

Research Paper

# SPOCK1 Overexpression Confers a Poor Prognosis in Urothelial Carcinoma

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## Abstract

**Purpose:** The majority deaths of cancer patients are related to metastasis, thus genes associated with cell motility interest us. *SPOCK1* was elected by data mining and serial evaluation. In addition, *SPOCK1* has been reported to be highly expressed in different human cancers and been related to adverse outcomes. Therefore, we validate its prognostic significance in urothelial carcinoma (UC).

**Materials and Methods:** Real-time RT-PCR assay was used to detect *SPOCK1* transcript level in 27 urinary tract urothelial carcinoma (UTUC) and 27 urinary bladder urothelial carcinoma (UBUC) samples. Immunohistochemistry evaluated by H-score determined *SPOCK1* expressions in 340 UTUCs and 295 UBUCs. The transcript and protein expression were correlated with clinicopathological features. Further evaluations of the prognostic significance of *SPOCK1* for disease-specific survival (DSS) and metastasis-free survival (MeFS) were analyzed.

**Results:** The expressions of *SPOCK1* in UC were higher than those in normal urothelium by immunohistochemistry. The statistical analysis of clinicopathologic characteristics and immunohistochemistry showed that the higher expression of *SPOCK1* was correlated to pT status ( $P < 0.001$ ), lymph node metastasis (UTUC,  $P = 0.006$ ; UBUC,  $P = 0.033$ ), higher histological grade (UTUC,  $P < 0.001$ ; UBUC,  $P < 0.001$ ), vascular invasion (UTUC,  $P < 0.001$ ; UBUC,  $P < 0.001$ ), perineurial invasion (UTUC,  $P < 0.001$ ; UBUC,  $P = 0.001$ ) and frequent mitosis (UTUC,  $P < 0.001$ ; UBUC,  $P = 0.001$ ). The prognosis of *SPOCK1* of UC showed high *SPOCK1* expression had significantly worse DSS and MeFS.

**Conclusions:** The investigation demonstrated that the higher expression of *SPOCK1* correlates with a poor prognosis in UC.

Key words: transcriptome, *SPOCK1*, urothelial carcinoma, prognosis.

## Introduction

Urothelial carcinoma (UC), the predominant type among the varied histologic malignancies arising from the urinary tract, derives from the urothelial

lining either in the lower tract (urinary bladder) or the upper tract (renal pelvis, ureter) [1, 2]. About 80% of patients with urinary bladder urothelial carcinoma

face recurrence within one to two years of initial treatment [3, 4]. A significant proportion of these patients die because of recurrence even after receiving standard treatment [5]. In addition, around 25% of patients with UC have muscle-invasive disease, and either present with or later develop metastasis [6]. Thus effort is needed to explore the development and progression of UC in order to identify a better prognosticator and to enable better oncology-targeted therapies.

The majority deaths among cancer patients result from metastasis rather than the primary disease. Metastasis is the distal settlement of tumor cells and starts escaping from the primary location. Therefore we investigated genes related to cell motility in UC, which have not been systemically evaluated before. From data mining and comparison with other related genes, *SPOCK1* demonstrated exciting associations with invasiveness and metastasis in the transcriptome GSE31684.

*SPOCK1* encodes a calcium-binding matricellular glycoprotein belonging to the SPARC family [7]. The importance of SPARC in regulating proliferation, cell-cycle progression, apoptosis, adhesion, and cell-matrix interaction has been well documented [8]. Recent evidence suggests that *SPOCK1*, which is structurally similar, may play a crucial role in invasion of pilocytic astrocytoma [9] and in progression of hepatocellular carcinoma (HCC) [10]. Our study has found that *SPOCK1* expression is correlated with adverse clinicopathological factors and survival in a well-characterized cohort of UC.

## Materials and Methods

### Data mining of the GEO to identify altered transcripts in UC

One dataset, GSE31684 (<http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE31684>), which profiled radical cystectomy specimens from 93 urinary bladder urothelial carcinoma (UBUC) cases, was identified by data mining of the GEO (National Center for Biotechnology information, Bethesda, MD, USA) and by Affymetrix U133 Plus 2.0 Array. To evaluate the gene expression level, we imported the raw CEL files into the Nexus Expression 3 statistical software (BioDiscovery, EI Segundo, CA, USA). All probe sets were used in the evaluation without pre-selection or filtering. We performed supervised comparative analysis to examine the statistical significance of differentially expressed genes on the basis of progression in primary tumor status (pT) and the development of metastatic events. With this intention, we compared the differential expression in low-stage (pTa-pT1) UCs and muscle-invasive, high-stage

(pT2-pT4) UCs, and compared non-metastatic lesions to those that developed distal metastasis, respectively, in order to perform functional profiles focusing on the genes related to cell motility (GO:0048870). Only genes displaying significantly differential expression in both stage ( $\log_2$  ratio > 1.0) and metastasis ( $\log_2$  ratio > 0.5) were enrolled for initial validation.

### Patients and tumor specimens

This study was approved by the Institutional Review Board (IRB) of Chi Mei Medical Center, approval number IRB10302015. All specimens were obtained from the BioBank of Chi Mei Medical Center and had been collected previously following official ethical guidelines. We retrieved urothelial carcinoma cases for immunohistochemical study and survival analysis between 1996 and 2004 from the Chi Mei Medical Center archives. For the initial validation, which focused on identifying the most significant among the candidate genes, we randomly selected 50 UBUCs and 50 urinary tract urothelial carcinomas (UTUCs) as the pilot batch of cases. We further evaluated the gene demonstrating greatest clinical significance in an independent cohort, as previously described [11]. The independent cohort included a total of 635 consecutively treated, well-characterized cases: 340 tumors originating from the UT and 295 arising from the UB. All patients received nephroureterectomy and excision of the bladder with regional lymph node dissection. Of these cases, UBUC patients with pT3 or pT4 stage tumors or with nodal involvement received cisplatin-based post-operative adjuvant chemotherapy, but patients with renal insufficiency obtained carboplatin. However, only 29 of the 106 UTUC patients with pT3 or pT4 stage tumors or nodal involvement received post-operative adjuvant chemotherapy. In addition, neo-adjuvant chemotherapy was not introduced to the patients with UBUC or UTUC in our cohort. The criteria for clinicopathological evaluation were essentially identical to those in our previous work [11]. Two pathologists (P.I.L & C.F.L) re-evaluated hematoxylin-eosin sections of all cases.

### Immunohistochemical staining

Following histological review, tissue blocks containing the most invasive area of each case were selected for immunohistochemical study. We cut representative tissue sections of 4- $\mu$ m thickness onto precoated slides from the paraffin-embedded tissue blocks, succeeded by deparaffinization, rehydration, antigen retrieval, and blockage of endogenous peroxidase. Endogenous peroxidase was buffered with saline for 15 minutes and subsequently incubated with a primary antibody targeting CALD1 (1:100,

C-19, Santa Cruz) or SPOCK1 (1:200, 102D1, Santa Cruz) for one hour. We detected primary antibodies using the DAKO ChemMate EnVision Kit (K5001, Carpinteria, CA, USA). The presence of brown chromogen in the cytoplasm of target cells indicated positive immunoreactivity. The quality of immunostaining was ensured by a negative control incubated without the primary antibody.

### Interpretation and scoring of immunohistochemistry

Two pathologists (P.I.L & C.F.L) appraised the immunohistochemistry without prior knowledge of clinical and follow-up data. Immunoreactivity was estimated with a combination of the percentage and intensity of positively stained tumor cytoplasm in order to generate the H-score, which was calculated by the following equation:  $H\text{-score} = \sum P_i(i + 1)$ , where  $i$  is the intensity of stained tumor cells (0 to 3+), and  $P_i$  is the percentage of stained tumor cells for each intensity varying from 0% to 100%. This formula produces a score range from 100-400, where 100 equals 100% of tumor cells showing negative results and 400 equals 100% of tumor cells strongly stained (3+) [12, 13].

### Real-time RT-PCR

Since *SPOCK1* was identified as the most significantly altered gene, its transcript level was further determined in a collection of snap-frozen samples containing high percentage (no less than 70%) of tumor elements consisting of 27 UBUCs and 27 UTUCs, respectively. For this goal, total RNAs were extracted, quantified, and submitted for reverse-transcription. Using pre-designed TaqMan assay reagents (Applied Biosystems), we measured mRNA abundance of *SPOCK1* (Hs00270274\_m1) with the ABI StepOnePlus™ System. The fold expression of *SPOCK1* relative to normal urothelium was calculated by comparative Ct method, after normalization to *POLR2A* (Hs01108291\_m1) as the internal control.

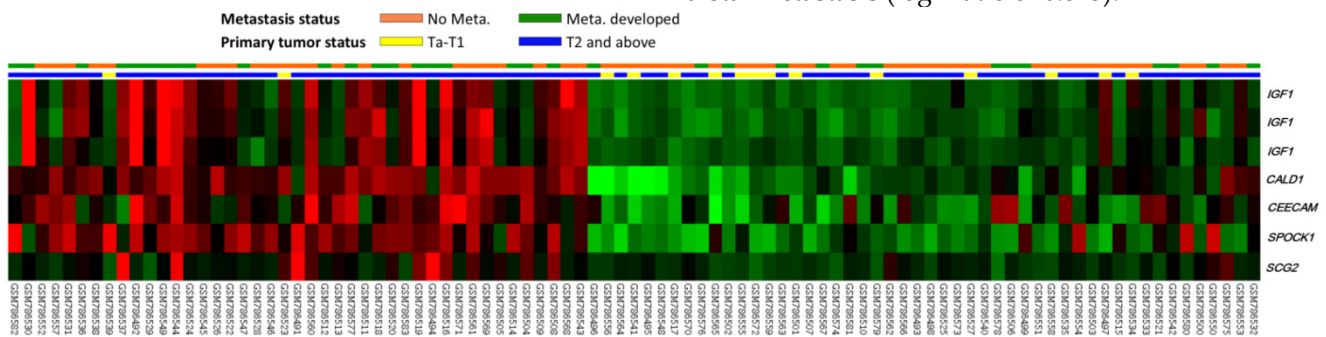
### Statistical analysis

Statistical analysis was performed using SPSS V.14.0 software (SPSS Inc. Chicago, IL, USA). The median H-score of immunohistochemistry for *SPOCK1* was the cutoff to dichotomize the study cohort, separating cases into high expression and low expression groups. We employed the chi-square test to compare *SPOCK1* expression status and various clinicopathological parameters. The end points analyzed were disease-specific survival (DSS) and metastasis-free survival (MeFS), calculated from the date of curative surgery to the date an event developed. Patients lost to follow-up were censored on the final follow-up date. We plotted survival curves using the Kaplan-Meier method, and evaluated prognostic differences between groups using the log-rank test. Parameters demonstrating  $P$  values less than 0.05 in univariate analysis were subsequently enrolled into multivariate tests using the Cox proportional hazards model. For all analyses, two-sided tests of significance with  $P < 0.05$  were considered significant.

### Results

#### *CALD1*, *SPOCK1*, *SCG2*, *IGF1* and *CEECAM1* were identified as significant differentially up-regulated transcripts implicated in cell motility in UC

From the transcriptomic profiles of GSE31684, we found 109 probes covering 52 transcripts associated with cell motility (GO:0048870). Of these, only seven probes covering five genes showed significant differential expression associated with both increments of primary tumor stage and the presence of distal metastasis (Fig. 1 and Table 1). Of these, the upregulated *CALD1* and *SPOCK1* were more significant and met the selection criteria. Of the candidate genes, *CALD1* was the most significantly upregulated with increments of primary tumor status, showing a log2 ratio of 1.6569 fold. *SPOCK1* upregulation was most significantly associated with the presence of distal metastasis (log2 ratio of 0.843).



**Figure 1.** Analysis of gene expression in urothelial carcinomas of the bladders from a published transcriptomic dataset (GSE31684). Clustering analysis of genes focusing on cell motility (GO:0048870) showed upregulation of *IGF1*, *CALD1*, *CEECAM*, *SPOCK1* and *SCG2* were more significantly associated with both higher primary tumor status (pT) and distal metastasis. Specimens from high pT (blue lines) and low pT (yellow lines) are indicated on top of the heatmap, and upregulation and downregulation of genes are illustrated as a spectrum of brightness of red and green, respectively, with those unaltered coded as black.

**Table 1.** Summary of differentially expressed genes associated with Cell Motility (GO: 0048870) and showed positive associations to cancer invasiveness and metastasis in the transcriptome of urothelial carcinoma of urinary bladder (GSE31684).

Probe	Comparing T2-4 to Ta-T1		Comparing Meta. to Non-Meta.#		Gene Symbol	Biological Process	Molecular Function
	log ratio	p-value	log ratio	p-value			
201617_x_at	1.6569	<0.0001	0.6623	0.0085	<i>CALD1</i>	cell motility, muscle contraction	actin binding, calmodulin binding, myosin binding, tropomyosin binding
202363_at	1.1471	0.0003	0.843	0.0005	<i>SPOCK1</i>	cell adhesion, cell motility, cell proliferation, multicellular organismal development, nervous system development	calcium ion binding
204035_at	0.5489	0.0071	0.519	0.0009	<i>SCG2</i>	MAPKKK cascade, angiogenesis, cell motility, endothelial cell migration, eosinophil chemotaxis, induction of positive chemotaxis, inflammatory response, intracellular signaling cascade, negative regulation of apoptosis, negative regulation of endothelial cell proliferation, positive regulation of endothelial cell proliferation, protein secretion	calcium ion binding, chemoattractant activity, cytokine activity
209540_at	0.7559	0.0038	0.6879	0.0005	<i>IGF1</i>	DNA replication, Ras protein signal transduction, cell motility, glycolate metabolic process, muscle development, positive regulation of cell proliferation, regulation of steroid hormone receptor signaling pathway, sensory perception of sound, signal transduction, skeletal development	growth factor activity, hormone activity, insulin-like growth factor receptor binding, prothoracicotrophic hormone activity
209541_at	0.8353	0.0015	0.7105	0.0005	<i>IGF1</i>	DNA replication, Ras protein signal transduction, cell motility, glycolate metabolic process, muscle development, positive regulation of cell proliferation, regulation of steroid hormone receptor signaling pathway, sensory perception of sound, signal transduction, skeletal development	growth factor activity, hormone activity, insulin-like growth factor receptor binding, prothoracicotrophic hormone activity
209542_x_at	0.3483	0.0058	0.3631	0.0001	<i>IGF1</i>	DNA replication, Ras protein signal transduction, cell motility, glycolate metabolic process, muscle development, positive regulation of cell proliferation, regulation of steroid hormone receptor signaling pathway, sensory perception of sound, signal transduction, skeletal development	growth factor activity, hormone activity, insulin-like growth factor receptor binding, prothoracicotrophic hormone activity
224794_s_at	1.0792	<0.0001	0.4795	0.0067	<i>CEECAM1</i>	cell adhesion, cell motility, leukocyte adhesion, lipopolysaccharide biosynthetic process	oxidoreductase activity; acting on single donors with incorporation of molecular oxygen; incorporation of two atoms of oxygen, procollagen-lysine 5-dioxygenase activity

#, Meta., distal metastasis developed during follow-up; Non-Meta.: no metastatic event developed.

### SPOCK1 expression was most significantly associated with tumor aggressiveness in genes associated with cell motility

In the pilot batch of cases for initial validation, SPOCK1 but not CALD1 overexpression was significantly associated with both primary tumor status (UTUC,  $P=0.004$ ; UBUC,  $P=0.009$ ) and nodal metastasis (UTUC,  $P=0.037$ ; UBUC,  $P=0.042$ ) (Table S1). Moreover, SPOCK1 was the most significant candidate that predicted inferior DSS (UTUC,  $P=0.0002$ ; UBUC,  $P=0.0017$ ) and worse MeFS (UTUC,  $P<0.0001$ ; UBUC,  $P=0.0006$ ) (Table S2).

### SPOCK1 mRNA expression is positively associated with higher pT status in both UTUC and UBUC

In the 27 UTUCs and 27 UBUCs tested, SPOCK1 mRNA expression was significantly upregulated with higher pT status in both UTUC ( $P=0.002$ ) and UBUC ( $P=0.001$ ), suggesting that it plays a role in tumor progression (Fig. 2).

### Clinicopathological findings for UTUC

The clinicopathological features of the UTUC patients are listed in Table 2. The disease showed no predilection for either sex. The median age at diagnosis was 68 years, ranging from 34 to 87 years. Sixty-two patients (18.2%) suffered from multifocal tumors, and 49 (14.4%) had tumors involving both the renal pelvis and ureter. The majority of cases ( $n=284$ , 83.5%) were of high histological grade. Advanced pT stage (pT2-T4) was seen in 159 cases (46.8%). Around one-half of the cases ( $n=167$ , 49.1%) showed mitotic activity. Vascular invasion and perineurial invasion were noted in 106 cases (31.2%) and 19 cases (5.9%), respectively. Nodal metastasis was observed in 28 patients (8.2%).

### Clinicopathological findings for UBUC

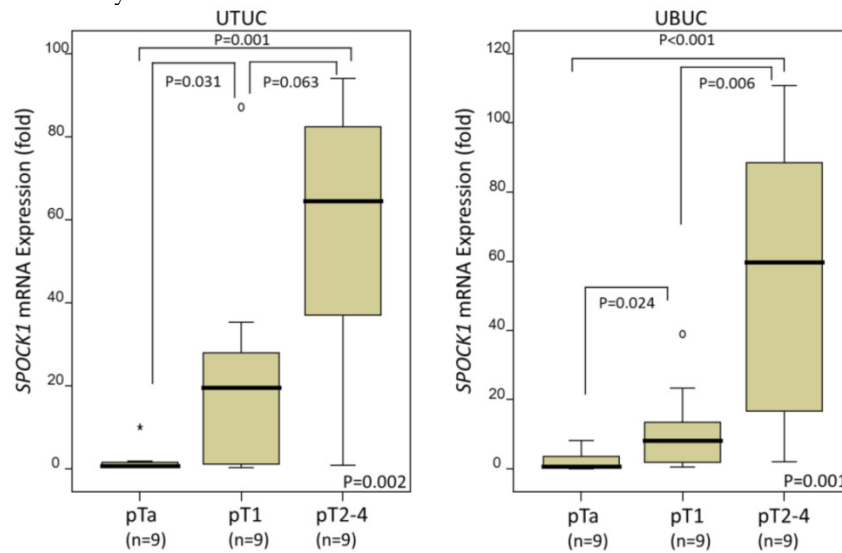
UBUC patients were predominantly male ( $n=216$ , 73.2%) and older than 65 years ( $n=174$ , 59.0%). As outlined in Table 2, most ( $n=239$ , 81%) were of high histological grade, with 123 (41.7%) in advanced

stages (pT2-T4) at initial diagnosis. One hundred and fifty-six cases (52.9%) showed high mitotic activity ( $\geq 10/10$ HPPFs). Lymph node metastasis was detected in 23.6% of patients (n=29). Vascular invasion was evident in 49 cases (16.6%) and perineurial invasion in 20 cases (6.8%).

**Correlation of SPOCK1 immunoreactivity with parameters in UTUC and UBUC**

SPOCK1 demonstrates variable cytoplasmic expression in carcinoma cells of both the UT and UB, with median H-scores of 260 (range, 110-380) and 285 (range, 115-375) respectively. In contrast, the expression level of SPOCK1 is very low in normal urothe-

lium, with H-scores ranging from 100 to 140. After dichotomizing the tumors into those with low and high SPOCK1 expression, as illustrated in **Table 2**, we found increased SPOCK1 expression was significantly associated with increments of pT status ( $P<0.001$ ), lymph node metastasis (UTUC,  $P=0.006$ ; UBUC,  $P=0.033$ ), higher histological grade (UTUC,  $P<0.001$ ; UBUC,  $P<0.001$ ), vascular invasion (UTUC,  $P<0.001$ ; UBUC,  $P<0.001$ ), perineurial invasion (UTUC,  $P<0.001$ ; UBUC,  $P=0.001$ ) and frequent mitosis (UTUC,  $P<0.001$ ; UBUC,  $P=0.001$ ) in urothelial carcinomas of the two locations. These findings point to a role for SPOCK1 in the progression of UC.



**Figure 2.** Quantitative real-time RT-PCR analysis revealed that SPOCK1 mRNA expression was significantly upregulated in urinary tract urothelial carcinomas (UTUCs, left panel) and urinary bladder urothelial carcinomas (UBUCs, right panel) with higher primary tumor status.

**Table 2.** Correlations between SPOCK1 Expression and other important clinicopathological parameters in urothelial carcinomas.

Parameter	Category	Upper Urinary Tract Urothelial Carcinoma			P value	Urinary Bladder Urothelial Carcinoma			P value
		Case No.	SPOCK1 Expression Low	SPOCK1 Expression High		Case No.	SPOCK1 Expression Low	SPOCK1 Expression High	
Gender&	Male	158	82	76	0.514	216	105	111	0.489
	Female	182	88	94		79	42	37	
Age (years)#		340	65.16±10.91	66.00±8.76	0.961	295	65.27±13.02	66.81±11.35	0.543
Tumor location&	Renal pelvis	141	66	75	0.194	-	-	-	-
	Ureter	150	83	67		-	-	-	-
Multifocality&	Renal pelvis & ureter	49	21	28	0.261	-	-	-	-
	Single	278	143	135		-	-	-	-
Primary tumor (T) &	Multifocal	62	27	35	<0.001*	-	-	-	-
	Ta	89	61	28		84	70	14	<0.001*
Nodal metastasis&	T1	92	57	35	0.006*	88	42	46	0.033*
	T2-T4	159	52	107		123	35	88	
Histological grade &	Negative (N0)	312	163	149	<0.001*	266	138	128	<0.001*
	Positive (N1-N2)	28	7	21		29	9	20	
Vascular invasion&	Low grade	56	41	15	<0.001*	56	46	10	<0.001*
	High grade	284	129	155		239	101	138	
Perineurial invasion&	Absent	234	148	86	<0.001*	246	140	106	<0.001*
	Present	106	22	84		49	7	42	
Mitotic rate (per 10 high power fields)#	Absent	321	169	152	<0.001*	275	144	131	0.001*
	Present	19	1	18		20	3	17	
		340	10.63±12.07	13.99±12.30	<0.001*	295	12.41±13.89	16.39±13.95	0.001*

&, Chi-Square test; #, Mann-Whitney U test; \* Statistically significant.

### Survival analysis for UTUC

Follow-up information, with duration ranging from 1 to 176 months (median, 38), was available for all patients. Univariate and multivariate analyses of the association between clinical outcomes and various clinicopathological features of UTUC cases are summarized in **Table 3**. Both univariate and multivariate analysis revealed that poor DSS was significantly associated with multifocality ( $P=0.0042$  and  $P=0.006$ , respectively), advanced pT ( $P<0.0001$  and  $P=0.010$ , respectively), lymph node metastasis ( $P<0.0001$  and  $P<0.0001$ , respectively), high histological grade ( $P=0.0171$  and  $P=0.044$ , respectively), perineurial invasion ( $P<0.0001$  and  $P=0.002$ , respectively), and high SPOCK1 expression ( $P<0.0001$  and  $P=0.031$ , respectively). Similar results were also seen for MeFS, excluding primary tumor and histological grade. However, vascular invasion in UTUC was also independently and significantly associated with worse MeFS ( $P<0.0001$  and  $P=0.015$ , respectively).

### Survival analysis for UBUC

Follow-up information is available for all patients, with a median duration of 23.1 months (range, 1-109). As illustrated in **Table 4**, in multivariate analyses, pT stage, mitotic rate, and SPOCK1 expression were significantly associated with both inferior DSS ( $P<0.001$ ,  $P=0.015$  and  $P<0.001$ , respectively) and MeFS ( $P=0.008$ ,  $P=0.009$  and  $P<0.001$ , respectively). Perineurial invasion was also predictive of DSS.

### Prognostic significance of SPOCK1 expression in UC

In the univariate analyses (**Table 3**), patients with UTUC showing high SPOCK1 expression had significantly worse DSS ( $P<0.0001$ , **Fig. 4A**) and MeFS ( $P<0.0001$ , **Fig. 4B**). Similar results were also noted for patients with UBUC (**Table 4**, **Fig. 4C**, and **Fig. 4D**). Of regard, SPOCK1 overexpression remained an independent prognosticator portending poor DSS and MeFS for both UTUC and UBUC patients (**Table 3** and **Table 4**).

**Table 3.** Univariate log-rank and multivariate analyses for Disease-specific and Metastasis-free Survivals in Upper urinary tract urothelial carcinoma

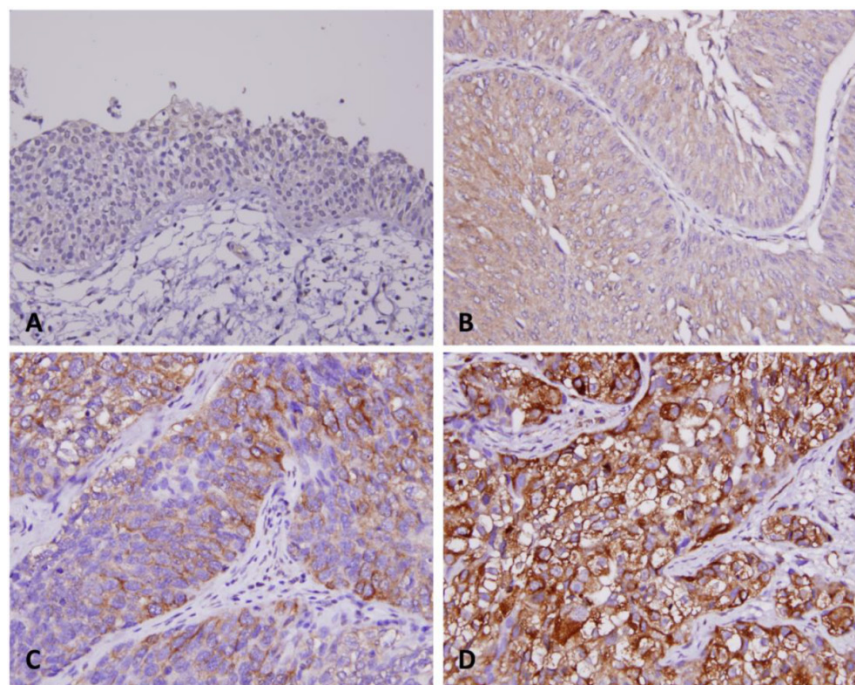
Parameter	Category	Case No.	Disease-specific Survival					Metastasis-free Survival				
			Univariate analysis		Multivariate analysis			Univariate analysis		Multivariate analysis		
			No. of event	P value	R.R.	95% C.I.	P value	No. of event	P value	R.R.	95% C.I.	P value
Gender	Male	158	28	0.9301	-	-	-	32	0.7904	-	-	-
	Female	182	33	-	-	-	-	38	-	-	-	-
Age (years)	< 65	138	26	0.8660	-	-	-	30	0.8470	-	-	-
	≥ 65	202	35	-	-	-	-	40	-	-	-	-
Tumor side	Right	177	34	0.7188	-	-	-	38	0.3074	-	-	-
	Left	154	26	-	-	-	-	32	-	-	-	-
	Bilateral	9	1	-	-	-	-	0	-	-	-	-
Tumor location	Renal pelvis	141	24	0.0120*	1	-	0.912	31	0.0659	-	-	-
	Ureter	150	22	-	0.794	0.421-1.500	-	25	-	-	-	-
	Renal pelvis & ureter	49	15	-	1.641	0.452-5.955	-	14	-	-	-	-
Multifocality	Single	273	48	0.0042*	1	-	0.006*	52	0.0196*	1	-	0.002*
	Multifocal	62	18	-	2.809	1.355-6.162	-	18	-	2.383	1.369-4.149	-
Primary tumor (T)	Ta	89	2	<0.0001*	1	-	0.010*	4	<0.0001*	1	-	0.106
	T1	92	9	-	1.832	0.976-4.202	-	15	-	2.641	0.844-8.264	-
	T2-T4	159	50	-	6.173	1.359-27.78	-	51	-	2.867	0.905-9.082	-
Nodal metastasis	Negative (N0)	312	42	<0.0001*	1	-	<0.001*	55	<0.0001*	1	-	0.001*
	Positive (N1-N2)	28	19	-	4.971	2.657-9.301	-	15	-	2.932	1.575-5.457	-
Histological grade	Low grade	56	4	0.0171*	1	-	0.044*	3	0.0019*	1	-	0.058
	High grade	284	57	-	3.182	1.034-9.788	-	67	-	3.288	0.961-11.249	-
Vascular invasion	Absent	234	24	<0.0001*	1	-	0.351	26	<0.0001*	1	-	0.015*
	Present	106	37	-	1.337	0.726-2.463	-	44	-	2.184	1.162-4.105	-
Perineurial invasion	Absent	321	50	<0.0001*	1	-	0.002*	61	<0.0001*	1	-	0.018*
	Present	19	11	-	3.335	1.556-7.150	--	9	-	2.485	1.172-5.210	-
Mitotic rate (per 10 high power fields)	< 10	173	27	0.1268	-	-	-	30	0.0581	-	-	-
	≥ 10	167	34	-	-	-	-	40	-	-	-	-
SPOCK1 expression	Low	170	10	<0.0001*	1	-	0.031*	14	<0.0001*	1	-	0.039*
	High	170	50	-	2.278	1.080-4.803	-	56	-	2.016	1.037-3.916	-

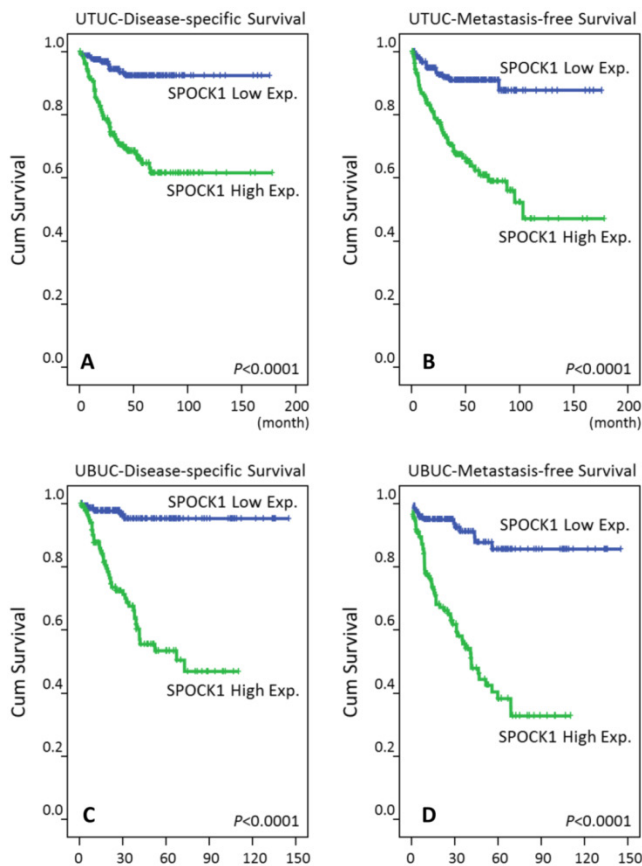
\* Statistically significant

**Table 4.** Univariate log-rank and multivariate analyses for Disease-specific and Metastasis-free Survivals in urinary bladder urothelial carcinoma.

Parameter	Category	Case No.	Disease-specific Survival					Metastasis-free Survival				
			Univariate analysis		Multivariate analysis			Univariate analysis		Multivariate analysis		
			No. of event	P value	R.R.	95% C.I.	P value	No. of event	P value	R.R.	95% C.I.	P value
Gender	Male	216	41	0.4906	-	-	-	61	0.2745	-	-	-
	Female	79	11		-	-	-	16		-	-	-
Age (years)	< 65	121	17	0.1315	-	-	-	32	0.8786	-	-	-
	≥ 65	174	35		-	-	-	45		-	-	-
Primary tumor (T)	Ta	84	1	<0.0001*	1	-	<0.001*	4	<0.0001*	1	-	0.008*
	T1	88	9		2.823	0.307-25.968		23		2.595	0.757-8.891	
	T2-T4	123	42		12.821	1.459-112.681		50		4.363	1.271-14.977	
Nodal metastasis	Negative (N0)	266	41	0.0001*	1	-	0.444	61	<0.0001*	1	-	0.009*
	Positive (N1-N2)	29	11		1.315	0.652-2.650		16		2.216	1.217-4.034	
Histological grade	Low grade	56	2	0.0016*	1	-	0.899	5	0.0007*	1	-	0.891
	High grade	239	50		1.101	0.248-4.880		72		1.073	0.393-2.927	
Vascular invasion	Absent	246	37	0.0010*	1	-	0.032*	54	<0.0001*	1	-	0.600
	Present	49	15		2.096	1.064-4.132		23		1.167	0.654-2.083	
Perineural invasion	Absent	275	44	<0.0001*	1	-	0.025*	67	0.0003*	1	-	0.161
	Present	20	8		2.601	1.127-6.004		10		1.696	0.810-3.554	
Mitotic rate (per 10 high power fields)	< 10	139	12	0.0001*	1	-	0.015*	23	<0.0002*	1	-	0.009*
	≥ 10	156	40		2.279	1.170-4.439		54		1.958	1.181-3.247	
SPOCK1 expression	Low	147	5	<0.0001*	1	-	<0.001*	13	<0.0001*	1	-	<0.001*
	High	148	47		6.965	2.725-17.801		64		4.675	2.515-8.690	

\* Statistically significant

**Figure 3.** Immunohistochemical staining for SPOCK1 in representative urothelium. Compared with surrounding non-tumorous tissues (A), the tumor tissues have significantly escalated immunostaining for SPOCK1 from non-invasive (B), superficially invasive (C) and deeply invasive urothelial carcinoma (D).



**Figure 4.** Survival analysis plotted by Kaplan-Meier curves. By log-rank test, high expression of SPOCK1 is predictive for inferior disease-free survival in both UTUC and UBUC (A, C) and for poor metastasis-free survival in both UTUC and UBUC (B, D).

## Discussions

Interesting in markers of UC prognosis, the study identified five significantly expressed genes related to cell motility due to that invasion and metastasis had been suggested associating with adverse outcome in patients with UC. Among those genes, both *CALD1* and *SPOCK1* were expressed significantly, fitting with a log2 ratio over 1 and 0.5 fold in primary tumor status and distal metastasis, respectively (Table 1). *CALD1* is involved in cell motility through the organization of the actin skeleton and actin/myosin-dependent contractility [14]. *SPOCK1*, a highly conserved, multidomain proteoglycan in the extracellular matrix, could play a role in modulating protease activity [7]. In the pilot study, only *SPOCK1* exhibited crucial differences in primary tumor status, nodal metastasis and survival (Table S1, Table S2), implying its importance in UC aggressiveness compared to *CALD1*. In Fig. 2, higher pT was related to higher *SPOCK1* mRNA expression. In addition, worse DSSs and MeFSs were associated with higher *SPOCK1* expression (Fig. 4). Aforementioned data

may support the probable utility of *SPOCK1* as a poor prognostic factor of UC.

*SPOCK1*, known as testican-1, was originally identified in seminal plasma [15]. The expression of *SPOCK1* mRNA in humans has been noted in various tissues with the highest levels in the brain, the prostate, and the testis [16]. A study showed that a *de novo* missense change in *SPOCK1* identified by whole exon sequencing might relate to developmental delay, microcephaly and agenesis of the corpus callosum, leading to the hypothesis that *SPOCK1* plays a critical role in neurogenesis [17]. *SPOCK1* contains three domains *viz.*, a follistatin domain, an extracellular calcium-binding domain, and a thyroglobulin type-1 domain, which possess functions of regulating metalloproteinase, and cysteine and serine proteases [16, 17]. In a genome-wide association study of age at menarche, *SPOCK1* was identified as a novel gene and arousing speculation about the underlying mechanism regarding its influence on matrix metalloproteinase-2 [18].

Furthermore, in the study of gastrointestinal neuroendocrine carcinomas, *SPOCK1* was identified by microarray analysis as a candidate related to metastasis and was expressed 100-fold more in tumor material than in normal ileum [19]. Upregulated *SPOCK1* has also been identified in lung cancer [20], HCC [10], and gallbladder cancer [21]. The clinical significance of *SPOCK1* in lung cancer was correlated with metastasis and silencing of *SPOCK1* in cell study inhibited lung cancer cell invasion *in vitro* [20]. In addition, the tumorigenic ability of *SPOCK1* in HCC was investigated in a xenograft mouse model with promising results [10]. In our findings, *SPOCK1* was also expressed more strongly in tumor tissues than in normal ones (Fig. 3). Moreover, higher expression of *SPOCK1* is also related to positive nodal metastasis and presence of vascular and perineural invasion (Table 2), suggesting that it may have a role in UC invasion and metastasis, as noted with other cancers.

Also, altered composition of the extracellular matrix (ECM) is important in cancer. Epithelial-mesenchymal transition (EMT) involves changes in the cells themselves and in their surrounding micro-environment. Many studies support that obtaining epithelial-mesenchymal transition (EMT) features correlates with poor outcome among patients with various cancer types, including colorectal [22], lung [23], breast [24], and bladder cancer [25]. *SPOCK1*, the ECM gene, was not only overexpressed in prostate cancer tissues compared to benign samples, but also indicated a tendency toward earlier recurrence [26]. Interestingly, our study showed that high levels of *SPOCK1* expression are correlated to poor DSS and MFS in UC in univariate log-rank tests (Table 3,



**Table 4).** In vivo studies of lung cancer demonstrated that SPOCK1 is not only associated with metastasis and also induces EMT [20]. Aforementioned facts may suggest that in UC, SPOCK1 may be a novel prognostic factor and may participate in tumor invasion and metastasis through involvement in the process of EMT.

Moreover, evading apoptosis is another capability that characterizes cancer cells [27]. One study of GBC found that SPOCK1 not only promotes cell migration and invasion by inducing EMT but also inhibits apoptosis [21]. The PI3 kinase-ATK/PKB pathway is important in affecting apoptosis [27]. In a mouse model, this signal pathway was demonstrated to have role in upper tract of UC [28]. The PI3 kinase-ATK/PKB pathway is also a downstream kinase pathway triggered by *FGFR3*, one of the important molecules involving bladder of UC [29]. Furthermore, higher SPOCK1 expression was correlated with higher mitotic rate, as shown in Table-2, indicating that the adverse prognosis associated with high SPOCK1 expression may also relate to cell proliferation.

In conclusion, we present that higher SPOCK1 is related to unfavorable clinicopathological parameters and shorter survival in UC patients. Hypothetically, SPOCK1 may play an important role in the EMT of UC, and may be crucial in UC metastasis. Probably, SPOCK1 may also take a part in evading apoptosis through cell proliferation. This study suggested that SPOCK1 could be an independent prognostic factor in UC, might serve as an oncogene and would be a candidate of target therapy.

## Supplementary Material

Tables S1-S2.

<http://www.jcancer.org/v07p0467s1.pdf>

## Abbreviations

DSS: disease-specific survival; MeFS: metastasis-free survival; SPOCK1: sparc/osteonectin, cwcw and kazal-like domains proteoglycan (testican) 1; UBUC: urinary bladder urothelial carcinoma; UC: urothelial carcinoma; UTUC: upper tract urothelial carcinoma.

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## Ethical standard

This study was approved by the Institutional Review Board (IRB) of Chi Mei Medical Center, approval number IRB10302015. All samples were obtained from the BioBank of Chi Mei Medical Center and had been previously collected following official ethical guidelines. Informed consent has been obtained for those enrolled into BioBank.

## Conflict of interest

The authors declare that they have no conflict of interest.

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