

Review



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# Kangai Injection Combined with Platinum-based Chemotherapy for the Treatment of Stage III/IV Non-Small Cell Lung Cancer: A Meta-analysis and Systematic Review of 35 Randomized Controlled Trials

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#### Abstract

**Objective**: In an effort to inform evidence-based guidelines for clinical practice, we performed a meta-analysis to systematically evaluate the safety and efficacy of Kangai injection (KAI) plus platinum-based chemotherapy for stage III/IV non-small cell lung cancer (NSCLC).

**Methods**: Randomized controlled trials (RCTs) comparing KAI plus platinum-based chemotherapy (experimental group) to chemotherapy alone (control group) were electronically retrieved from the Cochrane Library, PubMed, EMbase, Web of Science, Chinese National Knowledge Infrastructure (CNKI), Chinese Biological Medicine (CBM) Database, Wanfang Database, and the VIP Database for Chinese Technical Periodicals. RCTs published from the date of inception to July 5, 2018, were included. All trials were assessed for methodological quality in accordance with the Cochrane Reviewer's Handbook for Systematic Reviews of Intervention. Meta-analysis was performed using RevMan5.3 Software and Comprehensive Meta-Analysis (CMA) 2.0.

**Results**: The final analysis included 35 RCTs involving 2,618 patients. Our meta-analysis revealed that KAI combined with platinum-based chemotherapy was associated with significantly greater objective response rate (ORR) (RR=1.36, 95% Cl: 1.25-1.49, P<0.00001) and disease control rate (DCR) (RR=1.14, 95% Cl: 1.09-1.18, P<0.00001), improvements in quality of life (QOL) (RR=1.75, 95% Cl: 1.59-1.93, P<0.00001), and decreases in the incidence of gastrointestinal reactions (RR=0.64, 95% Cl: 0.54-0.77, P<0.00001), leukocytopenia (RR=0.54, 95% Cl: 0.46-0.63, P<0.00001) and thrombocytopenia (RR=0.52, 95% Cl: 0.36-0.76, P=0.0007) when compared with chemotherapy alone. In addition, combined treatment was associated with greater regulation of tumor immune function, as indicated by increases in the proportion of NK, CD<sub>3</sub>+, and CD<sub>4</sub>+ cells (MD=2.27, 95% Cl: 1.18-3.36, P<0.0001; MD=12.86, 95% Cl: 11.64-14.08, P<0.00001; and MD=5.48, 95% Cl: 2.68-8.28, P=0.0001) and decreases in the percentage of CD<sub>8</sub>+ cells (MD= -2.37, 95% Cl from -4.51 to -0.23, P=0.03).

**Conclusions:** From the available evidence, our results indicate that KAI plus platinum-based chemotherapy could be more effective in improving clinical efficacy, decreasing the incidence of adverse reactions and regulating the tumor immune function than chemotherapy alone in the treatment of stage

III/IV NSCLC. Nevertheless, considering the limitations of the included studies, rigorous designed, high-quality, multicenter clinical trials are still need to further confirm the results.

Key words: Kangai injection, platinum-based chemotherapy, non-small cell lung cancer, Meta-analysis, systematic review

# Introduction

Globally, lung cancer remains the primary causes of cancer-related deaths [1, 2]. Non-small cell lung cancer (NSCLC) accounts for approximately 85% of cases. The 5-year survival rate for NSCLC is less than 15% [3]. Platinum-based chemotherapy as a conventional therapy is frequently utilized for the nonsurgical treatment of NSCLC due to its significant efficacy in improving tumor response rates [4-7]. However, the adverse effects of chemotherapy have been associated with poor quality of life, low survival rates, and immune dysfunction. Thus, the need for supplementary treatments that improve the clinical efficiency of treatment, enhance immune function, and minimize adverse reactions during platinum-based chemotherapy remains urgent.

Traditional Chinese medicine (TCM) has attained great popularity in the alternative and complementary treatment of advanced NSCLC [8]. Recent studies have indicated that platinum-based chemotherapy combined with TCM significantly improves efficiency and reduces toxicity relative to chemotherapy alone, which plays an irreplaceable role in clinical practice [9-11]. As a kind of Chinese patent medicine (CPM), Kangai injection (KAI) is widely used in the treatment of NSCLC. It is an intravenous fluid made from an extraction of three Chinese herbs (i.e., ginseng, astragalus, and matrine). Its China Food and Drug Administration number is Z20026868. Active compounds of KAI include astragalus polysaccharides, astragalosides, ginsenosides, ginseng polysaccharide and oxymatfine [12], and various studies have shown that KAI possesses multiply pharmacological effects: (1)inducing tumor cell apoptosis [13, 14]; (2)inhibiting tumor cell proliferation, invasion and metastasis [15, 16]; (3)increasing the sensitivity of chemotherapy drugs and reducing adverse events caused by chemotherapy [17-19]; (4)improving the body's immune function [20-22]. In the clinic, Fan et al. found that KAI has obtained good curative effect, could ultimately prolong the survival and improve the quality of life, and mainly used in the treatment of multi-cancers, including liver, lung, colorectal cancer, etc. [23]. In terms of animal experiments, Zhou et al. found that herbal formula astragalus polysaccharide polysaccharopeptide could and show immunomodulatory effects and anti-tumor activity in mice with lung cancer [24]. Meanwhile, the results of

Xie et al. showed that Ginsenoside Rg3 could obviously inhibit the volume and weight of tumor in xenografts model, and the mechanism of Ginsenoside Rg3 anti-tumor effects may be related with inhibiting PI3K/Akt signaling pathways [25].

Currently, a variety of trials have reported that KAI combined with chemotherapy could play a synergistic and attenuation role in NSCLC [26, 27], but, unfortunately, the conclusion is still controversial in different studies [28, 29]. Previous meta-analysis have demonstrated that the combination of KAI and platinum-based chemotherapy could increase the efficacy, improve the quality of life and reduce the adverse reactions of NSCLC [30, 31], but none of the two studies evaluated the efficacy of combined therapy regulating immune in function immunosuppression is widely а recognized complication of various chemotherapy regimens. Moreover, there have emerged some new studies evaluating the efficacy of KAI combined with platinum-based chemotherapy for NSCLC. Therefore, there is a need to update the systematic review and meta-analysis, for helping further and precisely reveal the efficacy, safety, and immune-enhancing effects of KAI, which will be beneficial to the treatment of advanced non-small cell lung cancer and the popularization of KAI.

# **Materials and Methods**

The present meta-analysis and systematic review were conducted in accordance with the Preferred Reported Items for Systematic Review and Meta-analysis (PRISMA) guidelines. Our systematic review is registered in the International Prospective Register of Systematic Reviews (PROSPERO) database (registration number: CRD42018103626).

# Literature source and search strategy

We searched the following electronic databases up to July 5, 2018: the Cochrane Library, PubMed, EMbase, Web of Science, Chinese National Knowledge Infrastructure (CNKI), Chinese Biological Medicine Database (CBM), Wangfang Database, and the VIP Database for Chinese Technical Periodicals (VIP). Two independent investigators searched for eligible according to the following search strategy: ("Lung Cancer" [Mesh] OR "Non-small Cell Lung Cancer" [Mesh] OR "Non-small Cell Lung "NSCLC") AND ("Chemotherapy" OR "chemotherapy" OR "chemotherapeutics" OR "chemical therapy") AND ("Kangai injection"). A detailed search strategy of PubMed was shown in supplementary materials. Clinical studies published in languages other than English or Chinese were excluded.

# Inclusion criteria

Our analysis included randomized controlled (RCTs) comparing а platinum-based trials chemotherapy group (control) with a chemotherapy plus KAI group (experimental), regardless of blinding or allocation concealment. Eligible studies included patients diagnosed with Stage III-IV NSCLC via pathological or cytological examination, with Karnofsky performance scores (KPS) ≥60 or survival times ≥3 months. Eligible patients were required to exhibit no obvious abnormalities in the liver, kidney, cardiac function. or contraindications for chemotherapy. Additional inclusion criteria were as follows: no age, gender, race, nationality, or regional restrictions in either arm; no significant differences in baseline conditions between the experimental and control groups (P > 0.05); inclusion of at least one outcome indicator (objective response rate, quality of life, adverse effects, immune function).

# **Exclusion criteria**

Studies involving patients with other primary tumors; those with severe cardiovascular, hepatic, or renal diseases; and those in which treatment was combined with surgery, radiotherapy, or other TCM excluded. therapies were Non-RCTs (animal experiments, case reports, cohort studies, review articles, etc.), duplicate studies, and studies reporting no outcome measures were also excluded. Studies in which KAI was administered non-intravenously (e.g., oral granules) and those of insufficient quality (i.e., incomplete information, obvious error, inappropriate statistical non-rigorous experimental methods, design, etc.) were excluded as well.

# Outcome indicators and evaluation criteria

The objective tumor response rate (ORR) and disease control rate (DCR) were evaluated in accordance with World Health Organization (WHO) evaluation criteria or the Response Evaluation Criteria in Solid Tumors (RECIST). Responses were categorized as complete relief (CR), partial remission (PR), stable disease (SD), or progressive disease (PD). ORR was calculated as follows: (CR + PR) / total number of cases × 100%, and DCR was calculated as follows: (CR + PR + SD) / total number of cases × 100%.

The KPS was used to assess the patient's quality of life (QOL). Patients exhibiting KPS increases or decreases of more than 10 points were considered to have experienced improvement or deterioration, respectively. QOL was considered stable in patients exhibiting changes within this range. Rates of improvement were calculated as follows: number of patients exhibiting improvement / total number of cases × 100%.

We adopted the WHO Recommendations for Grading of Acute and Subacute Toxicity to evaluate the adverse reactions of chemotherapy, which were classified into five levels: 0, I, II, III, and IV. In this meta-analysis, we examined only gastrointestinal reactions (nausea and vomiting) and myelosuppression (leukocyte, hemoglobin and platelet) of grade II or higher.

The incidence of alterations in cellular immune function (i.e., natural killer cells (NK),  $CD_3^+$ ,  $CD_4^+$ ,  $CD_8^+$ , and  $CD_4^+/CD_8^+$  ratio) was also used to estimate the efficacy of KAI. analysis of immune alterations in included studies were performed using immunocytochemistry or flow cytometry.

# Data extraction and quality assessment

Two investigators (Hongxiao Li and Yuejin Ji) independently extracted and cross-checked the general characteristics of eligible studies. Discrepancies were resolved via discussion with a third reviewer (Shiping Zhang). The following features were extracted from each article: name of the first author, publication year, number of patients in each group, sex, age, physical status, tumor stage, intervention details (course of treatment, chemotherapy regimens, etc.), outcome indicators. For trials reporting incomplete or ambiguous data, we contacted the corresponding author via email for clarification. If no response was obtained after three attempts, the article in question was removed from the analysis. Two reviewers (Hongxiao Li and Yuejin Ji) evaluated the methodological quality of each included RCT based on the following items, in accordance with the Cochrane Collaboration's Risk of Bias criteria [32]: random sequence generation (selection bias), allocation concealment (selection bias), blinding of participants and personnel (performance bias), blinding of outcome data (detection bias), incomplete outcome data (attrition bias), selective reporting (reporting bias), and other biases.

# Statistical analysis

As required by the Cochrane Collaboration, we utilized Review Manager 5.3 software and Comprehensive Meta-Analysis (CMA) 2.0 for the present meta-analysis. Risk ratios (RRs) and mean differences (MDs) were used to express therapeutic efficacy for dichotomous outcomes and continuous data, respectively. Both RRs and MDs were calculated using the effect value and 95% confidence intervals (CI). P values <0.05 were considered to indicate statistical significance. Inconsistency  $(I^2)$  was used to detect the heterogeneity between studies, and a fixed-effects model was applied to calculate the pooled statistics when there was no significant heterogeneity  $(I^2 < 50\%).$ In other cases, а random-effects model was adopted (I<sup>2</sup>>50%). The stability of pooled results was confirmed by sensitivity analysis, which were performed using three methods: altering the combined-effects model, removing the study with the greatest weight, and exclusion of studies one-by-one. Moreover, funnel plots and Egger's tests were used to estimate the potential publication bias. Trial sequential analysis (TSA) was performed to evaluate the robustness of the results and meta-analytic sample size, while meta-regression analysis (MRA) was performed to explore the effects of potential heterogeneity and confounders on outcomes.

# Results

## Search results

Our initial search identified a total of 562 relevant articles. Following the exclusion of 197 duplicate articles and a review of the remaining titles and abstracts, we evaluated the full text of 103 articles according to inclusion and exclusion criteria. An additional 68 articles were excluded mainly because they were reviews, non-RCTs, did not report relative outcomes, utilized inappropriate interventions or data extraction methods, and included data errors. In total, 35 RCTs were included in this meta-analysis. The screening process and results are shown in were shown in **Figure 1**.

## Basic characteristics of the included studies

A total of 2,618 patients were enrolled in the 35 included studies [26, 27, 33-65], all of which were conducted and published in China. Across these 35 studies, there were 1,328 and 1,290 patients in the experimental and control groups, respectively. Participant ages ranged from 21 to 85 years. Navelbine® (vinorelbine) plus platinum (NP) was the most frequently utilized chemotherapy regimen,



Figure 1: Flow diagram of screening process for eligible articles.

followed by Taxol<sup>®</sup> (paclitaxel) plus platinum (TP), docetaxel plus platinum (DP), gemcitabine plus platinum (GP), 5-fluorouracil plus platinum (FP), pemetrexed plus platinum (PP), and vindesine plus platinum (VP). A total of 33 articles [27, 33-41, 43-65] reported ORR, 32 articles [27, 33-41, 43-53, 55-65] reported DCR. In addition, 27 studies [27, 36-38, 40-56, 59-62, 64, 65] assessed QOL using the KPS. Twelve studies [36, 37, 39, 41, 43, 44, 48, 52, 53, 55, 57, 62] gastrointestinal provided outcomes regarding reactions, while 15 provided outcomes regarding leukocytopenia [27, 36, 37, 39-41, 43, 44, 48, 52, 53, 55, 57, 62, 64], 4 documents reported hemoglobin deficiency [36, 37, 43, 64], and 6 studies assessed thrombocytopenia [36, 37, 43, 57, 62, 64]. Immune function outcomes were assessed in nine trials [33-35, 42, 50-52, 61, 64]. The basic characteristics of the included studies are shown in Table 1.

#### **Evaluation of methodological quality**

The detailed results of the methodological evaluation are shown in Figures 2, 3 and Table S1. While all articles mentioned randomization, only nine trials indicated the specific method of random allocation [27, 34, 42, 47, 51, 53, 57, 63, 64]. Only one study reported allocation concealment, which was implemented using an opaque envelope [42]. None of the included studies described double-blinding or blinding of outcome assessments. Six studies reported rates of follow-up and withdrawal (attrition bias) [39, 44, 50, 57, 58, 64]. With regard to reporting bias, because we observed that the results reported by four studies were less than the evaluation index [26, 38, 45, 63], these four studies were classified as "high risk". Due to insufficient data among the retrieved studies, results for other forms of bias were unclear.

Study ID Cases (T/C		Age (y)		Physical	Stage	Experimental group	Control group	Indicators	
			(M/F)	status (KPS)		Intervention	Number of KAI cycles	(chemotherapy regimen)	
Huang YN, 2011	286 (144/142)	42-71	194/92	KPS≥60	IIIa-IV	NP+ KAI(60ml/d, d1-d14)	2	NP	1,2,3,4,5,7
He JF, 2011	61 (31/30)	51-76	47/14	KPS>60	IIIa-IV	TP + KAI(40ml/d, d1-d14)	2	TP	1,2
Wei HY, 2013	64 (32/32)	37-65	42/22	KPS≥70	IIIb-IV	DP+ KAI(40ml/d, d1-d21)	2	DP	1,2,3,5,6,7,8
Sun LJ, 2008	108 (60/48)	45-74	86/22	KPS>70	III-IV	TP + KAI(50ml/d, d1-d30)	1	TP	1,2,3
Zou Y, 2013	76 (38/38)	52-74	52/24	KPS≥60	III-IV	NP+ KAI(40ml/d, d1-d21)	2	NP	1,2,4,5
Luo JH, 2009	64 (32/32)	30-75	51/13	KPS>60	IIIb-IV	NP+ KAI(40ml/d, d1-d21)	2	NP	1,2,3,4,5,8
Ma XP, 2012	52 (26/26)	31-76	33/19	KPS>60	IIIb-IV	DP+ KAI(30ml/d, d1-d30)	1	DP	1,2,3,4,5
Zhang XL, 2005	62 (30/32)	35-76	43/19	KPS>60	III-IV	NP+ KAI(40~60ml/d, d1-d20)	1	NP	1,2,3,8
Huang RW, 2006	50 (25/25)	35-78	34/16	KPS≥60	IIIb-IV	NP+ KAI(20~30ml/d, d1-d21)	2	NP	1,2,3
Jing H <i>,</i> 2007	97 (51/46)	35-73	61/36	KPS≥60	IIIa-IV	NP/VP + KAI(40ml/d, d1-d14)	3	NP/VP	1,2,4,5,7
Zou H, 2008	51 (26/25)	31-77	39/12	KPS≥60	IIIb-IV	DP + KAI(40~50ml/d, d1-d14)	2	DP	1,2,3
Wu DH, 2009	56 (28/28)	NR	43/13	KPS>60	IIIb-IV	TP + KAI(50ml/d, d1-d14)	2	TP	1,2,3,4,5
Wang LF, 2010	64 (32/32)	30-76	46/18	KPS≥70	III-IV	TP + KAI(50ml/d, d1-d7)	4	TP	1,2,3
Ge CZ, 2011	64 (32/32)	70-79	47/17	KPS≥60	IIIa-IV	GP+ KAI(30ml/d, d1-d21)	2	GP	1,2,3
Jiang L, 2011	65 (35/30)	50-79	44/21	KPS≥60	IIIb-IV	DP + KAI(40ml/d, d1-d12)	1	DP	1,2,3
Zheng ZP, 2012	48 (25/23)	NR	34/14	KPS>60	IIIb-IV	NP/TP/GP/PP + KAI(50ml/d, d1-d15)	4	NP/TP/GP/PP	3,8
Tu JG, 2012	63 (32/31)	70-85	41/22	KPS>60	IIIa-IV	DP + KAI(30ml/d, d1-d14)	2	DP	1,2,3,4,5,6,7
Chen L, 2014	83 (41/42)	NR	53/30	KPS>60	IIIa-IV	NP + KAI(40ml/d, d1-d21)	2	NP	1,2,8
Zhou ZY, 2014	80 (40/40)	62-76	44/36	KPS≥60	IIIb-IV	GP + KAI(40ml/d, d1-d14)	4	GP	1,2,8
Liu S, 2014	72 (36/36)	27-70	50/22	KPS≥70	IIIb-IV	TP/DP/GP/NP + KAI(60ml/d, d1-d14)	2	TP/DP/GP/NP	1,2,3,4,5,6,2
Huang JT, 2014	56 (28/28)	67-75	33/23	KPS>60	III-IV	TP + KAI(50ml/d, d1-d14)	2	TP	1,2,3,4,5,6,7
Wang ZF, 2017	76 (38/38)	21-74	54/22	KPS≥60	IV	FP + KAI(40ml/d, d1-d21)	1	FP	8
Luo JH, 2017	54 (27/27)	37-72	28/26	KPS≥60	IIIb-IV	NP + KAI(40ml/d, d1-d21)	2	NP	1,2
Yang XY, 2010	60 (30/30)	65-70	37/23	KPS>60	IIIb-IV	GP + KAI(40ml/d, d1-d14)	4	GP	1,2,3,8
Li JS, 2011	60 (30/30)	50-79	43/17	KPS≥60	IIIa-IV	TP + KAI(40ml/d, d1-d14)	3	TP	1,2,3,8
Wei HD, 2012	100 (50/50)	44-72	58/42	KPS≥60	IIIb-IV	NP + KAI(40ml/d, d1-d21)	4	NP	1,2,3,4,5
Li ZJ, 2013	68 (35/33)	43-75	45/23	KPS≥60	IIIa-IV	NP + KAI(40ml/d, d1-d10)	3	NP	1,2,3,5
Shi L, 2011	58 (29/29)	34-70	36/22	KPS>70	IIIb-IV	TP + KAI(30ml/d, d1-d14)	2	TP	1,2,3,4,5
Zhang MJ, 2009	120 (60/60)	29-75	76/44	KPS>60	IIIa-IV	TP + KAI(40ml/d, d1-d21)	2	TP	1,3
Wen JY, 2006	78 (40/38)	24-76	67/11	KPS>60	IIIb-IV	NP + KAI(50ml/d, d1-d14)	4	NP	1,2,3
Zhang JL, 2010	60 (30/30)	51-78	45/15	KPS>60	IIIa-IV	TP + KAI(40ml/d, d1-d14)	2	TP	1,2,3
Zhao JP, 2009	75 (38/37)	46-70	47/28	KPS≥60	IIIb-IV	DP + KAI(60ml/d, d1-d15)	2	DP	1,2,3,4,5
Hu DX, 2007	43 (21/22)	NR	29/14	KPS>60	IIIa-IV	NP + KAI(40~60ml/d, d1-d21)	2	NP	1,2
Guang XH, 2015	89 (46/43)	40-70	50/39	KPS≥60	IIIb-IV	GP + KAI(40ml/d, d1-d14)	2	GP	1,2,3,5
Zhang SQ, 2014	55 (30/25)	45-84	43/12	KPS≥60	III-IV	TP + KAI(60ml/d, d1-d21)	2	TP	1,2,3

KAI, Kangai injection; T, treatment; C, control; M, male; F, female; KPS, Karnofsky Performance Score; NR, not reported; GP, gemcitabine + platinum; TP, taxol + platinum; NP, navelbine + platinum; DP, docetaxel + platinum; PP, pemetrexed + platinum; FP, 5-Fluorouracil + platinum; VP, vindesine + platinum; ①Objective tumor response; ②Disease control rate; ③KPS/QOL(quality of life); ④gastrointestinal reaction (vomiting toxicity); ⑤leukocytopenia; ⑥hemoglobin deficiency; ⑦thrombocytopenia; ⑧immune function



Figure 2: Summary of the risk of bias for each included study. "+" (green): low risk of bias; "?" (yellow): unclear risk of bias; "-" (red): high risk of bias.

# ORR

A total of 33 studies reported the ORR, yielding a total sample of 2,494 patients (1,265 in the experimental group and 1,229 in the control group). Subgroup analyses were performed based on whether WHO or RECIST criteria were utilized. Heterogeneity analysis revealed that all included studies exhibited good homogeneity with regard to the consistency of their results (*P*=0.78, *I*<sup>2</sup>=0%) (**Figure 4**). Therefore, the fixed-effects model was applied. Our results indicated that ORR were significantly greater among patients in the KAI group than among those receiving chemotherapy alone (WHO criteria: RR=1.37, 95% CI: 1.25-1.52, *P*<0.00001; RECIST criteria: RR=1.36, 95% CI: 1.25-1.49, *P*<0.00001).

# DCR

A total of 32 studies reported the DCR, yielding a total sample of 2,374 patients (1,205 in the experimental group and 1,169 in the control group). We performed subgroup analysis due to the different criteria. Heterogeneity analysis revealed that there is a good homogeneity with regard to the consistency of the results (P=0.92,  $I^2$ =0%) (**Figure 5**). Therefore, the fixed-effects model was applied. Our results showed a significant improvement of DCR among patients in the KAI group (WHO criteria: RR=1.13, 95% CI: 1.09-1.18, P<0.00001; RECIST criteria: RR=1.14, 95% CI: 1.09-1.18, P<0.00001).

# QOL

A total of 27 studies assessed QOL, yielding a total sample of 2,048 patients (1,041 in the experimental group and 1,007 in the control group). As shown in **Figure 6**, we utilized a fixed-effects model to assess the homogeneity of the included

studies (P=0.88,  $I^2$ =0%). Overall RRs suggested that the combination of KAI and chemotherapy was more effective at improving QOL than chemotherapy alone (RR=1.75, 95% CI: 1.59-1.93, P<0.00001).

#### **Gastrointestinal reactions**

Twelve studies reported the rate of gastrointestinal reactions, yielding a total sample of 1,055 patients (532 in the experimental group and 523 in the control group). As there was no significant heterogeneity in the results (P=0.10,  $I^2=37\%$ ) (Figure 7), we performed quantitative data synthesis using a fixed-effects model. Overall RRs suggested that the combination of KAI and chemotherapy (RR=0.64, 95% CI: 0.54-0.77, P<0.00001) was more effective in reducing the incidence of gastrointestinal reactions than chemotherapy alone.

#### **Myelosuppression**

To evaluate the role of KAI in improving performed myelosuppression rigorously, we meta-analysis of leukocyte, hemoglobin and platelet, respectively. Of all the studies included, 15 documents reported the incidence of leukocytopenia, 4 studies reported hemoglobin deficiency, and 6 studies assessed thrombocytopenia. We conducted a fixed-effects model for quantitative data synthesis according to the homogeneity of the results: leukocytopenia (P=0.82, $I^2=0\%),$ hemoglobin deficiency (P=0.80, I<sup>2</sup>=0%), and thrombocytopenia  $(P=0.98, I^2=0\%)$  (Figure 8a-c). It is meaning for us to find that KAI combined with platinum-based chemotherapy could only reduce the incidence of leukocytopenia (RR=0.54, 95% CI: 0.46-0.63, *P*<0.00001) and thrombocytopenia (RR=0.65, 95% CI: 0.34-1.24, P=0.19) in this meta-analysis, while there is no significant difference in hemoglobin deficiency between the two groups (RR=0.52, 95% CI: 0.36-0.76, P=0.0007).

#### Immune function

Four separate articles reported NK and  $CD_3^+$  data, yielding 276 patients in the NK group and 269 patients in the  $CD_3^+$  group. A fixed-effects model was used for quantitative data synthesis due to the homogeneity of the results: NK (*P*=0.91, *I*<sup>2</sup>=0%),  $CD_3^+$  (*P*=0.14, *I*<sup>2</sup>=45%) (**Figure 9a-b**). Analysis of the pooled MD revealed that KAI combined with chemotherapy significantly improved NK (MD=2.27, 95% CI: 1.18-3.36, *P*<0.0001) and CD<sub>3</sub><sup>+</sup> values (MD=12.86, 95% CI: 11.64-14.08, *P*<0.00001) when compared with chemotherapy alone.

Due to the heterogeneity of  $CD_4^+$  (P<0.00001,  $l^2=86\%$ ),  $CD_8^+$  (P=0.0003,  $l^2=81\%$ ), and  $CD_4^+/CD_8^+$  (P<0.00001,  $l^2=90\%$ ) data (**Figure 9c-e**), we utilized a random-effects model for data synthesis. Our results indicated that the combination of KAI and chemotherapy significantly increased the percentage of  $CD_4^+$  cells (MD=5.48, 95% CI: 2.68-8.28, P=0.0001) and significantly decreased the percentage of  $CD_8^+$  cells (MD=-2.37, 95% CI from -4.51 to -0.23, P=0.03). However, there was no significance difference in  $CD_4^+/CD_8^+$  ratio between the two groups (MD= 0.12, 95% CI from -0.07 to 0.30, P=0.21).

#### **Publication bias**

Funnel plots and Egger's tests were used to examine the potential publication bias among studies reporting ORR. As shown in **Figure 10**, although one point lies outside of the funnel, the plot is nearly symmetric, suggestive of a lack of publication bias. In addition, Egger's tests revealed that there was no significant bias with regard to ORR (P=0.285), consistent with the results of funnel plot analysis.

Table 2:	Sensitivity	analysis	of this	study.
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Immune index	Ν	MD (95%CI)	$I^2$	Excluded articles*	Ν	MD (95%CI)	$I^2$	
$CD_{4^{+}}$	5	5.48 [2.68, 8.28]	86%	[45, 48]	3	8.01 [6.28, 9.73]	0%	
$CD_{8^{+}}$	5	-2.37 [-4.51, -0.23]	81%	[36,45,48]	2	-6.27 [-8.86, -3.69]	0%	
$\mathrm{CD}_{4^{+}}/\mathrm{CD}_{8^{+}}$	9	0.12 [-0.07, 0.30]	90%	[19,45,48]	6	0.26 [0.13, 0.38]	41%	

N, the number of studies. \*reference number.

#### Sensitivity analysis

Based on the results of our meta-analysis, we performed a sensitivity analysis for outcomes with high heterogeneity:  $CD_4^+$ ,  $CD_8^+$ , and  $CD_4^+/CD_8^+$ . This analysis indicated that the results of the fixed-effects and random-effects models were consistent, suggesting that the results of our meta-analysis were stable and reliable. We then removed studies with the greatest weight and those exhibiting significant differences (**Table 2**), which reversed the resultant heterogeneity, suggesting that the excluded articles may have been the source of heterogeneity.

Re-evaluation of the excluded studies revealed that differences in the dosage and course of chemotherapy may have been responsible for these results.



Figure 3: Risk of bias graph. Each risk of bias is presented as the percentage across all included studies.

Experimental    Con      Study or Subgroup    Events    Total    Events      1.1. WHO criteria    Events    Con    Events    13      Chen L,2014    25    41    13    13      Ge CZ,2011    21    32    13    13      He JF,2011    16    31    13      Hu DX,2007    11    21    10	<u>s Total</u> 3 42		Risk Ratio M-H, Fixed, 95% Cl	Risk Ratio M-H, Fixed, 95% Cl
1.1.1 WHO criteria      Chen L,2014    25    41    13      Ge CZ,2011    21    32    13      He JF,2011    16    31    13	3 42			
Chen L,2014    25    41    13      Ge CZ,2011    21    32    13      He JF,2011    16    31    13		0.70		
Ge CZ,2011 21 32 13 He JF,2011 16 31 13		2.7%	1.97 [1.18, 3.29]	
He JF, 2011 16 31 13	3 32	2.8%	1.62 [0.99, 2.63]	
		2.8%	1.19 [0.70, 2.03]	
		2.1%	1.15 [0.62, 2.13]	
Huang JT,2014 17 28 10		2.1%	1.70 [0.95, 3.03]	
Huang RW,2006 11 25 9		1.9%	1.22 [0.62, 2.42]	
		13.6%		
		3.0%	1.08 [0.84, 1.38] 1.05 [0.61, 1.82]	
2 .		3.0%		
			1.65 [0.66, 4.11]	
Li ZJ,2013 13 35 12		2.6%	1.02 [0.55, 1.91]	
Luo JH,2009 22 32 13		2.8%	1.69 [1.05, 2.73]	
Ma XP,2012 15 26 10		2.1%	1.50 [0.83, 2.70]	
Shi L,2011 16 29 11		2.3%	1.45 [0.82, 2.57]	
Sun LJ,2008 36 60 11		2.6%	2.62 [1.50, 4.58]	
Wang LF, 2010 23 32 15		3.2%	1.53 [1.00, 2.35]	
Wen JY,2006 29 40 18		3.9%	1.53 [1.04, 2.25]	
Wu DH,2009 16 28 15		3.2%	1.07 [0.67, 1.71]	
Zhang JL,2010 15 30 13		2.8%	1.15 [0.67, 1.99]	
Zhang MJ,2009 32 60 19		4.0%	1.68 [1.08, 2.62]	
Zhang SQ,2014 18 30 14		3.2%	1.07 [0.68, 1.69]	
Zhang XL,2005 13 30 13	3 32	2.7%	1.07 [0.59, 1.92]	
Zhao JP,2009 20 38 17	7 37	3.6%	1.15 [0.72, 1.82]	
Zhou ZY,2014 14 40 11	1 40	2.3%	1.27 [0.66, 2.45]	
Zou H,2008 18 26 12	2 25	2.6%	1.44 [0.89, 2.34]	
Zou Y,2013 25 38 14	4 38	3.0%	1.79 [1.11, 2.87]	
Subtotal (95% CI) 982	950	79.1%	1.37 [1.25, 1.52]	•
Total events 523 369	9			
Heterogeneity: Chi <sup>2</sup> = 21.72, df = 24 (P = 0.60);	I² = 0%			
Test for overall effect: Z = 6.36 (P < 0.00001)				
1.1.2 RECIST criteria				
Guang XH,2015 22 46 15		3.3%	1.37 [0.83, 2.28]	
LiJS,2011 15 30 13		2.8%	1.15 [0.67, 1.99]	
Liu S,2014 17 36 14		3.0%	1.21 [0.71, 2.07]	
Luo JH,2017 14 27 5	5 27	1.1%	2.80 [1.17, 6.69]	
Tu JG,2012 17 32 12	2 31	2.6%	1.37 [0.79, 2.38]	
Wei HD,2012 22 50 19		4.0%	1.16 [0.72, 1.86]	
Wei HY,2013 13 32 11	1 32	2.3%	1.18 [0.63, 2.23]	
Yang XY,2010 10 30 9	9 30	1.9%	1.11 [0.53, 2.34]	
Subtotal (95% CI) 283	279	20.9%	1.31 [1.07, 1.60]	-
Total events 130 98	В			
Heterogeneity: Chi <sup>2</sup> = 3.81, df = 7 (P = 0.80); l <sup>2</sup> =	= 0%			
Test for overall effect: Z = 2.58 (P = 0.010)				
Total (95% CI) 1265	1220	100.0%	1.36 [1.25, 1.49]	•
		100.0%	1.50 [1.25, 1.49]	Ŧ
Heterogeneity: Chi <sup>2</sup> = 25.73, df = 32 (P = 0.78);	1-= 0%			0.5 0.7 1 1.5 2
Test for overall effect: Z = 6.82 (P < 0.00001) Test for subgroup differences: Chi <sup>2</sup> = 0.19, df =	1 /P - 0	66) 12- 7	104	chemotherapy KAI+chemotherapy

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Figure 4: Forest plot showing objective response rates (ORR).

TSA indicated that the required information size (RIS) for a reliable and conclusive meta-analysis had been reached, and that KAI plus chemotherapy was significantly superior to the control intervention (**Figure 11**). These findings suggest that overall results for the analysis of ORR were robust. However, the quality of some included studies was low, which may have influenced estimates of sample size. including some studies is low, it will produce significant influence for the estimation of sample size.

MRA was also conducted to examine the effect of the number of KAI cycles on ORR and KPS. Our analysis revealed that KAI injection did not exert dose-dependent effects on ORR [logOR=-0.009-0.014 cycle number, (u=0.432, p=0.666)] or QOL [logOR=-0.006-0.019 cycle number, (u=0.987, p=0.324)]. Furthermore, both of these indices seemed to increase as the number of KAI cycles increased (**Figures 12, 13**).

# Discussion

#### Efficacy and safety analysis

NSCLC is a common respiratory malignancy in China. Although platinum-based chemotherapy has improved the clinical efficiency of NSCLC treatment, the adverse reactions and immunosuppression associated with treatment significantly impact patient QOL, which may lead to discontinuation of treatment. Previous studies have demonstrated that KAI replenishes qi and enhances immune function when utilized as an adjuvant treatment for chemotherapy. Such findings have been observed among patients with primary liver cancer, colorectal cancer, and patients with NSCLC [66, 67]. Modern pharmacological studies have reported that ginseng can inhibit tumor cell growth and differentiation, increase the sensitivity of chemotherapy drugs, and enhance the immune function of peripheral blood lymphocytes in patients with cancer [68]. Astragalus has been reported to promote the induction of interferon or exert interferon-like effects, and to enhance anti-tumor effects by strengthening the activity of NK cells [69, 70]. Additional research has indicated that matrine inhibits the proliferation and metastasis of tumor cells by inducing apoptosis, halting the cell cycle, and inhibiting the formation of blood vessels [71]. Hence, we conducted a meta-analysis to evaluate clinical efficiency, adverse reactions, and immune function in patients treated with platinum-based chemotherapy plus KAI for stage III/IV NSCLC.

Our systematic review included 35 studies involving a total of 2,618 patients. TSA of ORR suggested that the RIS for a conclusive and reliable meta-analysis had been reached, and that the combination of KAI and chemotherapy was significantly more effective than chemotherapy alone.

ORR, DCR and QOL play an important role in assessing the clinical efficiency of NSCLC treatment. In this meta-analysis, we performed the subgroup analysis of ORR and DCR determined based on different criteria. This analysis revealed that KAI in combination with chemotherapy appeared to be more effective in improving ORR and DCR regardless of the criteria adopted. Our results further demonstrated that adjuvant treatment with KAI was associated with significant improvements in QOL as measured via KPS, suggesting that combined treatment enhances the tolerance to chemotherapy.

Although platinum-based chemotherapy can improve the efficiency of tumor treatment and QOL, the adverse reactions caused by chemotherapy may lead to poor rates of treatment adherence among patients. Indeed, chemotherapy frequently induces gastrointestinal reactions and myelosuppression. In

	chemothe	erapy	Contr	ol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
2.1.1 WHO criteria							
Zou Y,2013	33	38	30	38	3.3%	1.10 [0.90, 1.35]	
Zou H,2008	23	26	19	25	2.1%	1.16 [0.90, 1.51]	
Zhou ZY,2014	37	40	32	40	3.5%	1.16 [0.97, 1.38]	<b></b>
Zhao JP,2009	35	38	29	37	3.3%	1.18 [0.97, 1.43]	
Zhang XL,2005	25	30	23	32	2.5%	1.16 [0.89, 1.52]	
Zhang SQ,2014	25	30	22	25	2.7%	0.95 [0.76, 1.18]	
Zhang JL,2010	25	30	22	30	2.4%	1.14 [0.87, 1.49]	
Wu DH,2009	19	28	19	28	2.1%	1.00 [0.70, 1.43]	
Wen JY,2006	37	40	30	38	3.4%	1.17 [0.97, 1.41]	
Wang LF,2010	28	32	25	32	2.8%	1.12 [0.89, 1.40]	
Sun LJ,2008	57	60	43	48	5.3%	1.06 [0.95, 1.19]	
Shi L,2011	25	29	20	29	2.2%	1.25 [0.94, 1.66]	
Ma XP,2012	23	25	18	26	2.2%	1.28 [0.95, 1.71]	
	31	32	21	32	2.0%		
Luo JH,2009	33	32	30	32	2.3%	1.48 [1.14, 1.91]	
Li ZJ,2013						1.04 [0.91, 1.19]	
Jing H,2007 Jiang L, 2014	38	51	31 22	46	3.6%	1.11 [0.85, 1.43]	
Jiang L,2011	30	35		30	2.6%	1.17 [0.91, 1.51]	
Huang YN,2011	134	144	122	142	13.6%	1.08 [1.00, 1.17]	
Huang RW,2006	22	25	16	25	1.8%	1.38 [0.99, 1.91]	
Huang JT,2014	26	28	24	28	2.7%	1.08 [0.90, 1.30]	
Hu DX,2007	19	21	19	22	2.1%	1.05 [0.84, 1.30]	
He JF,2011	26	31	22	30	2.5%	1.14 [0.88, 1.49]	
Ge CZ,2011	29	32	25	32	2.8%	1.16 [0.94, 1.44]	
Chen L,2014	36	41	31	42	3.4%	1.19 [0.96, 1.47]	
Subtotal (95% CI)		922		890	78.4%	1.13 [1.09, 1.18]	•
Total events	816		695				
Heterogeneity: Chi² = 1 Test for overall effect: 2			~ .	0%			
	•						
2.1.2 RESCIT criteria							
Yang XY,2010	27	30	26	30	2.9%	1.04 [0.86, 1.25]	
/Vei HY,2013	20	32	16	32	1.8%	1.25 [0.81, 1.94]	
Wei HD,2012	40	50	40	50	4.4%	1.00 [0.82, 1.22]	
Tu JG,2012	30	32	27	31	3.0%	1.08 [0.92, 1.27]	
_uo JH,2017	18	27	14	27	1.6%	1.29 [0.82, 2.02]	
_iu S,2014	26	36	20	36	2.2%	1.30 [0.91, 1.86]	
Li JS,2011	25	30	22	30	2.4%	1.14 [0.87, 1.49]	
Guang XH,2015	39	46	28	43	3.2%	1.30 [1.01, 1.67]	
Subtotal (95% CI)		283		279	21.6%	1.15 [1.04, 1.26]	◆
Fotal events	225		193				
Heterogeneity: Chi² = 5 Test for overall effect: 2				%			
Total (95% CI)		1205		1160	100.0%	1.14 [1.09, 1.18]	•
Fotal events	1041	1203	888	1105	100.070	1.14 [1.03, 1.10]	•
		24 /0 - 4		00			
Heterogeneity: Chi <sup>2</sup> = 2				0%0			0.5 0.7 1 1.5 2
Test for overall effect: 2					~ ~ ~	,	chemotherapy KAI+chemotherapy
Test for subaroup diffe	rences: Ch	nr= 0.07	r. at = 1 (	F = 0.7	9), I* = 0%	ю	

Figure 5: Forest plot showing disease control rates (DCR)

order to evaluate the condition of myelosuppression rigorously, we performed meta-analysis of leukocyte, platelet, hemoglobin and respectively. Our meta-analysis revealed that adjuvant therapy with KAI may attenuate gastrointestinal reactions, reduce the incidence of leukocytopenia and thrombocytopenia of grade II or higher, while there was no significant difference in hemoglobin deficiency between the two groups.

The present meta-analysis marks the first attempt evaluate the effect of KAI on immune function during chemotherapy. Our results indicated that KAI combined with chemotherapy was significantly more effective in increasing the percentage of NK,  $CD_3^+$ , and  $CD_4^+$  cells, and in

decreasing the percentage of  $CD_{8^+}$  cells, when compared with chemotherapy alone. Furthermore, there was no significant difference in  $CD_{4^+}/CD_{8^+}$ between the two groups. Taken together, these results

suggest that KAI exert beneficial effects on chemotherapy-induced immune dysfunction. We then performed sensitivity analysis due to the high heterogeneity for  $CD_4^+$ ,  $CD_8^+$ , and  $CD_4^+/CD_8^+$  indices. Although our results indicated good consistency among results, the observed heterogeneity may have influenced the stability and feasibility of our results.

Due to differences in tolerability and reactivity, KAI and chemotherapy regimens vary across patients. Therefore, we performed MRA of ORR and QOL, which revealed that KAI did not exert

Study or Subgroup    Events    Total    Events    Total    Weight    M.H., Fixed, 95% CI    M.H., Fixed, 95% CI      Ge CZ,2011    22    32    14    32    4.2%    1.57 [0.99, 2.48]      Guang XH,2015    15    46    13    43    4.0%    1.08 [0.58, 2.00]      Huang RW,2006    17    25    8    25    2.4%    2.13 [1.13, 4.00]      Huang RW,2011    95    144    52    142    15.5%    1.80 [1.41, 2.30]      Jiang L,2011    23    35    10    30    3.2%    1.97 [1.13, 3.45]      Li JS,2011    24    30    14    30    4.2%    1.71 [1.12, 2.62]      Liu S,2014    11    36    5    36    1.5%    2.20 [0.85, 5.69]		Experim	ental	Contr	ol		Risk Ratio	Risk Ratio
Guang XH, 2015  15  46  13  43  4.0%  1.08 [0.58, 2.00]    Huang JT, 2014  7  28  1  28  0.3%  7.00 [0.92, 53.23]    Huang RW, 2006  17  25  8  25  2.4%  2.13 [1.13, 4.00]    Jiang L, 2011  23  35  10  30  3.2%  1.97 [1.13, 3.45]    Li ZJ, 2013  25  35  11  33  4.0%  1.44 [1.27, 3.63]    Liu S, 2014  11  36  5  36  1.5%  2.20 [0.85, 5.69]    Luo JH, 2009  18  32  1.2  32  3.6%  1.60 [0.87, 2.58]    Ma XP, 2012  12  26  9  26  2.7%  1.33 [0.68, 2.61]    Shi L, 2011  13  0.3%  8.72 [1.17, 6.482]	Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixed, 95% Cl
Huang JT,2014  7  28  1  28  0.3%  7.00 [0.92, 53,23]    Huang RW,2006  17  25  8  25  2.4%  2.13 [1.13, 4.00]    Huang TV,2011  95  144  52  142  15.5%  1.80 [1.41, 2.30]    Jiang L,2011  24  30  14  30  4.2%  1.71 [1.13, 3.45]    Li JS,2011  24  30  14  30  4.2%  1.71 [1.12, 2.62]    Li JS,2013  25  35  11  33  3.4%  2.14 [1.27, 3.63]    Luo JH,2009  18  32  12  32  33 [0.68, 2.61]    Shi L,2011  13  29  8  29  2.4%  1.63 [0.80, 3.32]    Sun LJ,2008  8  60  2  4%  0.7%  3.20 [0.71, 14.37]    Wan JL,2010  25  32  18  32  12.27  1.33 [0.80, 3.2]    Wan JL,2008  8  60  2  4%  0.7%  3.20 [0.71, 14.37]    Wan JL,2010  25  32  18  32  2.4%  1.76 [1.02, 2.71]  4	Ge CZ,2011	22	32	14	32	4.2%	1.57 [0.99, 2.48]	
Huang RW,2006 17 25 8 25 2.4% 2.13 [1.13, 4.00] Huang YN,2011 95 144 52 142 15.5% 1.80 [1.41, 2.30] Jiang L,2011 23 35 10 30 3.2% 1.97 [1.13, 3.45] Li JS,2011 24 30 14 30 4.2% 1.71 [1.12, 2.62] Li JZ,2013 25 35 11 33 3.4% 2.14 [1.27, 3.63] Liu S,2014 11 36 5 36 1.5% 2.20 [0.85, 5.69] Luo JH,2009 18 32 12 32 3.6% 1.50 [0.87, 2.58] Ma XP,2012 12 22 6 9 26 2.7% 1.33 [0.80, 3.32] Sun L,2008 8 60 2 48 0.7% 3.20 [0.71, 14.37] Tu JG,2012 9 32 1 31 0.3% 8.72 [1.17, 64.82] Wang LF,2010 25 32 18 32 5.3% 1.39 [0.97, 1.98] Wei HD,2012 34 50 19 50 5.6% 1.79 [1.20, 2.67] Wei HY,2013 17 32 8 32 2.4% 2.13 [1.07, 4.21] Wen JY,2006 35 40 21 38 6.4% 1.56 [1.16, 2.16] Wu DH,2009 20 28 12 28 3.6% 1.67 [1.02, 2.71] Yang XY,2010 19 30 11 30 3.3% 1.73 [1.00, 2.97] Zhang MJ,2009 38 60 16 60 4.7% 2.23 [1.50, 3.77] Zhang SQ,2014 20 30 12 25 3.9% 1.39 [0.86, 2.24] Zhang XL,2005 14 30 11 32 3.2% 1.67 [1.08, 2.28] Zhang XL,2005 14 30 11 32 3.2% 1.66 [1.16, 2.16] Total events 599 333 Heterogeneity: Ch <sup>#</sup> = 17.94, df= 26 (P = 0.88); P = 0% Heterogeneity: Ch <sup>#</sup> = 17.94, df= 26 (P = 0.88); P = 0%	Guang XH,2015	15	46	13	43	4.0%	1.08 [0.58, 2.00]	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Huang JT,2014	7	28	1	28	0.3%	7.00 [0.92, 53.23]	+
Jiang L, 2011  23  35  10  30  3.2%  1.97 [1.13, 3.45]    Li JS, 2011  24  30  14  30  4.2%  1.71 [1.12, 2.62]    Li JS, 2014  11  36  5  36  1.5%  2.20 [0.85, 5.69]    Luo JH, 2009  18  32  12  32  3.6%  1.50 [0.87, 2.58]    Ma XP, 2012  12  26  9  26  2.7%  1.33 [0.68, 2.61]    Shi L, 2011  13  29  8  29  2.4%  1.63 [0.80, 3.32]    Sun L, 2008  8  60  2  48  0.7%  3.20 [0.71, 14.37]    Wang LF, 2010  25  32  18  32  6.3%  1.39 [0.97, 1.98]    Wei HD, 2012  34  50  19  50  5.6%  1.79 [1.20, 2.67]    Wei HV, 2013  17  32  8  32  2.4%  2.13 [1.07, 4.21]    Wen JY, 2006  35  40  21  38  6.6%  1.57 [1.02, 2.71]    Yang XY, 2010  19  30  11  30  3.3%  1.73 [1.00	Huang RW,2006	17	25	8	25	2.4%	2.13 [1.13, 4.00]	
Li JS,2011 24 30 14 30 4.2% 1.71 [1.12, 2.62] Li ZJ,2013 25 35 11 33 3.4% 2.14 [1.27, 3.63] Li Us,2014 11 36 5 36 1.5% 2.20 [0.85, 5.69] Luo JH,2009 18 32 12 32 3.6% 1.50 [0.87, 2.58] Ma XP,2012 12 26 9 26 2.7% 1.33 [0.68, 2.61] Shi L,2011 13 29 8 29 2.4% 1.63 [0.80, 3.32] Sun LJ,2008 8 60 2 48 0.7% 3.20 [0.71, 14.37] Tu JG,2012 9 32 1 31 0.3% 8.72 [1.17, 64.82] Wang LF,2010 25 32 18 32 5.3% 1.39 [0.97, 1.98] Wei HD,2012 34 50 19 50 5.6% 1.79 [1.20, 2.67] Wei HY,2013 17 32 8 32 2.4% 2.13 [1.07, 4.21] Wen JY,2006 35 40 21 38 6.4% 1.58 [1.16, 2.16] Wu DH,2009 20 28 12 28 3.6% 1.67 [1.02, 2.71] Yang XY,2010 19 30 11 30 3.3% 1.73 [1.00, 2.97] Zhang MJ,2009 38 60 16 60 4.7% 2.38 [1.50, 3.77] Zhang SQ,2014 20 30 12 25 3.9% 1.39 [0.97, 4.26] Zhang XL,2005 14 30 11 32 3.2% 1.36 [0.74, 2.51] Zhang XL,2009 29 38 18 37 5.4% 1.57 [1.08, 2.28] Zheng ZP,2012 13 25 4 23 1.2% 2.99 [1.14, 7.86] Zou H,2008 21 26 14 25 4.2% 1.44 [0.97, 2.14] Total events 599 333 Heterogeneity: Chi <sup>2</sup> = 17.94, df= 26 (P = 0.88); P = 0% Dettor events 699 333 Heterogeneity: Chi <sup>2</sup> = 17.94, df= 26 (P = 0.88); P = 0%	Huang YN,2011	95	144	52	142	15.5%	1.80 [1.41, 2.30]	
Li ZJ,2013 26 35 11 33 $3.4\%$ 2.14 [1.27, 3.63] Liu S,2014 11 36 5 36 1.5% 2.20 [0.85, 5.69] Luo JH,2009 18 32 12 32 3.6% 1.50 [0.87, 2.58] Ma XP,2012 12 26 9 26 2.7% 1.33 [0.68, 2.61] Shi L,2011 13 29 8 29 2.4% 1.63 [0.80, 3.32] Sun LJ,2008 8 60 2 48 0.7% 3.20 [0.71, 14.37] Tu JG,2012 9 32 1 31 0.3% 8.72 [1.17, 64.82] Wang LF,2010 25 32 18 32 5.3% 1.39 [0.97, 1.88] Wei HD,2012 34 50 19 50 5.6% 1.79 [1.20, 2.67] Wei HY,2013 17 32 8 32 2.4% 2.13 [1.07, 4.21] Wen JY,2006 35 40 21 38 6.4% 1.58 [1.16, 2.16] Wu DH,2009 20 28 12 28 3.6% 1.67 [1.02, 2.71] Yang XY,2010 19 30 11 30 3.3% 1.73 [1.00, 2.97] Zhang SU,2010 15 30 9 30 2.7% 1.67 [0.87, 3.20] Zhang SU,2010 15 30 9 30 2.7% 1.67 [0.87, 3.20] Zhang SU,2005 14 30 11 32 3.2% 1.36 [0.74, 2.51] Zhang SU,2005 14 30 11 32 3.2% 1.36 [0.74, 2.51] Zhang SU,2005 14 30 11 32 3.2% 1.36 [0.74, 2.51] Zhang XL,2005 14 30 11 32 3.2% 1.36 [0.74, 2.51] Zhang ZP,2012 13 25 4 23 1.2% 2.99 [1.14, 7.86] Zou H,2008 21 26 14 25 4.2% 1.44 [0.97, 2.14] Total events 599 333 Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88), P = 0% Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88), P = 0% Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88), P = 0% Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88), P = 0%	Jiang L,2011	23	35	10	30	3.2%	1.97 [1.13, 3.45]	· · · · · · · · · · · · · · · · · · ·
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$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Liu S,2014	11	36	5	36	1.5%	2.20 [0.85, 5.69]	
Shi L,2011  13  29  8  29  2.4%  1.63 [0.80, 3.32]    Sun LJ,2008  8  60  2  48  0.7%  3.20 [0.71, 14.37]    Tu JG,2012  9  32  1  31  0.3%  8.72 [1.17, 64.82]    Wang LF,2010  25  32  18  32  5.3%  1.39 [0.97, 1.98]    Wei HD,2012  34  50  19  50  5.6%  1.79 [1.20, 2.67]    Wei HD,2013  17  32  8  32  2.4%  2.13 [1.07, 4.21]    Wu DH,2009  20  28  1.2  28  3.6%  1.67 [1.02, 2.71]    Yang XY,2010  19  30  11  30  3.3%  1.73 [1.00, 2.97]    Zhang MJ,2009  38  60  16  60  4.7%  2.38 [1.50, 3.77]    Zhang SQ,2014  20  30  12  25  3.9%  1.39 [0.86, 2.24]    Zhang SQ,2014  20  30  12  25  3.9%  1.36 [0.74, 2.51]    Zhang ZP,2012  13  25  4  23  1.2%  2.99 [1.14,	Luo JH,2009	18	32	12	32	3.6%	1.50 [0.87, 2.58]	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Ma XP,2012	12	26	9	26	2.7%	1.33 [0.68, 2.61]	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Shi L,2011	13	29	8	29	2.4%	1.63 [0.80, 3.32]	
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$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Wei HD,2012	34	50	19	50	5.6%	1.79 [1.20, 2.67]	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Wei HY,2013	17	32	8	32	2.4%	2.13 [1.07, 4.21]	
Yang XY,2010 19 30 11 30 $3.3\%$ $1.73 [1.00, 2.97]$ Zhang JL,2010 15 30 9 30 $2.7\%$ $1.67 [0.87, 3.20]$ Zhang MJ,2009 38 60 16 60 $4.7\%$ $2.38 [1.50, 3.77]$ Zhang SQ,2014 20 30 12 25 $3.9\%$ $1.39 [0.86, 2.24]$ Zhang XL,2005 14 30 11 32 $3.2\%$ $1.36 [0.74, 2.51]$ Zhao JP,2009 29 38 18 37 $5.4\%$ $1.57 [1.08, 2.28]$ Zheng ZP,2012 13 25 4 23 $1.2\%$ $2.99 [1.14, 7.86]$ Zou H,2008 21 26 14 25 $4.2\%$ $1.44 [0.97, 2.14]$ Total (95% CI) 1041 1007 100.0% $1.75 [1.59, 1.93]$ Total events 599 333 Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88); P = 0% Teatfor rowerlul effect $7 = 11 27.08 = 0$ 00001)	Wen JY,2006	35	40	21	38	6.4%	1.58 [1.16, 2.16]	
Zhang JL,2010  15  30  9  30  2.7%  1.67 [0.87, 3.20]    Zhang MJ,2009  38  60  16  60  4.7%  2.38 [1.50, 3.77]    Zhang SQ,2014  20  30  12  25  3.9%  1.39 [0.86, 2.24]    Zhang XL,2005  14  30  11  32  3.2%  1.36 [1.08, 2.28]    Zhang ZP,2019  29  38  18  37  5.4%  1.57 [1.08, 2.28]    Zheng ZP,2012  13  25  4  23  1.2%  2.99 [1.14, 7.86]    Zou H,2008  21  26  14  25  4.2%  1.44 [0.97, 2.14]    Total (95% CI)  1041  1007  100.0%  1.75 [1.59, 1.93]      Total events  599  333	Wu DH,2009	20	28	12	28	3.6%	1.67 [1.02, 2.71]	
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Zhang SQ, 2014  20  30  12  25  3.9%  1.39 [0.86, 2.24]    Zhang XL, 2005  14  30  11  32  3.2%  1.36 [0.74, 2.51]    Zhao JP, 2009  29  38  18  37  5.4%  1.57 [1.08, 2.28]    Zhang ZP, 2012  13  25  4  23  1.2%  2.99 [1.14, 7.86]    Zou H, 2008  21  26  14  25  4.2%  1.44 [0.97, 2.14]    Total (95% CI)  1041  1007  100.0%  1.75 [1.59, 1.93]    Total events  599  333    Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88); l <sup>2</sup> = 0 %  0.2  0.5  1    Total events  599  300  1.75 [1.59, 1.93]  0.2	Zhang JL,2010	15	30	9	30	2.7%	1.67 [0.87, 3.20]	
Zhang XL,2005  14  30  11  32  3.2%  1.36 [0.74, 2.51]    Zhao JP,2009  29  38  18  37  5.4%  1.57 [1.08, 2.28]    Zheng ZP,2012  13  25  4  23  1.2%  2.99 [1.14, 7.86]    Zou H,2008  21  26  14  25  4.2%  1.44 [0.97, 2.14]    Total (95% Cl)  1041  1007  100.0%  1.75 [1.59, 1.93]    Total events  599  333    Heterogeneity: Chi² = 17.94, df = 26 (P = 0.88); l² = 0%  0.2  0.5  1	Zhang MJ,2009	38	60	16	60	4.7%	2.38 [1.50, 3.77]	
Zhao JP,2009  29  38  18  37  5.4%  1.57 [1.08, 2.28]    Zheng ZP,2012  13  25  4  23  1.2%  2.99 [1.14, 7.86]    Zou H,2008  21  26  14  25  4.2%  1.44 [0.97, 2.14]    Total (95% CI)  1041  1007  100.0%  1.75 [1.59, 1.93]    Total events  599  333    Heterogeneity: Chi² = 17.94, df = 26 (P = 0.88); l² = 0%  0.2  0.5  1	Zhang SQ,2014	20	30	12	25	3.9%	1.39 [0.86, 2.24]	
Zheng ZP,2012  13  25  4  23  1.2%  2.99 [1.14, 7.86]    Zou H,2008  21  26  14  25  4.2%  1.44 [0.97, 2.14]    Total (95% CI)  1041  1007  100.0%  1.75 [1.59, 1.93]    Total events  599  333    Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88); l <sup>2</sup> = 0%  0.2  0.5  1    Test for rowscall effect 7 = 11 27 (P < 0.0001)	Zhang XL,2005	14	30	11	32	3.2%	1.36 [0.74, 2.51]	
Zou H,2008 21 26 14 25 4.2% 1.44 [0.97, 2.14] Total (95% Cl) 1041 1007 100.0% 1.75 [1.59, 1.93] Total events 599 333 Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88); l <sup>2</sup> = 0% Test for rowsrall effect 7 = 11 27 (P < 0.0001) 0.2 0.5 1 2	Zhao JP,2009	29	38	18	37	5.4%	1.57 [1.08, 2.28]	
Total (95% CI)    1041    1007    100.0%    1.75 [1.59, 1.93]      Total events    599    333      Heterogeneity: Chi <sup>2</sup> = 17.94, df = 26 (P = 0.88); l <sup>2</sup> = 0%    0.2    0.5    1    2	Zheng ZP,2012	13	25	4	23	1.2%	2.99 [1.14, 7.86]	
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Test for overall effect: 7 = 11.27 (R < 0.00001) U.2 U.5 1 2	Total events	599		333				
	Heterogeneity: Chi <sup>2</sup> =	17.94, df=	= 26 (P =	= 0.88); I <sup>z</sup>	= 0%			
	Test for overall effect	Z=11.27	(P < 0.0	0001)				U.2 U.5 1 2 5 chemotherapy KAI+chemotherapy

#### Figure 6: Forest plot showing quality of life (QOL) results for the included studies.



Figure 7: Forest plot showing the incidence of gastrointestinal reactions for the included studies.

dose-dependent effects on ORR and QOL. Moreover, both indices seemed to increase as the number of KAI cycles increased.

#### Limitations of the included studies

Although our results indicated that KAI combined with platinum-based chemotherapy is superior to chemotherapy alone with regard to improving clinical efficiency, reducing adverse reactions, and enhancing immune function, the included studies possess several limitations of note. First, although random allocation was utilized in all included studies, only nine of these studies reported the specific method of random allocation. While one study mentioned that opaque envelopes were used for allocation concealment, no such information was reported in the remaining studies, which may have resulted in selection bias. In addition, none of the 35 studies described the method of double-blinding or

the blinding of outcome assessments. Only six articles reported rates of follow-up and withdrawal. Moreover, the results reported by four studies were less than the evaluation index in previous studies, suggestive of a certain degree of reporting bias. As the data were insufficient for the retrieved studies, sources of other bias remained unclear. In general, these potential sources of bias may have led to overestimation of curative efficiency, attenuating the strength of our conclusions. Second, it is possible that false-positive results were included, as the studies examined utilized an "A+B" to "B" design without strict placebo controls. Third, because all included studies were published in Chinese, language bias may have influenced our results. Given these concerns, our results should be interpreted with caution and verified in more rigorous trials with high methodological quality.



Figure 8: Forest plot showing rates of myelosuppression for the included studies: a. leukocytopenia; b. hemoglobin deficiency; c. thrombocytopenia.

# Conclusions

Our findings suggest that KAI combined with platinum-based chemotherapy is effective in improving ORR, DCR and QOL, attenuating chemotherapy-induced adverse effects, and regulating immune function in patients with NSCLC. Thus, KAI may be appropriate for use as a complementary and alternative treatment for NSCLC. However, given the poor methodological quality of the included studies, our results should be interpreted with caution. Future RCTs with more rigorous designs should be conducted to yield more reliable conclusions to inform clinical practice.



Figure 9: Forest plot shows immune index. a. NK; b. CD3+; c. CD4+; d. CD8+; e. CD4+/CD8+.



Figure 10: Funnel plot of ORR.











Figure 13: Meta-regression analysis on QOL.

## Abbreviations

KAI: kangai injections; NSCLC: non-small cell lung cancer; RCT: randomized controlled trials; CMA: comprehensive meta-analysis; ORR: objective response rate; QOL: quality of life; TCM: traditional Chinese medicine; CPM: Chinese patent medicine; CNKI: Chinese National Knowledge Infrastructure; CBM: Chinese Biological Medicine; VIP: VIP Database for Chinese Technical Periodicals; PRISMA: Preferred Reported Items for Systematic Review and Meta-analysis; PROSPERO: International Prospective Register of Systematic Reviews; KPS: Karnofsky performance scores; WHO: World Health Organization; RECIST: Response Evaluation Criteria in Solid Tumors; CR: complete relief; PR: partial remission; SD: stable disease; PD: progressive disease; CMA: Comprehensive Meta-Analysis; RR: Risk ratio; MD: mean differences; CI: confidence intervals; TSA: Trial sequential analysis; MRA: meta-regression analysis; NK: natural killer cells.

# **Supplementary Material**

Supplementary table and search strategy. http://www.jcancer.org/v10p5283s1.pdf

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#### **Author Contributions**

Hongxiao Li: design, collecting data, statistical analysis, writing the article, final approval of the article.

Yuejin Ji: design, collecting data, statistical analysis, editing the final text, final approval of the article.

Shiping Zhang: collecting data, statistical analysis, final approval of the article.

Zishan Gao: collecting data, critical revision, final approval of the article.

Cheng Hu: collecting data, critical revision, final approval of the article.

Rilei Jiang: collecting data, critical revision, final approval of the article.

Meijuan Chen: collecting data, critical revision of the article, final approval of the article.

Guochun Li: conception, design, statistical analysis, critical revision of the article, final approval of the article.

Xu Zhang: conception, design, supervising the whole process, critical revision of the article, final approval of the article.

# **Competing Interests**

The authors have declared that no competing interest exists.

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