

## Review

# Prognostic and Therapeutic Significance of BTN3A Proteins in Tumors

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## Abstract

The Butyrophilin 3A (BTN3A) family is a type I transmembrane protein belonging to the immunoglobulin (Ig) superfamily. The family contains three members: BTN3A1, BTN3A2 and BTN3A3, which share 95% homology in the extracellular domain. The expression of BTN3A family members is different in different types of tumors, which plays an important role in tumor prognosis. Among them, there are many studies on tumor immunity of BTN3A1, which shows that it is essential for the activation of V $\gamma$ 9V $\delta$ 2 T cells, while BTN3A3 is expected to become a potential therapeutic target for breast cancer. Recent studies have shown that the BTN3A family is closely related to the occurrence and development of tumors. Now the BTN3A family has become one of the research hotspots and is expected to become new tumor prediction and treatment targets.

Key words: Butyrophilin 3A; Tumor; Prognosis

## 1. Introduction

### 1.1. Origin of the BTN3A family

The Butyrophilin (BTN) family is a type I transmembrane protein belonging to the immunoglobulin (Ig) superfamily [1-3]. It has structural homology with members of the B7 family at the extracellular domain level, that is, an Ig-like domain with IgV and IgC domains [1, 3, 4]. Butyrophilin (BTN) protein was first isolated from milk fat globule in the milk of human, bovine, goat and other species by Werner W. Franke. Because of its close relationship with yellow grease, it was named Butyrophilin (in Latin, butyro means butter) [5].

The BTN3A family, also known as CD277, is a subfamily of Butyrophilin (BTN) molecules, including BTN3A1, BTN3A2 and BTN3A3 [6, 7], which are three isomers produced by two successive duplications of the BTN3 gene [8]. These three isotypes are encoded by three different genes found in humans and some non-human primates [9]. They are expressed in most

human immune cell subsets, including T cells, B cells, monocytes, dendritic cells and natural killer (NK) cells [4, 6, 10], as well as hematopoietic and non-hematopoietic tumor cell lines [11, 12]. The specific antibody 20.1 can bind to the extracellular domain of BTN3A molecule and induce the activation of V $\gamma$ 9V $\delta$ 2 T cells, while antibody 103.2 can inhibit this process [13, 14].

### 1.2. The structure of the BTN3A family

Each member of the BTN3A family has an extracellular N-terminal IgV domain and a near-membrane IgC domain connected to a one-way transmembrane domain [13, 15, 16]. The extracellular domains of the three BTN3A subtypes are structurally similar with 95% homology [9], and there is only a slight angular difference between the IgV and IgC domains [13, 17]. The extracellular domains of BTN3A1 and BTN3A3 have 97% sequence identity [2]. There are two possible conformations in its static

extracellular domain, namely, "head-tail" conformation and "V-shaped" conformation [18, 19], while "V-shaped" conformation is recognized and observed by more people.

The intracellular domains of the three members of the BTN3A family are different (Figure 1). BTN3A1 and BTN3A3 have an intracellular B30.2 domain [6, 11, 20]. BTN3A1 has a unique pocket lined with basic amino acid residues, including histidine (His351 and His378), arginine (Arg412, Arg418 and Arg469) and lysine (Lys393), providing a highly positively charged environment that can complement the negative charge of the pyrophosphate portion but position 351 of the B30.2 structure of BTN3A3 is arginine [17, 21]. Apart from this, BTN3A3 has a unique C-terminal extension of 70 amino acids [17]. However, BTN3A2 lacks the B30.2 domain [6, 17, 22-24]. The reason for this phenomenon is that an Alu sequence is translocated into B30.2 exon of BTN3A2 during a stage of primate evolution, resulting in the interruption of reading frames with different intergroup lengths [25].

## 2. BTN3A1 and Cancer

### 2.1. BTN3A1 and prognosis of cancer

The BTN3A family is closely related to many cancers, but its prognostic role is different in different cancers. BTN3A1 is related to the prognosis of ovarian cancer, breast cancer, bladder cancer, pancreatic ductal adenocarcinoma and renal cell carcinoma. BTN3A1 was highly expressed in advanced ovarian cancer [2], but down-regulated in breast cancer [26]. The expression of BTN3A1 was positively correlated with the overall survival rate of patients with bladder cancer [27], but negatively correlated with the overall survival rate of patients with pancreatic ductal adenocarcinoma [28]. Recently, studies have shown that the response of metastatic clear cell carcinoma to nivolumab can be predicted by the level of BTN3A1 to distinguish between responders who are already at the baseline of treatment and non-responders [29].

### 2.2. BTN3A1 kills tumor cells through the activation of V $\gamma$ 9V $\delta$ 2 T cells

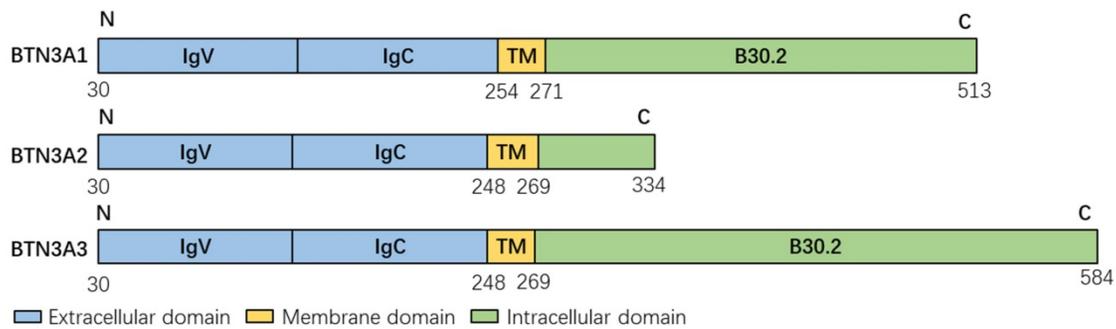
#### 2.2.1. Phosphate antigens are selectively recognized and bound by the intracellular binding domain B30.2 of BTN3A1

V $\gamma$ 9V $\delta$ 2 T cells mainly exist in peripheral blood and can resist microbial infection and cancer [14, 30]. Due to the imbalance of mevalonate pathway or the accumulation of intracellular phosphate antigen (pAg) in tumor cells after microbial infection, V $\gamma$ 9V $\delta$ 2 T cells can target tumor cells [31, 32]. pAgs include isopentenyl pyrophosphate (IPP), (E)-4-hydroxy-3-

methyl-but-2-enyl pyrophosphate (HMBPP) and some synthetic pAgs, such as phosphorylated bromohydrin (BrHPP). The first step in this complex process is the combination of pAg and BTN3A1. Vavassori *et al.* believe that the V-like distal domain of BTN3A1 specifically binds phosphorylated antigens in a shallow groove and then transmits it to V $\gamma$ 9V $\delta$ 2 T cells [14, 18]. However, more people think that pAg binds directly to the B30.2 domain of BTN3A1 [12, 21, 33-37], but not to the extracellular IgV-like domain. It is worth noting that when treated with 20.1 agonist antibody, BTN3A1, BTN3A2 and BTN3A3 all gave stimulation signals to V $\gamma$ 9V $\delta$ 2 T cells, indicating that their extracellular domains were involved in the activation process [14, 21].

However, only BTN3A1 mediates pAg-induced activation [21], which indicates the importance of the intracellular domain B30.2. Homologues of the B30.2 domain have been found in all primates carrying V $\gamma$ 9V $\delta$ 2 T cells [9]. This domain is generally considered to be a protein-protein interaction module, but their binding chaperones are various and there is no obviously conservative binding interface [21]. The B30.2 domain in the intracellular domain of BTN3A3 shares 87% homology with BTN3A1 [21], but BTN3A3 cannot stimulate V $\gamma$ 9V $\delta$ 2 T cells in a pAg-dependent manner [21, 36]. The difference of a pocket residue H351 (His351) between the B30.2 domain of BTN3A1 and BTN3A3 may determine the pAg binding [21]. In addition, Gu *et al.* also mentioned that the pocket-side Y352 (Tyr352) in the B30.2 domain is very important for the binding of pAg to the B30.2 domain [19].

There is a positively charged surface bag in the B30.2 domain of BTN3A1, which combines a series of negatively charged small molecules, including pAg [33]. Through the nuclear magnetic resonance (NMR) experiment, Salim *et al.* found that BTN3A1 selectively detected pAg, to distinguish pAg from non-antigenic small molecules through the conformational antigen sensor in its B30.2 domain [33]. Through the detection of NMR spectra, Gu *et al.* found that the conformation of B30.2 domain in BTN3A1 cells changed after binding to pAg, and most of the residues in or near the pocket of B30.2 domain and a small part of residues located in other regions of B30.2 domain experienced chemical shift perturbation (CSP) [19]. The binding of the B30.2 domain of BTN3A1 to pAg can also induce the immobilization of BTN3A1 on the cell surface, which is also a small step in the process of stimulating V $\gamma$ 9V $\delta$ 2 T cells [21]. In addition, BTN3A2 and BTN3A3 may enhance the stimulation of BTN3A1 perception by increasing BTN3A1 levels and experiencing the same extracellular domain changes as BTN3A1, but they are not absolutely necessary [38].



**Figure 1.** The structure of the members of the BTN3A family. Extracellular domain, blue; Membrane domain, yellow; Intracellular domain, green. The data in this figure is from the Universal Protein Resource (UniProt) website (<https://www.uniprot.org/>). TM: Transmembrane domain.

### 2.2.2. Change in the near membrane domain of BTN3A1

The change of BTN3A1 near membrane domain is also an important participant in the process of V $\gamma$ 9V $\delta$ 2 T cell recognition, which strongly affects the activation of V $\gamma$ 9V $\delta$ 2 T cells [39]. The change of BTN3A3 near membrane domain can significantly enhance or decrease the reactivity of  $\gamma\delta$  T cells. This region is identified as a possible dimerization interface and located near the starting position of the B30.2 domain [39], which implies the importance of the transmembrane domain. Some researchers believe that Ser/Thr<sup>296/297</sup> and Thr<sup>304</sup> play an important role in the near-membrane domain. The role of Ser/Thr<sup>296/297</sup> may lie in its ability to stabilize some local folding or its ability to participate in the formation of binding interface with B30.2, while Thr<sup>304</sup> is involved in binding HMBPP [40]. The near membrane domain is flexible in the natural state, but once B30.2 is clamped in combination with HMBPP, it is likely to cause the near membrane domain to become a rigid spiral shape consistent with the compression direction of the whole domain [40]. The transmembrane region of BTN3A1 has no specific contribution to the development of V $\gamma$ 9V $\delta$ 2 T cell response [39].

The accumulation of intracellular phosphate antigen leads to the relocation of RhoB from the nucleus or nuclear membrane to the proximal region of the plasma membrane, where it can directly interact with BTN3A1 [31]. At the same time, RhoB will complete the transition from GDP-bound state to GTP-bound state, that is, from inactive state to active state [31]. RhoB can also regulate the membrane mobility of BTN3A1 on cancer cells [31]. Recently, it has been confirmed that the decrease of transmembrane protein BTN3A1 migration on target tumor cells is a key determinant of V $\gamma$ 9V $\delta$ 2 T cell activation [31]. BTN3A2 regulates the subcellular localization of BTN3A1, and BTN3A2 recombines with BTN3A1 in an IgC-dependent manner [41]. If there is endogenous BTN3A1 expression in V $\gamma$ 9V $\delta$ 2 T

cells, but lack of BTN3A2 expression, the cells show impaired activation [41], suggesting that BTN3A2 also plays a role in the activation of V $\gamma$ 9V $\delta$ 2 T cells. In addition, the full functional activity of BTN3A1 required BTN3A2, with some capacity of BTN3A3 to substitute for BTN3A2 [41].

### 2.2.3. The extracellular domain of BTN3A1 changed and is recognized by V $\gamma$ 9V $\delta$ 2 T cells

BTN3A1 can form homodimers through its IgC domain [41]. BTN3A1 exists on the surface of cancer cells in the form of dimers [39], and can also form heterodimers with BTN3A2 [19]. RhoB interacts with BTN3A1 homodimer in cancer cells recognized by V $\gamma$ 9V $\delta$ 2 T-cell receptor (TCR) [31]. Other studies have shown that the accumulation of phosphate antigen is related to the conformational change of BTN3A1 dimer [31]. The increase of intracellular phosphate antigen level can induce the extracellular change of BTN3A1 dimer, which may act as a molecular feature recognized by V $\gamma$ 9V $\delta$ 2 TCR [31]. On the other hand, RhoB may dissociate from the fixed BTN3A1 after the binding of phosphorylated antigen to B30.2 and the change of extracellular conformation [31].

In general, pAg binding to BTN3A1 and activating V $\gamma$ 9V $\delta$ 2 T cells is an “inside-out” signal transduction mechanism [39, 42] (Figure 2). V $\gamma$ 9V $\delta$ 2 T cells activated by phosphorylated antigenicity can recognize and kill a variety of tumor cell lines, including breast cancer [43], pancreatic cancer [44], renal cell carcinoma [45-47], melanoma [48], neuroblastoma [49], oral squamous cell carcinoma [50] and multiple myeloma [51, 52]. Cancer therapy, which focuses on tumor immune response mediated by  $\gamma\delta$  T cells, is considered to be a promising treatment [22, 53-55].

### 2.3. Man-made mutation of BTN3A1

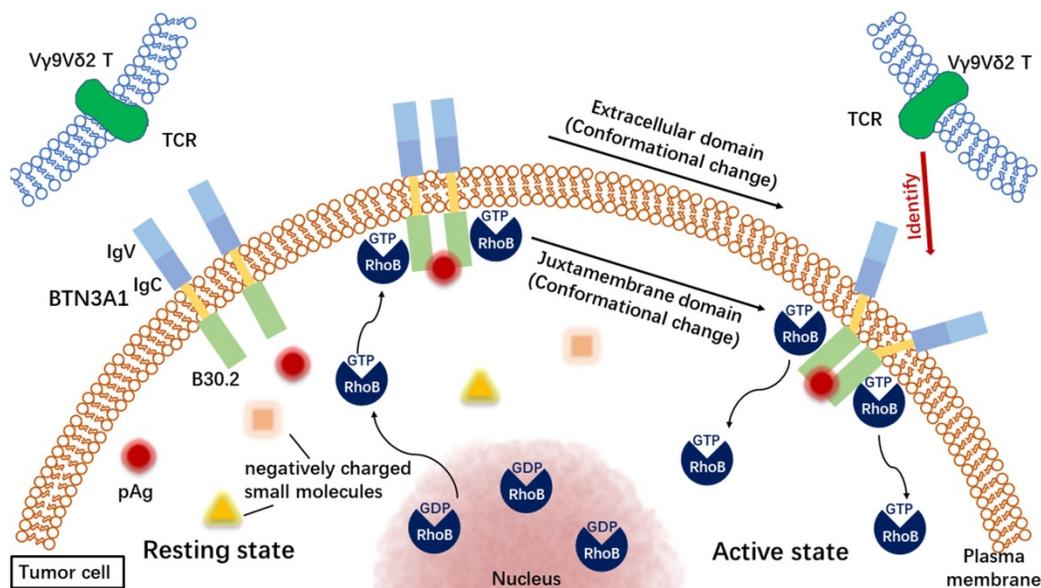
At present, no spontaneous mutation of BTN3A family members has been identified or characterized. However, in order to determine the binding region between pAg and BTN3A1 and the importance of

some residues, some researchers have constructed mutants of BTN3A1. Salim M *et al.* constructed the mutant of BTN3A1 H381A, H351R, Y382A, H381R and Y382F, and found that compared with wild type (WT) BTN3A1, BTN3A1 H381A, H351R and Y382A reduced the activation of V $\delta$ 2<sup>+</sup> T cells by more than 50% [33]. Besides, BTN3A1 H381R mutant reduced the activation of V $\delta$ 2<sup>+</sup> T cells by more than 90%, while the BTN3A1 Y382F could support more than 60% of the activation observed in WT BTN3A1 [33]. Sandstrom A *et al.* carried out charge-reversal mutations on five basic residues in the positive charge pocket of BTN3A1 B30.2: H378D, K393D, R412E, R418E and R469E [21]. It was found that the mutant could not bind to pAg and could not support the stimulation of V $\gamma$ 9V $\delta$ 2 T cells. Gu S *et al.* constructed the BTN3A1 Y352A mutant. Through isothermal titration calorimetric test, it was found that compared with BTN3A1 B30.2, the mutant Y352A had obvious defects in binding to 1-hydroxy-2-methylpent-2-enyl-pyrophosphonate (cHDMAPP) and IPP, resulting in a decrease in T cell activation [19]. Nguyen K *et al.* found that the BTN3A1 ST296AA and T304A mutants they constructed had a reduced ability to respond to intracellular ligand treatment to trigger the activation of V $\gamma$ 9V $\delta$ 2 T cells, thus proving the importance of Ser/Thr<sup>296/297</sup> and Thr<sup>304</sup> in juxtamembrane region [40]. Through the construction of BTN3A1 H351R and BTN3A3 R351H mutants, Sandstrom A *et al.* found that the BTN3A1 H351R mutants could not bind to pAg, but the binding of BTN3A3 R351H and pAg was enhanced, so as to determine the importance of 351 position [21]. Gu S *et al.* found that locking BTN3A1 to the V-type dimer interface (D124 / S207C) could significantly prevent pAg-induced and 20.1-induced T cell activation by mutating D124 and S207 residues

in the V-type dimer interface to cysteine. This shows that the V-conformation is the resting state of BTN3A1, which is not enough to activate T cells [19].

### 3. BTN3A2 and cancer

The research on BTN3A2 in cancer is mainly focused on the prognosis of cancer, and there is no report on the treatment of cancer. The expression level of BTN3A2 is higher in gastric cancer [56, 57], pancreatic cancer [58] and ovarian cancer [59], but lower in breast cancer [60]. The expression of BTN3A2 is related to the adverse progression of many tumors, and its overexpression is related to the increased proliferation and invasion of gastric cancer cell lines, as well as poorly differentiated tumors in patients with pancreatic ductal adenocarcinoma who show short-term survival [58]. However, the expression of BTN3A2 is also a marker of good prognosis in many cancer patients. In breast cancer, BTN3A2 is an independent prognostic marker for triple negative breast cancer patients [60]. BTN3A2 is positively correlated with immune cell infiltration of CD8<sup>+</sup> T cells, T cell (general), DCs, Th1 cells, and T-cell exhaustion [60]. BTN3A2 can mediate immune infiltration by regulating T cell receptor interaction and NF- $\kappa$ B signal pathway [60]. The higher expression level of BTN3A2 is related to the good prognosis of HR negative, HER2 positive (HR-/HER2+) breast cancer patients, and also to the distant metastasis-free survival (DMFS) rate of breast cancer patients [61]. Additionally, the expression of BTN3A2 is related to the prognosis of patients with ovarian cancer [15, 42], overall survival and disease-free progression, and its high expression is closely related to the increased overall survival rate of patients [59].



**Figure 2.** The process of recognizing tumor cells by V $\gamma$ 9V $\delta$ 2 T cells. TCR: T-cell receptor.

## 4. BTN3A3 and cancer

### 4.1. BTN3A3 and prognosis of cancer

The single nucleotide polymorphism (SNP) in BTN3A3 was negatively correlated with the risk of ovarian cancer [62], and BTN3A3 was associated with a lower risk of ovarian cancer recurrence [15]. Changes in the expression of specific genomes show the response of women with advanced epithelial ovarian cancer to chemotherapy. The expression of BTN3A3 gene can predict early recurrence of ovarian cancer after platinum-paclitaxel chemotherapy (21 months), with an accuracy of 86% [62]. However, in breast cancer, some high-grade malignant human breast cancer cell lines (such as MDA-MB-231) express high levels of BTN3A3, while low-grade malignant cell lines (such as MCF-7) express lower levels of BTN3A3 [63]. BTN3A3 can also predict the sensitivity of patients with gastric cancer to fluorouracil chemotherapy [64]. It is reported that BTN3A3 may increase the sensitivity to chemotherapeutic drugs by inhibiting the biological process of EMT [64]. BTN3A3 is also associated with colon cancer and can be used as a potential cancer biomarker [65]. Compared with healthy controls, the expression of BTN3A3 in patients with ulcerative colitis is significantly up-regulated [66]. If ulcerative colitis is not treated as soon as possible, it is likely to develop into colon cancer. In patients with cervical cancer, compared with normal tissues, cervical cancer tissues showed a low level of BTN3A3 methylation, indicating that the immune system of women without cervical cancer may be activated by DNA demethylation [67]. According to research, BTN3A3 is associated with intestinal inflammation and colon cancer. There is a negative correlation between the expression of BTN3A3 and IFN- $\gamma$  in colonic tissue of patients with ulcerative colitis [68].

### 4.2. BTN3A3 and its potential therapeutic strategy for breast cancer

LSEctin is a transmembrane protein highly expressed in tumor-associated macrophages (TAMs), while BTN3A3 is the receptor of LSEctin on breast cancer cells. It has been confirmed that the interaction between LSEctin and BTN3A3 can promote the stem cell characteristics of breast cancer. The extracellular IgC and IgV domains of BTN3A3 are necessary for its interaction with LSEctin, while the intracellular domains of BTN3A3 are necessary for promoting stem cell activity. In mice carrying human tumor xenografts, macrophage-specific LSEctin interference or BTN3A3 interference in breast cancer cells can slow tumor growth. Anti-BTN3A3 mAb destruction of

LSEctin-BTN3A3 axis has therapeutic effect on breast cancer. Compared with monotherapy, the combination of anti-BTN3A3 (5E08) mAb and paclitaxel significantly reduced tumor growth. Thus, it can be seen that the LSEctin-BTN3A3 axis may be a unique target in the treatment of breast cancer, which has important clinical significance in the treatment of breast cancer in the future [63].

## 5. Conclusion and prospect

The BTN3A family, also called CD277, consists of three members: BTN3A1, BTN3A2 and BTN3A3. At present, the research on the BTN3A family is mainly focused on the activation of V $\gamma$ 9V $\delta$ 2 T cells. The latest research found that the overexpression of NLR family CARD domain containing 5 (NLRC5) not only increased the levels of BTN3A1, BTN3A2 and BTN3A3, but also promoted the activation and killing of V $\gamma$ 9V $\delta$ 2 T cells functionally. In contrast, CRISPR/Cas9-mediated knockout of BTN3A molecules almost eliminated the enhanced lethality induced by NLRC5 overexpressing cells. This new discovery about the relationship between BTN3A family and NLRC5 further discusses the mechanism of BTN3A family in the activation of V $\gamma$ 9V $\delta$ 2 T cells, and brings more implications for the study of immunotherapy [69].

As the first member of the BTN3A family, the essential role of BTN3A1 in the activation of V $\gamma$ 9V $\delta$ 2 T cells has been verified many times. The latest research shows that CD277 specific antibodies can transform BTN3A1 from immunosuppressive molecules to immunostimulatory molecules, thus dynamically stimulating anti-tumor immunity driven by  $\alpha\beta$  and  $\gamma\delta$  T cells to block the progression of established ovarian tumors [53]. Therefore, targeting BTN3A1 can exert the synergistic killing effect of  $\alpha\beta$  and  $\gamma\delta$  T cells on tumors, and may provide a therapeutic strategy for resisting the existing immunotherapy. Although there are few studies on BTN3A2 and BTN3A3 in tumors, more and more evidence shows that BTN3A2 and BTN3A3 also play an important role in tumors. For BTN3A2, it can mediate immune infiltration by regulating T cell receptor interaction and NF- $\kappa$ B signal pathway [60]. For BTN3A3, it can promote the stemness of breast cancer through its interaction with LSEctin [63].

Current studies have shown that members of the BTN3A family are expressed in a variety of tumors, and the expression levels are quite different in different types of tumors. In addition, the primary phenotypic mechanisms of BTN3A family in different tumors are also different (Table 1). This suggests that the BTN3A family has a high complexity in tumorigenesis and development. In a word, BTN3A

family is closely related to tumorigenesis and development. With the deepening of its research, BTN3A family is expected to become a new tumor prediction and treatment target, and will have a broad application prospect in the field of tumor.

**Table 1.** BTN3A family and their primary mechanism of phenotype in different tumor types.

Name	Cancer type	<i>In vitro/ in vivo</i>	Primary mechanism of the phenotype	Reference
BTN3A1	Ovarian cancer	<i>In vitro</i>	BTN3A1 inhibits the TCR-mediated proliferation of activated human T cells.	[2]
	Colorectal cancer	<i>In vitro</i>	Zoledronate activates colorectal cancer cells in the presence of BTN3A1 to trigger V $\delta$ 2 T cells.	[22]
	Renal cancer	<i>In vivo</i>	BTN3A1 can predict response to nivolumab in metastatic clear cell renal carcinoma.	[29]
BTN3A2	Gastric cancer	<i>In vitro</i>	BTN3A2 promotes gastric cancer cell proliferation and invasion.	[56]
	Pancreatic cancer	<i>In vitro</i>	BTN3A2 participates in V $\gamma$ 9V $\delta$ 2 T cells anti-tumor functions towards pancreatic ductal adenocarcinoma.	[58]
	Ovarian cancer	<i>In vitro, in vivo</i>	Epithelial expression of BTN3A2 may modulate the infiltration of immune cells with the tumor.	[59]
BTN3A3	Breast cancer	<i>In vitro</i>	BTN3A3 enhances the stemness of breast cancer by interacting with LSECtin.	[63]
	Colon cancer	<i>In vivo</i>	The increase of BTN3A3 expression is related to the decrease of IFN- $\gamma$ level.	[68]

## Abbreviations

BTN: Butyrophilin; BTN3A: Butyrophilin 3A; BTN3A1: Butyrophilin Subfamily 3 Member A1; BTN3A2: Butyrophilin Subfamily 3 Member A2; BTN3A3: Butyrophilin Subfamily 3 Member A3; Ig: immunoglobulin; NK: natural killer; His: histidine; Arg: arginine; Lys: lysine; Tyr: tyrosine; TM: transmembrane domain; pAg: phosphate antigen; IPP: isopentenyl pyrophosphate; HMBPP: (E)-4-hydroxy-3-methyl-but-2-enyl pyrophosphate; BrHPP: phosphorylated bromohydrin; NMR: nuclear magnetic resonance; CSP: chemical shift perturbation; RhoB: Ras Homolog Family Member B; TCR: T-cell receptor; cHDMAPP: 1-hydroxy-2-methylpent-2-enyl-pyrophosphonate; WT: wild type; HR-: hormone receptor negative; HER2+: human epidermal growth factor receptor 2 positive; DMFS: distant metastasis-free survival; SNP: single nucleotide polymorphism; TAMs: tumor-associated macrophages; NLRC5: NLR family CARD domain containing 5.

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## Competing Interests

The authors have declared that no competing interest exists.

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