

## Supplementary materials

### Serum metabolomics analysis for the progression of esophageal squamous cell carcinoma

#### Serum samples collection and preparation

Blood samples were taken in the morning from the participants after an overnight fasting. The samples were clotted at 37 °C water bath for 30 min and followed by centrifugation for 15 min at 3000 rpm. Then the serum supernatant was taken, immediately stored at -80°C until further analysis.

Before UHPLC-QTOF/MS analysis, serum samples were thawed at 4 °C on ice. Then 50 µL of serum sample was taken and placed in a 96-well plate, then extracted with 150 µL of MeOH (which was kept at -20 °C before extraction) using Bravo liquid handling system (Agilent Technologies, USA), and followed by vortex for 30 s and incubation for 2 h at -20 °C to precipitate proteins. The 96-well plate was then centrifuged at 4000 rpm for 20 min at 4 °C. The resulting supernatants were transferred to LC-MS vials and stored at -80 °C until the UHPLC-QTOF/MS analysis.

#### UHPLC-QTOF/MS analysis

The serum samples were randomly injected for the UHPLC-QTOF/MS analysis. Quality control (QC) samples were prepared by pooling aliquots of all serum samples that were representative of the serum samples under analysis, and used for data normalization. Blank samples (75 % ACN in water) and QC samples were injected every eight samples during acquisition.

The UHPLC-QTOF/MS analyses were performed using a UHPLC system (1290 series, Agilent Technologies, USA) coupled to a quadruple time-of-flight (QTOF) mass spectrometer (Agilent 6550 iFunnel Q-TOF, Agilent Technologies, USA). Waters ACQUITY UHPLC HSS T3 columns [particle size, 1.8 µm; 100 mm (length) × 2.1 mm (i.d.)] were used for the LC separation and the column temperature was kept as 25 °C. The flow rate was 0.5 mL/min and the sample injection volume was 6 µL. The mobile phases A was 0.1 % FA in water in positive mode (ESI+) or 0.5 mM NH<sub>4</sub>F in water in negative mode (ESI-), and B was 0.1 % FA in ACN in positive mode or 100 % ACN in negative mode. The linear gradient was set as follows: 0–1 min: 1 % B, 1–8 min: 1 % B to 100 % B, 8–10 min: 100 % B, 10–10.1 min: 100 % B to 1 % B, 10.1–12 min: 1 % B. The acquisition rate was set as 4 spectra/s and the TOF mass range was set as m/z 50–1200 Da. The parameters of MS data acquisition were set as follows: sheath gas temperature, 400 °C; dry gas temperature, 250 °C; sheath gas flow, 12 L/min; dry gas flow, 16 L/min; capillary voltage, 3000 V in positive mode or -3000 V in negative mode, respectively; nozzle voltage, 0 V; and nebulizer pressure, 20 psi in positive or 40 psi in negative mode, respectively.

Tandem mass spectrometry (MS/MS) data acquisition was performed using another quadruple time-of-flight mass spectrometer (Triple TOF 5600+, AB SCIEX, USA). QC samples were used for MS/MS data acquisition. To expand the coverage of MS/MS spectra, the mass range were divided into four segments: 50–300 Da, 290–600 Da, 590–900 Da, 890–1200 Da. The acquired MS/MS spectra were matched against in-house tandem MS spectral library for metabolite identification (see details in follow). The source parameters were set as

follows: GAS1, 60; GAS2: 60; CUR: 30; TEM: 600 °C; ISVF: 5500 V and -4500 V in positive and negative modes, respectively.

**Metabolite identification:** Tandem mass spectrometry (MS/MS) spectra data for metabolites were acquired using AB Sciex TripleTOF 5600+. The acquired MS/MS spectra were matched against our in-house standard MS/MS spectral library and metabolite standards. The MS/MS spectra match score was calculated using dot-product algorithm ranging from 0-1. The cutoff for match score was set as 0.8. The MS/MS spectra match results were further manually checked to confirm the identification. All the m/z errors are less than 25 ppm and all the RT errors are less than 18 seconds. Secondly, the biomarkers whose MS/MS spectra were not matched in our in-house databases or cannot be interpreted by MS/MS spectra, were searched against online databases of HMDB ([www.hmdb.ca](http://www.hmdb.ca)), METLIN (<http://metlin.scripps.edu/>) and KEGG (<http://www.genome.jp/kegg/>). The mass tolerance between the measured m/z values and the exact masses of the components of interest was set to within 30 ppm. The potential biomarkers were identified according to their molecular weights.

#### **Data preprocessing and annotation**

Raw data obtained by UHPLC-QTOF/MS analysis was firstly converted to the mzXML format using ProteoWizard, and processed by R package XCMS (version 3.2). Then a data matrix consisted of the retention time (RT), mass-to-charge ratio (m/z) values, and peak intensity was generated. R package CAMERA was used for peak annotation after XCMS data processing. Metabolic features detected less than 80 % in all the QC samples were discarded. Only monoisotopic peaks annotated by CAMERA were selected for the subsequent statistical analyses. To remove the unwanted analytical variations occurring intra- and inter-batches, each metabolite peak in all subject samples was normalized using the LOESS method based on QC samples. Then the relative intensity of each metabolite was standardized with Z-transformation (mean = 0, SD = 1).

**Supplementary figure legends:**

**Figure S1.** The PCA performed on the whole samples including normal participants, esophagitis, LGD and HGD/ESCC patients, and QC samples. LGD, low-grade dysplasia; HGD, high-grade dysplasia; ESCC, esophageal squamous cell carcinoma.

**Figure S2.** Boxplots of relative intensity of expression of five metabolites that associated with increased risk of developing ESCC between normal and esophagitis, LGD and HGD/ESCC patients. LGD, low-grade dysplasia; HGD, high-grade dysplasia; ESCC, esophageal squamous cell carcinoma.

Figure S1

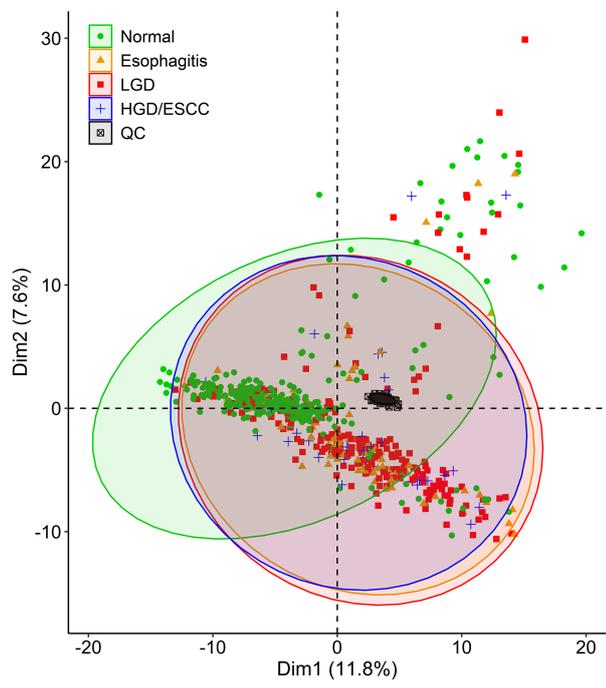
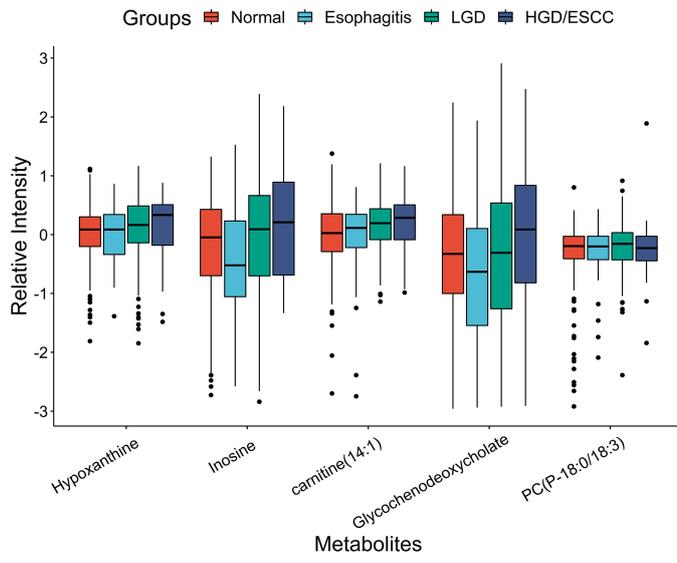


Figure S2



**Table S1** Metabolites associated with the progression of ESCC by univariate ordinal logistic regression analysis

Metabolites	Cluster	p value	FDR	OR (95% CI)
Dopamine	1	2.63E-05	1.17E-04	0.69 ( 0.59 ~ 0.82 )
L-Histidine	1	1.13E-09	2.26E-08	0.59 ( 0.49 ~ 0.70 )
5-Hydroxyindoleacetate	1	8.73E-04	2.91E-03	0.78 ( 0.67 ~ 0.90 )
L-Tryptophan	1	1.50E-07	1.50E-06	0.67 ( 0.58 ~ 0.78 )
2'-O-methylcytidine	1	1.99E-02	4.06E-02	0.70 ( 0.52 ~ 0.95 )
PC (14:0/0:0)	1	1.70E-06	1.30E-05	0.68 ( 0.58 ~ 0.79 )
PC (O-16:1/0:0)	1	6.42E-10	2.26E-08	0.61 ( 0.52 ~ 0.71 )
PE (18:0/0:0)	1	5.54E-08	7.38E-07	0.63 ( 0.53 ~ 0.74 )
PC (16:1/0:0)	1	1.95E-06	1.30E-05	0.68 ( 0.58 ~ 0.80 )
PC (18:2/0:0)	1	4.42E-04	1.61E-03	0.76 ( 0.65 ~ 0.89 )
Hypoxanthine	2	1.23E-03	3.77E-03	1.27 ( 1.10 ~ 1.47 )
Inosine	2	2.24E-05	1.12E-04	1.36 ( 1.18 ~ 1.57 )
Carnitine (14:1)	2	9.73E-05	3.89E-04	1.34 ( 1.16 ~ 1.56 )
Glycochenodeoxycholate	2	2.53E-03	7.22E-03	1.25 ( 1.08 ~ 1.44 )
PC (P-18:0/18:3)	2	1.88E-02	4.06E-02	1.19 ( 1.03 ~ 1.38 )

**Table S2** Pathway enrichment analysis for metabolites associated with the progression of ESCC

Pathway Name	Total	Expected	Hits	Raw p	$-\log_{10}(p)$	Holm P	FDR	Impact
Tryptophan metabolism	41	0.19	2	0.01	1.88	1	0.75	0.16
Aminoacyl-tRNA biosynthesis	48	0.22	2	0.02	1.75	1	0.75	0.00
Purine metabolism	65	0.29	2	0.03	1.50	1	0.89	0.02
Histidine metabolism	16	0.07	1	0.07	1.15	1	1.00	0.22
beta-Alanine metabolism	21	0.09	1	0.09	1.04	1	1.00	0.00
Tyrosine metabolism	42	0.19	1	0.18	0.76	1	1.00	0.13
Primary bile acid biosynthesis	46	0.21	1	0.19	0.72	1	1.00	0.01