether TS be a continuous or categorical variable. The other model adjusted all covariates except examined lymph node in order to construct a nomogram which predicted LNM solely through preoperative variables. When evaluating TS as categorical variable, we constructed a scatter plot of TS and Odds Ratio (OR) values, and when evaluating as a continuous variable, we used a restricted cubic spline (RCS) model. Internal validations as well as external validation were used to check our LNM prediction model. Subgroups were analyzed to rule out bias from less than 15 lymph nodes retrieval resulting that their node stage were not accuracy.

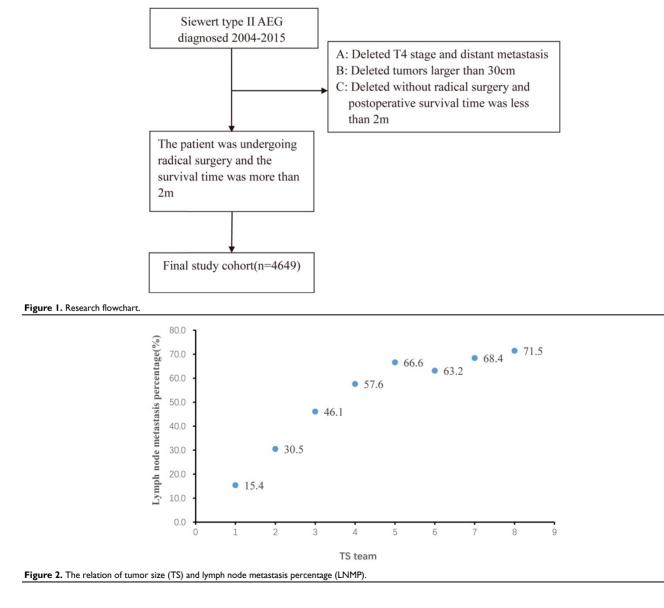
Subgroup analysis was comparison of ROC curves corresponding to logistic models that adjustment for all confounding variables including or excluding lymph nodes retrieval was analyzed simultaneously.

Results

In the patient selection process, as illustrated in Figure 1, a total of 4649 patients were selected for the final study cohort. The median survival time was 39.0 months with a range of 36.6-41.4 months. The median follow-up time was 79.0 months with a range of 76.0 to 80.2 months, and the 5-year survival rate was 40.3%. Missing cases were removed from our study. The final baseline table is displayed (Table 1). The median examined lymph node was 15.

Among all patients included in this study, TS ranged from 0.1 cm to 30 cm, with a median of 3.6 cm. Lymph node metastasis percentage (LNMP) increased

with greater TS (Figure 2), LNMP was 51.7% in overall patients. However, we found that LNMP in group 5 was 66.6%, LNMP in group 6 was 63.2%, and LNMP in group 7 was 68.4%, therefore this relationship is only present up to a TS of 4 cm, that of group 5. Beyond 4 cm, there was a weak relationship between TS and LNMP. The adjusted logistic regression, including examined lymph node, also showed that larger TS coincided with greater OR values, up to a TS of 4 cm (Table 2). The scatter plot showing the relationship between LNM and OR values, and the relationship of TS, as continuous variables, and OR values, as examined by the RCS model, are clearly depicted (Figure 3A; Figure 3C). In Table 2, association of TS with overall survival was statistically significant after controlling confounders. P for trend also indicated that in each group of TS were differences of LNM between them.



Variable	Total (%)	NLNM (%)	LNM (%)	р	LNMP	mELNc [IQR]	mPLNc [IQR]	mLNRc [IQR]
Fotal	4649	2246	2403			15 [9, 22]	1 [0, 3]	0.04 [0.00, 0.24]
Race				0.011				
Vhite	4093 (88.0)	2000 (89.0)	2093 (87.1)		51.1	15 [9, 22]	1 [0, 3]	0.03 [0.00, 0.23]
Black	209 (4.5)	80 (3.6)	129 (5.4)		61.7	16 [10, 25]	1 [0, 5]	0.09 [0.00, 0.35]
Other	347 (7.5)	166 (7.4)	181 (7.5)		52.2	16 [10, 24]	1 [0, 4]	0.04 [0.00, 0.25]
ex				0.011				
/lale	3738 (80.4)	1771 (78.9)	1967 (81.9)		52.6	15 [9, 22]	1 [0, 3]	0.04 [0.00, 0.25]
emale	911 (19.6)	475 (21.1)	436 (18.1)		47.9	15 [9, 23]	0 [0, 3]	0.00 [0.00, 0.21]
Grade				< 0.001				
51	292 (6.3)	229 (10.2)	63 (2.6)		21.6	14 [7.75, 20]	0 [0, 0]	0.00 [0.00, 0.00]
52	1727 (37.1)	996 (44.3)	731 (30.4)		42.3	15 [9, 22]	0 [0, 2]	0.00 [0.00, 0.15]
G (3+4)	2630 (56.6)	1021 (45.5)	1609 (67.0)		61.2	15 [10, 23]	1 [0, 4]	0.08 [0.00, 0.33]
S team				< 0.001				
(0-1 cm)	369 (7.9)	312 (13.9)	57 (2.4)		15.4	12 [8, 19]	0 [0, 0]	0.00 [0.00, 0.00]
(1.1-2 cm)	724 (15.6)	503 (22.4)	221 (9.2)		30.5	13 [7, 20]	0 [0, 1]	0.00 [0.00, 0.07]
(2.1-3 cm)	880 (18.9)	474 (21.1)	406 (16.9)		46.1	15 [9, 21]	0 [0, 2]	0.00 [0.00, 0.14]
(3.1-4 cm)	819 (17.6)	347 (15.4)	472 (19.6)		57.6	15 [10, 22]	1 [0, 3]	0.07 [0.00, 0.25]
(4.1-5 cm	665 (14.3)	222 (9.9)	443 (18.4)		66.6	15 [10, 24]	2 [0, 5]	0.12 [0.00, 0.34]
(5.1-6 cm)	467 (10.0)	172 (7.7)	295 (12.3)		63.2	16 [11, 23]	2 [0, 5]	0.10 [0.00, 0.34]
' (6.1-7 cm)	301 (6.5)	95 (4.2)	206 (8.6)		68.4	17 [11, 26]	2 [0, 7]	0.14 [0.00, 0.41]
(≥7.1 cm)	424 (9.1)	121 (5.4)	303 (12.6)		71.5	19 [12, 26]	3 [0, 7]	0.17 [0.00, 0.50]
stage				< 0.001				
.1	1076 (23.1)	852 (37.9)	224 (9.3)		20.8	14 [8, 21]	0 [0, 0]	0.00 [0.00, 0.00]
2	1633 (35.1)	642 (28.6)	991 (41.2)		60.7	15 [9, 22]	1 [0, 4]	0.08 [0.00, 0.30]
3	1940 (41.7)	752 (33.5)	1188 (49.4)		61.2	16 [10, 23]	1 [0, 4]	0.08 [0.00, 0.32]
Age (Year)	. ,			< 0.001		-		-
0-40	98 (2.1)	34 (1.5)	64 (2.7)		65.3	17 [10, 24]	2 [0, 7]	0.12 [0.00, 0.36]
1-50	336 (7.2)	134 (6.0)	202 (8.4)		60.1	15 [10, 24]	1 [0, 4]	0.09 [0.00, 0.27]
1-60	998 (21.5)	455 (20.3)	543 (22.6)		54.4	15 [10, 22]	1 [0, 4]	0.05 [0.00, 0.28]
1-70	1546 (33.3)	780 (34.7)	766 (31.9)		49.5	15 [10, 22]	0 [0, 3]	0.00 [0.00, 0.21]
1-80	1299 (27.9)	666 (29.7)	633 (26.3)		48.7	15 [9, 23]	0 [0, 3]	0.00 [0.00, 0.20]
81	372 (8.0)	177 (7.9)	195 (8.1)		52.4	14 [8, 22]	1 [0, 3]	0.04 [0.00, 0.25]

TS team, tumor size team; NLNM: no lymph node metastasis; LNM, lymph node metastasis; p, p-value; LNMP, Lymph node metastasis percentage (LNMP (%) = LNM/total LNM*100%); IQR=interquartile range;

mELNc, median examined lymph node count (ELN was defined as the number of examined lymph node);

mPLNc, meidan positive lymph node count (PLN was defined as the number of positive lymph node);

mLNRc, median lymph nodes ratio count (LNR was defined as the ratio between the number of PLN and the total number of ELN).

Table 2. Lymph node metastasis or overall	l survival versus tumor size
---	------------------------------

Variable	Model ^a		Model ^b	Model ^b		Model	
	HR (95%CI)	P value	OR (95%CI)	P value	OR (95%CI)	P value	
TS team ^a							
1 (0-1 cm)	1		1		1		
2 (1.1-2 cm)	1.148 (0.940-1.401)	0.176	1.645 (1.174-2.330)	0.004	1.660 (1.183-2.355)	0.004	
3 (2.1-3 cm)	1.184 (0.972-1.441)	0.094	2.552 (1.840-3.582)	0.001	2.522 (1.815-3.548)	0.001	
4 (3.1-4 cm)	1.378 (1.127-1.685)	0.001	3.544 (2.541-5.004)	0.001	3.480 (2.490-4.921)	0.001	
5 (4.1-5 cm)	1.432 (1.165-1.760)	0.001	4.692 (3.325-6.700)	0.001	4.585 (3.243-6.560)	0.001	
6 (5.1-6 cm)	1.429 (1.153-1.770)	0.001	4.263 (2.979-6.169)	0.001	4.095 (2.856-5.937)	0.001	
7 (6.1-7 cm)	1.686 (1.343-2.116)	0.001	5.086 (3.437-7.612)	0.001	4.798 (3.234-7.198)	0.001	
8 (≥7.1 cm)	1.522 (1.224-1.892)	0.001	5.481 (3.776-8.046)	0.001	5.139 (3.532-7.560)	0.001	
P for trend				0.001		0.001	
Tumor size ^b	1.040 (1.026-1.054)	0.001	1.163 (1.129-1.199)	0.001	1.152 (1.118-1.188)	0.001	

Model³: Multivariable Cox regression model for adjustment examined lymph node, age, sex, race, T stage and grade.

Model^b: Multivariable logistic regression model for adjustment age, sex, race, T stage and grade.

Models: Multivariable logistic regression model for adjustment examined lymph node, age, sex, race, T stage and grade.

TS team^a: Tumor size as categorical variable. Tumor size^b: Tumor size as continuous variable.

The adjusted logistic regression, excluding examined lymph node, produced similar results as the regression including examined lymph node (Figure 3B). Meanwhile, TS as continuous variable, following adjustment excluding examined lymph node, also showed parallel findings (Figure 3D). In subgroup analysis, different ROC curve was near each other (overall: including lymph nodes retrieval, AUC= 0.742, excluding examined lymph node, AUC=0.737; less than 15 lymph nodes retrieval: including lymph nodes retrieval, AUC= 0.748, excluding examined lymph node, AUC=0.739; more than 15 lymph nodes retrieval: including lymph nodes retrieval, AUC= 0.733, excluding examined lymph node, AUC=0.733). Combined with this result, we can basically reduce bias due to insufficient examined lymph node.

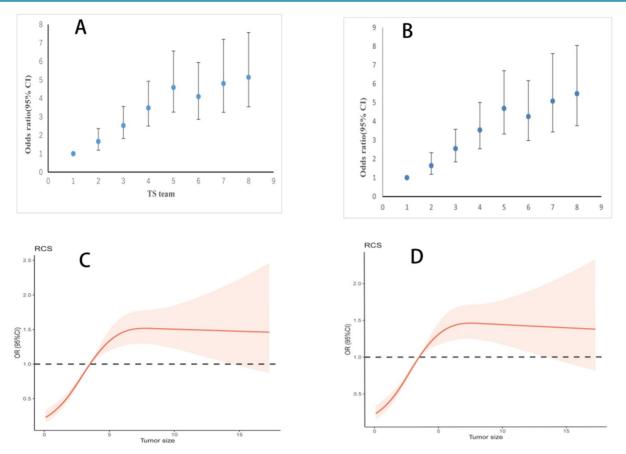


Figure 3. The relation of tumor size (TS) and lymph node metastasis (LNM) after adjustment for covariates. A. Odds ratio (95% CI) for LNM at different TS in logistic models after adjustment. Adjusted examined lymph node, age, sex, race, T stage and grade. B. Odds ratio (95% CI) for LNM at different TS in logistic models after adjustment. Adjusted age, sex, race, T stage and grade. B. Odds ratio (95% CI) for LNM at different TS in logistic models after adjustment. Adjusted age, sex, race, T stage and grade. TS was as continuous variable. D. Association of TS with LNM in logistics models after adjustment (4 cm reference, P for overall < 0.001, P for non-linearity < 0.001). Adjusted examined lymph node, age, sex, race, T stage and grade. TS was as continuous variable. D. Association of TS with LNM in logistics models with RCS after adjustment (4 cm reference, P for overall < 0.001, P for non-linearity < 0.001). Adjusted age, sex, race, T stage and grade. TS was as continuous variable. D. Association of TS with LNM in logistics models with RCS after adjustment (4 cm reference, P for overall < 0.001, P for non-linearity < 0.001). Adjusted age, sex, race, T stage and grade. TS was as continuous variable.

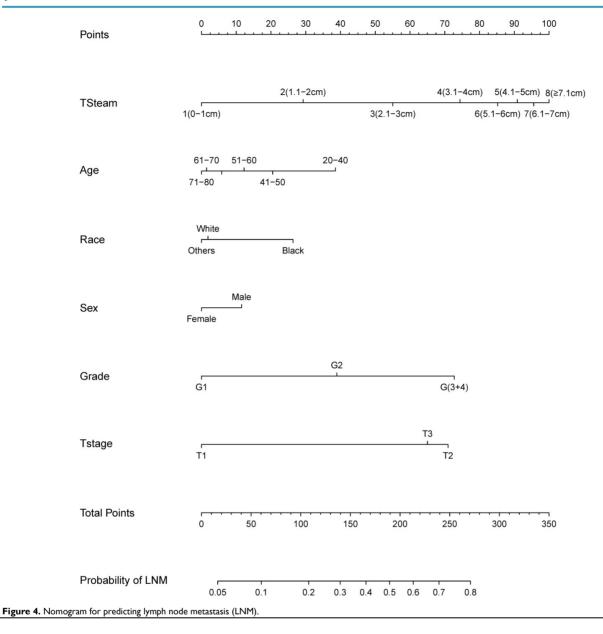
Based on the logistic regression model, we constructed a nomogram to predict LNM (Figure 4). The nomogram showed that TS had the largest effect on LNM, followed by T stage, grade, age, gender, and ethnicity. Each variable on the nomogram corresponds to a particular scale, represented by a specific point value. By calculating the sum of the scores of each variable and the corresponding scale, the probability of LNM can be calculated. The ROC curve (AUC = 0.737) and the calibration curve indicated that the nomogram prediction was accuracy (Figure 5A; Figure 5C).

A total of 109 patients in our center' data was involved to only test the LNM prediction model, and LNMP was 71.6%. The baseline characteristics were showed in Table 3. Due to limited information, some variables were combined and displayed. In our center's data, the ROC curve (AUC = 0.613) showed the well discrimination performance of LNM prediction model, and the calibration curves in the single-center data show a near predicted trend (Figure 5B; Figure 5D).

Variable	Cases	Percent (%)	LNMP (%)
Sex			
Male	84	77.1	70.2
Female	25	22.9	76
Grade			
G (1+2)	77	70.6	64.9
G (3+4)	32	29.4	87.5
TS team			
1 (0-1 cm)	5	4.6	0
2 (1.1-2 cm)	7	6.4	57.1
3 (2.1-3 cm)	25	22.9	64
4 (3.1-4 cm)	30	27.5	76.7
5 (4.1-5 cm)	19	17.4	73.7
6 (5.1-6 cm)	12	11	91.7
7 (6.1-7 cm)	5	4.6	100
8 (≥7.1 cm)	6	5.5	83.3
T stage			
T1	9	8.3	33.3
T2	18	16.5	33.3
T3	82	75.2	84.1
Age (Year)			
20-60	40	36.8	92.5
61-70	41	37.6	58.5
71-80	24	22	58.3
≥81	4	3.7	75

LNMP, Lymph node metastasis percentage;

TS team, tumor size team.



Discussion

With rising incidence rates, AEG is gradually gaining recognition [1, 3]. The Japanese gastric cancer guide regards 4 cm as the threshold for the esophagogastric junction (EGJ) tumors to suffice direct surgical treatment, mainly based on the relationship between TS and LNM. This is the fact that when TS is larger than 4 cm, the EGJ line is unrecognizable. The larger TS had a greater the probability of LNM. This relationship has been confirmed in early-stage AEG [18]. However, for T1-T3 stages, the relationship between TS and LNM in Siewert type II AEG was unknown. To our knowledge, this study is to define the relationship between the TS and LNM in T1-T3 stage Siewert type II AEG, based on SEER data.

TS is a risk factor that has clearly been shown to greatly affect the prognosis and recurrence of liver cancer, thyroid cancer, breast cancer, lung cancer, and others [19-23]. In gastric cancer, TS was not only related to prognosis, but also LNM and the depth of invasion, which provides a reference to guide and narrow down treatment options [16, 17]. This indicates that TS is of great significance when guiding clinical diagnoses and treatments in various cancers, however, regrettably, there are a limited number of studies that have illustrated the relationship between the TS and LNM, prognosis, and treatment methods, in AEG. Fang et al. [24] retrospectively analyzed the pathological data of 180 Siewert type II and III patients with AEG. Their results found that TS was an independent risk factor, and they believed that larger TS worsened prognosis due to the greater likelihood of a deeper tumor invasion. In the study, TS was grouped as 5 cm without explanation, and thus the basis for this grouping was ambiguous. Hoshino et al [25] retrospectively analyzed 48 patients with Siewert type II EGJ cancers and found that the EGJ line could not be clearly distinguished with a TS greater than 4 cm. Moreover, their results showed that in patients with TS greater than 4 cm, high lymph metastasis rates (68.8% vs 43.8%) were present, which is consistent with our findings where LNM rates exceed 50% when TS was greater than 4 cm. However, this study once again did not clarify whether TS was correlated to LNM. Regardless of the relationship between the TS and LNM or prognosis, some studies [24, 25] found a cutoff value through the ROC curve or the median. Although, examining this relationship when using the continuous variable of TS is linear by default without considering non-linear relationships, and therefore the cutoff values do not truly reflect the relationship between the TS and LNM or prognosis.

In our study, TS was grouped in 1-cm intervals [17, 26], which can more accurately reflect the

relationship between TS and LNM. With an interval less than 1 cm, not only is there an increased chance of measurement error, but there is also substantially less clinical application. The use of a large sample size enabled our study to detect that LNM rates increased with tumor size, up to 4 cm. Like findings reported in gastric and esophageal cancers, we reported a strong relationship between the TS and LNM in Siewert type II AEG, deduced from the relationship between the OR values and LNM [13, 17, 18, 27] (Figure 2; Figure 3). LNMP in group 6 was 63.2%, lower than that of the two groups with tumors smaller as well as greater than 5.1 to 6 cm. A possible reason for this is that when TS was larger than 4 cm, its effect on LNM was already at a high level and tended to be stable from this level onwards. Secondly, when esophageal invasion increased beyond 4 cm, mediastinal LNM may have increased instead. We suggested that in Siewert type II AEG tumors larger than 4 cm, the LNM pattern changed from an abdominal LNM to a mediastinal LNM. Due to different LNM patterns, the

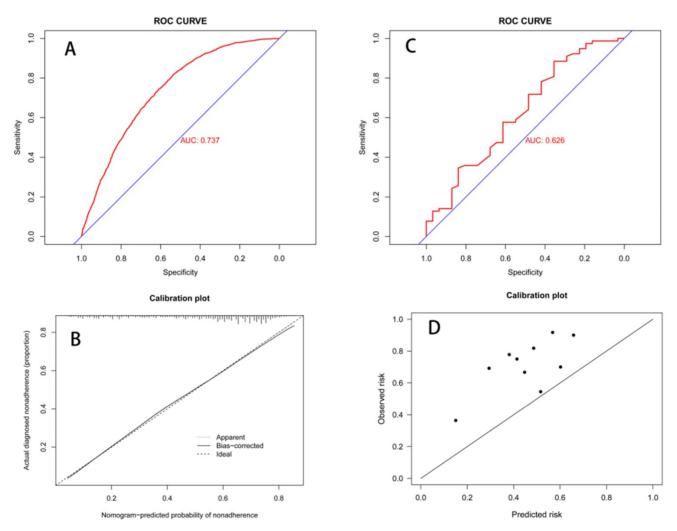


Figure 5. A. Receiver operating characteristic (ROC) curves for the nomogram in SEER data (AUC = 0.737). B. Calibration plot for the nomogram in SEER data by Bootstrap method. C. ROC curves for the nomogram in Single-Center data (AUC = 0.626). D. Calibration plot for the nomogram in Single-Center data.

examined lymph node was considered to mainly mediastinal, and acted in different surgeon. TS, when examined as a continuous variable, had similar results, further proving this relation. When smaller than 4 cm, increasing TS was strongly related to LNM, and beyond this cutoff, the LNM did not increase further. Having illustrated this relationship between TS and LNM, TS could now be used to guide D2 lymphadenectomy and improve the accuracy of the clinical N stage.

The TNM stage of AEG has been changed in the eighth edition AJCC cancer staging manual. Siewert type II was classified used the staging of esophageal cancer, however, TS is not included in this staging, or even in the staging of gastric cancer [6-8]. Some studies [16, 17, 27-29] have already shown that incorporating TS into the TNM staging of gastric cancer can improve the discrimination of the staging system. These studies reported a relationship between the TS and LNM, which supports our construction of a nomogram to predict LNM from TS. Due to the high the risk of LNM in AEG patients, we suggest that the role of TS should not be ignored and instead can be of great value during the assessment of tumors and when designing treatment strategies. TNM staging could greatly benefit from including TS to further improve the predictive ability and clinical utility. In our study, T4 stage Siewert type II AEG was excluded. In the esophageal TNM staging system, T4 tumors are defined as tumors that have broken through the fibrous membrane, while in the gastric cancer TNM staging system, it is defined as tumors that have broken through the serosa. We used the esophageal cancer staging system. Based on the gastric TNM staging system, if the tumor had broken through the serosa, it would be very difficult to define the T stage. Furthermore, there is already a high degree of lymph node tumors in T4 stage Siewert type II AEG.

A reliable nomogram would greatly improve the accuracy of N staging, allow for a more accurate prognosis prediction, and guide intraoperative treatments. Analysis using ROC and the calibration curve showed an improved prediction system of our nomogram. However, if the data incorporated in this study had obtained more accurate values of tumor invasion depth, differentiation degree, and TS before surgery, the clinical application value of our nomogram would have been greatly improved. At present, computed tomography and endoscopic ultrasound are commonly used to diagnose LNM prior to surgery. However, the sensitivity of computed tomography is low, and endoscopic ultrasound is not useful for distant lymph nodes. Furthermore, preoperative examination surveys lymph nodes based on lymph node size, which is in

fact interfered from adhesion of the lymph node to surrounding tissues and the degree of inflammation [10, 11, 30]. In general, clinical N staging currently lacks a more effective and practical detection method. Easily obtained data was used to build a LNM prediction model, and its accuracy was not affected by inflammatory status or lymph node size. As exact TS can be detected by endoscopic ultrasound imaging, it is unaffected by local inflammation and surrounding tissues. Many studies [11, 31, 32] have shown that endoscopic ultrasound has an advantage in diagnosing the depth of Siewert type II AEG invasion, however, this method cannot distinguish between T1a and T1b stages. This accuracy is clearly enough to identify the depth of Siewert type II AEG invasion.

Patients commonly undergo routine endoscopic biopsy to confirm the tumor and grade of the tumor. However, it is entirely possible to obtain tumor invasion depth, grade, and TS data before surgery, which allows for the incorporation of this nomogram to predict LNM, and further improve the predictive accuracy of LNM by imaging examination. Combined with LNM prediction, neoadjuvant therapy should be performed before surgery, and lymph nodes should be actively cleaned during surgery. We recommend that routine endoscopic ultrasound and biopsy should be performed before surgery, so that TS can be effectively predicted before surgery to improve the prognosis of patients.

A limitation of this study is that it solely elaborated on the relationship between TS and lymph nodes, and the prediction model was based on postoperative pathological data and therefore more studies combined preoperative data to further validate our predictive model. Secondly, we were unable to gain preoperative neoadjuvant therapy as suggested, as this study was retrospective and obtained from public data records. However, this did not affect our ability to generate a prediction model of LNM which can guide the subsequent treatment patients, because preoperative treatment affected TS as well as LNM. Lastly, in this study we only explored the effect of TS on LNM and did not combine TNM stage with TS. Therefore, multicenter data studies are needed to validate the results of this study.

Conclusion

We found that LNM increased with larger TS up to 4 cm in stage T1-T3 Siewert type II AEG patients. The Nomogram presented in this paper provides a simple tool for predicting LNM.

Acknowledgements

Funding

This study was funded by Guangdong Provincial People's Hospital, "Guangdong Outstanding Young Medical Talent", for individualized precision treatment of junction adenocarcinoma esophagogastric of (KJ012019439) and was supported by Science and Technology Program Guangzhou, (NO: 201904010020).

Ethics approval and consent to participate

The SEER data did not require institutional ethical support or informed consent to access its free public database. In the single-center cohort data, all patients signed the preoperative informed consent, patients' identification information had been removed, institutional review board approval was not required.

Author Contributions

All authors have helped in performing this research:

- **Conception and design:** Huolun Feng, Jiabin Zheng, Junjiang Wang, Yong Li;
- Collection and assembly of data: Huolun Feng, Jiabin Zheng, Chengbin Zheng, Junjiang Wang;
- Data analysis and interpretation: Huolun Feng, Jiabin Zheng, Junjiang Wang;
- Manuscript writing: All authors;
- Final approval of the manuscript: All authors.

Availability of data and materials

The datasets generated and analyzed during the current study are not publicly available as the data are being used in next study, but are available from the corresponding author on reasonable request.

Competing Interests

The authors have declared that no competing interest exists.

References

- Brown LM, Devesa SS. Epidemiologic trends in esophageal and gastric cancer in the United States. Surg Oncol Clin N Am. 2002;11(2):235-56.
- Brown LM, Devesa SS, Chow WH. Incidence of adenocarcinoma of the esophagus among white Americans by sex, stage, and age. J Natl Cancer Inst. 2008;100(16):1184-7.
- Dikken JL, Lemmens VE, Wouters MW, et al. Increased incidence and survival for oesophageal cancer but not for gastric cardia cancer in the Netherlands. Eur J Cancer. 2012;48(11):1624-32.
- Zhao J, Zhao J, Du F, et al. Cardia and Non-Cardia Gastric Cancer Have Similar Stage-for-Stage Prognoses After R0 Resection: a Large-Scale, Multicenter Study in China. J Gastrointest Surg. 2016;20(4):700-7.
- Siewert JR, Stein HJ. Classification of adenocarcinoma of the oesophagogastric junction. Br J Surg. 1998;85(11):1457-9.

- Rice TW, Gress DM, Patil DT, Hofstetter WL, Kelsen DP, Blackstone EH. Cancer of the esophagus and esophagogastric junction-Major changes in the American Joint Committee on Cancer eighth edition cancer staging manual. CA Cancer J Clin. 2017;67(4):304-17.
- Suh YS, Han DS, Kong SH, et al. Should adenocarcinoma of the esophagogastric junction be classified as esophageal cancer? A comparative analysis according to the seventh AJCC TNM classification. Ann Surg. 2012;255(5):908-15.
- Liu K, Feng F, Chen XZ, et al. Comparison between gastric and esophageal classification system among adenocarcinomas of esophagogastric junction according to AJCC 8th edition: a retrospective observational study from two high-volume institutions in China. Gastric Cancer. 2019;22(3):506-17.
- Karstens KF, Ghadban T, Sawez S, et al. Comparison of the 8th UICC staging system for esophageal and gastric cancers in Siewert type II junctional adenocarcinomas. Eur J Surg Oncol. 2020;46(4 Pt A):638-43.
- Heeren PA, van Westreenen HL, Geersing GJ, van Dullemen HM, Plukker JT. Influence of tumor characteristics on the accuracy of endoscopic ultrasonography in staging cancer of the esophagus and esophagogastric junction. Endoscopy. 2004;36(11):966-71.
- Barbour AP, Rizk NP, Gerdes H, et al. Endoscopic ultrasound predicts outcomes for patients with adenocarcinoma of the gastroesophageal junction. J Am Coll Surg. 2007;205(4):593-601.
- Kwee RM, Kwee TC. Imaging in local staging of gastric cancer: a systematic review. J Clin Oncol. 2007;25(15):2107-16.
- Pyo JH, Lee H, Min YW, et al. Indication for endoscopic treatment based on the risk of lymph node metastasis in patients with Siewert type II/III early gastric cancer. Gastric Cancer. 2018;21(4):672-9.
- Zhou Z, Zhang H, Xu Z, Li W, Dang C, Song Y. Nomogram predicted survival of patients with adenocarcinoma of esophagogastric junction. World J Surg Oncol. 2015;13:197.
- Xu J, Cao J, Wang L, et al. Prognostic performance of three lymph node staging schemes for patients with Siewert type II adenocarcinoma of esophagogastric junction. Sci Rep. 2017;7(1):10123.
- Saund MS, Al Natour RH, Sharma AM, Huang Q, Boosalis VA, Gold JS. Tumor size and depth predict rate of lymph node metastasis and utilization of lymph node sampling in surgically managed gastric carcinoids. Ann Surg Oncol. 2011;18(10):2826-32.
- Huang CM, Xu M, Wang JB, et al. Is tumor size a predictor of preoperative N staging in T2-T4a stage advanced gastric cancer? Surg Oncol. 2014;23(1):5-10.
- Dubecz A, Kern M, Solymosi N, Schweigert M, Stein HJ. Predictors of Lymph Node Metastasis in Surgically Resected T1 Esophageal Cancer. Ann Thorac Surg. 2015;99(6):1879-85; discussion 86.
- McPeake JR, O'Grady JG, Zaman S, et al. Liver transplantation for primary hepatocellular carcinoma: tumor size and number determine outcome. J Hepatol. 1993;18(2):226-34.
- Dent R, Hanna WM, Trudeau M, Rawlinson E, Sun P, Narod SA. Time to disease recurrence in basal-type breast cancers: effects of tumor size and lymph node status. Cancer. 2009;115(21):4917-23.
- Zhang J, Gold KA, Lin HY, et al. Relationship between tumor size and survival in non-small-cell lung cancer (NSCLC): an analysis of the surveillance, epidemiology, and end results (SEER) registry. J Thorac Oncol. 2015;10(4):682-90.
- Tran B, Roshan D, Abraham E, et al. The Prognostic Impact of Tumor Size in Papillary Thyroid Carcinoma is Modified by Age. Thyroid. 2018;28(8):991-6.
- Huang B, Feng Y, Zhu L, Xu T, Huang L, Cai G. Smaller tumor size is associated with poor survival in stage II colon cancer: An analysis of 7,719 patients in the SEER database. Int J Surg. 2016;33 Pt A:157-63.
- 24. Fang WL, Wu CW, Chen JH, et al. Esophagogastric junction adenocarcinoma according to Siewert classification in Taiwan. Ann Surg Oncol. 2009;16(12):3237-44.
- Hoshino I, Gunji H, Ishige F, et al. Surgical treatment strategy for esophagogastric junction cancers based on the tumor diameter. BMC Surg. 2019;19(1):152.
- Smith DD, Schwarz RR, Schwarz RE. Impact of total lymph node count on staging and survival after gastrectomy for gastric cancer: data from a large US-population database. J Clin Oncol. 2005;23(28):7114-24.
- Adachi Y, Oshiro T, Mori M, Maehara Y, Sugimachi K. Tumor size as a simple prognostic indicator for gastric carcinoma. Ann Surg Oncol. 1997;4(2):137-40.
- Lu J, Huang CM, Zheng CH, et al. Consideration of tumor size improves the accuracy of TNM predictions in patients with gastric cancer after curative gastrectomy. Surg Oncol. 2013;22(3):167-71.
- Wang ZK, Lin JX, Li P, et al. Higher Risk of Lymph Node Metastasis in Young Patients with Early Gastric Cancer. J Cancer. 2019;10(18):4389-4396.

- Wang L, Liu Z, Kou H, et al. Double Contrast-Enhanced Ultrasonography in Preoperative T Staging of Gastric Cancer: A Comparison With Endoscopic Ultrasonography. Front Oncol. 2019;9:66.
- Blackshaw G, Lewis WG, Hopper AN, et al. Prospective comparison of endosonography, computed tomography, and histopathological stage of junctional oesophagogastric cancer. Clin Radiol. 2008;63(10):1092-8.
- Mocellin S, Pasquali S. Diagnostic accuracy of endoscopic ultrasonography (EUS) for the preoperative locoregional staging of primary gastric cancer. Cochrane Database Syst Rev. 2015;2015(2):Cd009944.