

**Research Paper** 



2020; 11(15): 4442-4452. doi: 10.7150/jca.42610

## High expression of PKM2 synergizes with PD-L1 in tumor cells and immune cells to predict worse survival in human lung adenocarcinoma

Long Long<sup>1</sup>, Mengxi Chen<sup>2</sup>, Yu Yuan<sup>2</sup>, Alex Lau Ming<sup>2</sup>, Wei Guo<sup>2</sup>, Kaisong Wu<sup>3⊠</sup>, Honglei Chen<sup>1,2⊠</sup>

- 1. Department of Pathology, Zhongnan Hospital of Wuhan University, Wuhan 430071, P. R. China
- 2. Department of Pathology, School of Basic Medical Sciences, Wuhan University, Wuhan 430071, P. R. China
- 3. Department of Respiratory Medicine, Zhongnan Hospital of Wuhan University, Wuhan 430071, P. R. China

⊠ Corresponding authors: wuks18@126.com, Department of Respiratory Medicine, Zhongnan Hospital of Wuhan University, Wuhan 430071, P. R. China. hl-chen@whu.edu.cn, Department of Pathology, Zhongnan Hospital of Wuhan University, Wuhan 430071, P. R. China; Tel: +86-27-67811732 Fax: +86-27-68759310. HLChen ORCID: 0000-0001-9832-1336

© The author(s). This is an open access article distributed under the terms of the Creative Commons Attribution License (https://creativecommons.org/licenses/by/4.0/). See http://ivyspring.com/terms for full terms and conditions.

Received: 2019.11.30; Accepted: 2020.04.20; Published: 2020.05.18

#### Abstract

**Background**: Immunotherapy targeting PD-1/PD-L1 represents a breakthrough in the treatment of lung cancer. Pyruvate kinase M2 (PKM2) is not only a critical player in glycolysis, but also conducive to tumor progression and immune response. While both have been linked to lung adenocarcinoma (AC), the correlation and clinical significance of PKM2 and PD-L1 expression in human lung AC tissues remains not entirely explored.

**Methods:** Expression of PKM2 and PD-L1 proteins were detected by immunohistochemistry in 74 lung AC cases and the corresponding noncancerous tissues. Simultaneously, multiplex immunofluorescence was used to detect PKM2, PD-L1, CK, CD3, and CD68 in the lung AC tissues. We measured expression patterns and co-localization of these markers, evaluating their association with clinicopathological features and overall survival. Validation of findings was conducted using mRNA expression data from The Cancer Genome Atlas (TCGA) of 515 lung AC cases.

**Results:** High expression of PKM2 in tumor cells was significantly related with lymph node metastasis and TNM stage (p=0.035, p=0.017, respectively). Moreover, PKM2 expression in tumor cells was positively correlated with tumor PD-L1 expression. High expression of PKM2, PD-L1 in tumor cells and immune cells predicted high mortality rate and poorer survival rates, respectively. Additionally, multivariate Cox regression models indicated that high expression of PKM2 in tumor cells was an independent prognostic factor. Based on TCGA genomic data, high PKM2 mRNA expression was significantly associated with poorer survival (p=0.001).

**Conclusion**: High expression of PKM2 synergizes with PD-L1 in tumor cells and immune cells to predict poorer survival rates in patients with lung AC.

Key words: PKM2; PD-L1; Lung adenocarcinoma; Energy metabolism; Immune checkpoint therapy

## Introduction

Lung cancer has long been established as one of the most prevalent and deadly malignant cancer globally [1]. It can be subdivided into two types: small cell lung cancer (SCLC) and non-small cell lung cancer (NSCLC). Clinically, NSCLC is the predominant subtype, making up 85% of diagnosed cases. The most frequent subtype of NSCLC is lung adenocarcinoma (AC) [2]. Despite the considerable progress of neoadjuvant chemoradiotherapy and molecular targeted therapy, the 5-year overall survival of lung cancer remains poor (18%). Poor survival rates are largely due to local recurrence and distant metastasis [3, 4]. As such, it is imperative to identify novel treatment strategies to improve the prognosis of lung AC patients.

Immunotherapy targeting immune checkpoints has been a breakthrough in the treatment of lung cancer [5]. Immune checkpoints are regulatory molecules to maintain immune homeostasis, including programmed cell death-1 (PD-1)/programmed cell death ligand-1 (PD-L1), cytotoxic T-lymphocyte antigen-4 (CTLA-4), lymphocyte activation gene-3 (LAG-3), and V-domain Ig suppressor of T cell activation (VISTA), which can collectively inhibit T-cell mediated immune response [6]. The inhibitory effects facilitate tumor progression in a process known as "immunoediting", which has become popular in efforts to enhance anti-tumor immunotherapy [6]. PD-1 receptor and the ligands PD-L1 have been shown to be crucial players of immune evasion in cancer [7]. With impressive efficacy in clinical trials, PD-1/PD-L1 inhibitors have been approved by the US Food and Drug Administration to treat NSCLC and other cancers [8, 9].

PD-L1 belongs to the B7 family checkpoints, and it is primarily expressed on tumor cells and tumor infiltrating immune cells [10]. PD-L1 expression can be regulated by transcriptional factors, such as signal transducer and activator of transcription 3 (STAT3) and nuclear factor-kB (NF-kB) [11]. Additionally, hypoxia-inducible factor-1a (HIF-1a) upregulates PD-L1 in hypoxic tumor microenvironment [12]. PD-L1 expression is significantly correlated with patient survival and disease progression in several tumors, such as colorectal cancer [13], hepatocellular carcinoma [14] and pancreatic cancer [15]. Diverse studies have found that PD-L1 expression can be a plausible predictive biomarker of anti-PD-1/PD-L1 immunotherapy [8]. Nevertheless, the response rate of anti-PD-1/PD-L1 immunotherapy remains unsatisfactory, due to tumor resistance and complexity of immune microenvironment [16, 17]. Such unsatisfactory response rates call for a deeper understanding of the regulatory mechanisms of PD-L1 expression that will be pivotal for novel combinational immunotherapies, which will in turn, allow more patients to benefit from immunotherapy.

Energy metabolism is an important hallmark of cancer cells, compared with normal cells [18]. Cancer cells can shift the oxidative phosphorylation to lactic acid fermentation even under aerobic conditions, which is termed as the Warburg Effect [19]. In the final step of glycolysis, pyruvate kinase (PK) acts as the rate-limiting enzyme which can convert phosphoenolpyruvic acid (PEP) into pyruvate [20]. There are four PK isozymes, including PKM1, PKM2, PKL, and PKR, whose expression depends on the metabolism of tissues and cells [21]. PKM2 is a key player in the genesis and development of tumors, as well as tumoral immune response [22-24]. High expression of PKM2 can promote the capacity of glucose uptake, facilitating cell activation and invasion. During the process of metabolic reprogramming, PKM2 regulates glycolytic pathway in activated immune cells and tumor cells [22]. In general, PKM2 is mainly present as an inactive dimer or active tetramer, which exhibits different enzymatic properties. Fructose-1,6-bisphosphate (FBP) binding can induce the activation of PKM2, in which PKM2 translocates to the nucleus [25, 26]. Additionally, PKM2 serves to be a coactivator of HIF-1a, acting on the nucleus compound consisting of HIF-1a and p300. This interaction relies on proline hydroxylase 3 (PHD3)-mediated hydroxylation, thus modulating diverse proglycolytic enzymes and resulting in cancer progression [27].

At the cellular level, PKM2 is considered to be essential for PD-L1 expression in tumor cells and immunocytes [24]. In tumor-mediated hypoxia, activated HIF-1a can simultaneously induce PD-L1 and PKM2 expression, influencing immune evasion and glycolysis [28]. However, the relationship and clinical values of PKM2 and PD-L1 proteins in human lung AC tissues remains not entirely explored. Here, we evaluated the association between the critical players of tumor energy metabolism and immune evasion, PKM2 and PD-L1, as well as analyze their correlation with clinicopathological features and overall survival (OS) in 74 lung AC patients. Meanwhile, prognostic value of PKM2 and PD-L1 mRNA was further investigated in 515 cases of lung AC using genomics data from The Cancer Genome Atlas (TCGA). Our research will provide a new insight into the burgeoning field of combinational immunotherapy.

## **Materials and Methods**

## Patients and follow-up

74 patients diagnosed of lung AC in the period between July 2005 and December 2011 were included the study. We collected cancerous in and corresponding noncancerous tissues, from the Department of Pathology, Zhongnan Hospital of Wuhan University (Hubei, China). Furthermore, 10 specimens had insufficient stroma and the remaining 64 specimens were used to analyze stromal expression. All patients received surgical resection before chemo-radiotherapy or neoadjuvant therapy. The histological diagnosis and grades of differentiation were determined using the 2015 World Health Organization Classification of Lung Tumors [29]. All the specimens were reevaluated by two

experienced pathologists (Guo W and Chen H) to confirm the histopathologic parameters. Further, 24 patients (32.4%) were subdivided as stage I, 46 (62.2%) as stage II, and 4 (5.4%) as stage III based on the 8th edition of TNM classification by International Union against Cancer (UICC 2017) [30]. More detailed clinicopathological features such as gender, age, smoking history, survival status, depth of tumor invasion (T), lymph node metastasis (N), and distant metastasis (M) were described in Table 1.

Table 1. Patient characteristics in lung AC cohorts.

Characteristics	Sub-characteristics	N (%)
Age (years)		59 (32-80)
Gender	Male	42 (56.8)
	Female	32 (43.2)
Survival status	Death	40 (54.1)
	Survival	34 (45.9)
Smoking history	Yes	43 (58.1)
	No	31 (41.9)
Tumor size (T)	T1	8 (10.8)
	T2	59 (79.7)
	T3	6 (8.1)
	T4	1 (1.4)
Lymph node metastasis (N)	N0	46 (62.2)
	N1	28 (37.8)
	N2	0 (0.0)
Distant metastasis (M)	M0	74 (100.0)
	M1	0 (0.0)
TNM stage	Ι	24 (32.4)
	Π	46 (62.2)
	III	4 (5.4)
	IV	0 (0.0)
Histological type	Invasive AC	66 (89.2)
	Variant of invasive AC	8 (10.8)
Total		74 (100)

All procedures involving human participants were in accordance with the ethical standards of the Medical Ethics Committee of Wuhan University Medical College. All research was in compliance with the terms of the 1964 Declaration of Helsinki and its later amendments or comparable ethical standards. Informed consent was obtained from all participants and/or their legal guardian/s.

Follow-up time started after the date of surgery and ended in February 2015. Overall survival (OS) was defined as the interval from initial diagnosis to death or the end of follow-up. Patients, who died of unexpected events or other diseases, were excluded from the survival cohorts. The average overall survival time of lung AC patients was 44 (range: 1-115) months.

#### **Tissue microarray construction**

We constructed two separate lung AC tissue microarrays (TMAs) in this study. The hematoxylin-eosin-stained slides were firstly screened to validate the diagnosis of lung AC by two independent pathologists. The most representative cancerous and noncancerous areas were selected to construct TMA slides. As previously reported [31], one tumor core with a 1.5-mm diameter was taken from every specimen and arranged in paraffin blocks.

#### Immunohistochemistry analysis

IHC analysis was performed to detect PKM2 and PD-L1 expression in lung AC tissues. At first, TMA sections were deparaffinized and rehydrated. Subsequently, antigen retrieval of PKM2 was applied in citrate acid buffer (10 mM, pH 6.0) for 15 minutes by microwave. PD-L1 was treated with antigen retrieval in EDTA (1 mM, pH 8.0) buffer by microwave for 20 minutes. The sections were incubated with rabbit anti-human PKM2 polyclonal antibody (1:100 dilution, D78A4, Cell Signaling Technology, USA) and rabbit anti-human PD-L1 polyclonal antibody (1:100 dilution, E1L3N, Cell Signaling Technology, USA) at 4 °C overnight. Then, the TMAs were incubated in horseradish peroxidase (HRP)-conjugated second antibody (Dako REAL EnVision Detection System, Agilent, USA) at 37 °C for 30 minutes, followed by 3,3'-diaminobenzidine (DAB) chromogen (Dako, Agilent, USA) and nuclear counterstaining with hematoxylin.

#### **Evaluation of immunohistochemistry**

Immunostaining reactivity was observed using light microscopy (Olympus BX-53 with CCD DP74). Results were scored by two pathologists (Guo W and Chen H) who were independent and blinded to the clinicopathological characteristics of the study. The scores of the two pathologists were compared, and any discrepancies were reassessed to achieve a consensus.

## 4.1 Evaluating results of PKM2 expression

PKM2 expression was mainly evaluated in tumor cells and immune cells with the semi-quantitation method in accordance with the area of positive (AP) and the intensity of staining (IS). AP was graded as follows: 0 (0-5%), 1 (6-25%), 2 (26-50%), 3 (51-75%) and 4 (>75%). IS was graded as 0 (negative), 1 (weak), 2 (moderate) and 3 (strong). PKM2 expression was calculated based on the equation: Intensity distribution (ID) = AP × IS [32].

The best cut-off point for PKM2 expression was determined by the receiver operating characteristic (ROC) curve (Supplementary Fig. 1). In accordance with the optimal sensitivity and specificity of the ROC curve by OS, 9.5 was termed as the optimal cut-off point for PKM2 score in tumor cells ( $\geq$ 9.5 = high expression; <9.5 = low expression). Additionally, the optimal cut-off point for PKM2 expression in immune cells was 8.5 ( $\geq$ 8.5 = high expression; <8.5 = low expression).

## Analyzing results of PD-L1 expression

The expression of PD-L1 protein in tumor cells and immune cells were scored as low or high using 5% as a cut-off point. PD-L1  $\ge$  5% was defined as high expression, which was adopted in a number of cancer types [13, 33].

## Multiplex immunofluorescence staining

Manual multiplex immunofluorescence (mIF) staining was performed in 4-µm sections obtained from FFPE lung cancer blocks by using the Opal 7-Color IHC Kit (PerkinElmer, Waltham, MA). The stained slides were scanned by a Vectra multispectral microscope (PerkinElmer) [34]. The immunofluorescence markers were consisted of PD-L1 (E1L3N, dilution 1:200; Cell Signaling Technology, USA), PKM-2 (1:200 dilution, D78A4, Cell Signaling Technology, USA), CK(AE1/AE3), CD3(F7.2.38) and CD68 (PG-M1) are ready-to-use antibodies from Agilent/DAKO, California, USA.

Primary antibody was visualized by using tyramide signal amplification linked to a specific fluorochrome from the multiplex IHC Kit for each primary antibody. A stripping procedure, based on the Meidi microwave (Meidi, China), was performed for each consecutive antibody staining. In parallel, uniplex IF was used with each individual antibody and with the same fluorochrome used in the mIF to create the spectral library in human tonsil FFPE tissues used in the multispectral analysis. Human tonsil FFPE tissues were also used with and without primary antibodies as positive and negative (autofluorescence) controls, respectively. The mIFand uniplex IF-stained slides were scanned with a Vectra 2.0 microscope system (PerkinElmer) under fluorescent illumination. From each slide, Vectra automatically captured the fluorescent spectra from 420 nm to 720 nm at 20-nm intervals with the same exposure time and then combined the captured images to create a single stack image that retained the particulate spectral signature of all IF markers.

## TCGA data analysis for mRNA expression

In order to evaluate the prognosis value of PKM2 and PD-L1, we analyzed the mRNA expression profiles of lung adenocarcinoma (dataset: Tumor Lung Adenocarcinoma – TCGA – 515 – rsem - tcgars) using the R2 genomics analysis and visualization platform (http://r2.amc.nl). This dataset includes 515 lung adenocarcinoma cases. Kaplan-Meier method was applied for survival analysis. The patients with gene expression higher than the 85th percentile of all the patients were grouped to the "high" expression group; the remaining patients were grouped to the "low" expression group. The difference between survival curves of the high expression group and the low expression group was evaluated by log-rank test. Correlation between the PD-L1 and PKM2 was evaluated by Spearman correlation (the data was normalized by calculating the Z scores).

## Statistical analysis

We used SPSS 22.0 software (Chicago, IL, USA) and R 3.3.2 (R Foundation, Vienna, Austria) to perform all statistical analyses. ROC curve analysis was generated to select the best cut-off points for PKM2 protein expression. We explored the relationship between PKM2 and PD-L1 using Spearman correlation analysis appropriately. The  $\chi^2$ test or Fisher exact test were conducted for analyzing the correlation between PKM2 and PD-L1 protein expression with the relevant clinicopathological parameters. Overall survival was estimated using Kaplan-Meier method and log-rank test. We carried out univariate and multivariate Cox proportion hazard regression models of survival to test the independent prognostic impacts. Two-sided P-values <0.05 were established as statistically significant.

## Results

# Expression of PKM2 and PD-L1 in lung AC tissues

PKM2 was found to be widely expressed in the majority of human lung AC with distinct spatial patterns. Most of PKM2 was primarily localized in the cell membrane and the cytoplasm of tumor cells (Fig. 1). PKM2 was also stained in the immune cells, fibroblasts, and paracancerous bronchial epithelial cells and smooth muscle cells. Nuclear PKM2 protein was only found in one case (Fig. 1). On the other hand, PD-L1 was mainly detected in the cell membrane of tumor cells, and the cytoplasm of immune cells. was not detected However, PD-L1 in the paracancerous bronchial epithelial cells (Fig. 2). Between the duo, PKM2 signal was generally higher than that of PD-L1, and co-expression of PKM2 and PD-L1 proteins could be observed in some cases of lung AC (Fig. 3 and Fig. 4). From the mIF results, co-expression of PKM2 and CD3, PKM2 and CD68, PD-L1 and CD3, PD-L1 and CD68 were observed, which demonstrated that PKM2 and PD-L1 can both express in the T cells and macrophages (Fig. 4). Based on the cut-offs, PKM2 and PD-L1 exhibited high expression in the lung AC tissues with values of 58.11% (n=43) and 29.73% (n=22), respectively. In the adjacent noncancerous tissues, IHC staining of PKM2 and PD-L1 was significantly lower than that of the cancer tissues.

# Clinicopathological significance of PKM2 and PD-L1 expression in lung AC

We investigated the association between PKM2 and PD-L1 expression and clinicopathological characteristics in lung AC using Chi-squared test. As listed in Table 2a and Table 2b, PKM2 expression in tumor cells was significantly associated with lymph node metastasis (P=0.035) and TNM stage (P=0.017) in lung AC patients. There was no significant correlation between PD-L1 expression in tumor cells and clinicopathological factors. Meanwhile, co-expression of PKM2 and PD-L1 in tumor cells was significantly related to depth of invasion (P=0.027) and TNM stage (P=0.029). In contrast, PKM2 expression in immune cells (P<0.001) and PD-L1 expression in immune cells (P=0.018) were significantly correlated with age. While co-expression of PKM2 and PD-L1 were significant in tumor cells, we did not find any significant correlation between co-expression of PKM2 and PD-L1 in immune cells with clinicopathological factors.



Figure 1. Representative photomicrographs of PKM2 expression in the lung AC and noncancerous lung tissues. A showed expression of PKM-2 protein in the lung AC (intensity of staining graded as 0); B showed expression of PKM-2 protein in the lung AC (intensity of staining graded as 1); C showed expression of PKM-2 protein in the lung AC (intensity of staining graded as 1); C showed expression of PKM-2 protein in the lung AC (intensity of staining graded as 2); D showed expression of PKM-2 protein in the lung AC (intensity of staining graded as 3); E showed nuclear PKM-2 protein expression in the lung AC (arrow); F showed positive expression of PKM-2 protein in the bronchial epithelial cells and smooth muscle cells (arrow). (A-F, original magnification ×200).



Figure 2. Representative photomicrographs of PD-L1 expression in the lung AC and noncancerous lung tissues. A-B showed positive expression of PD-L1 protein in the tumor cells and some immune cells of lung AC, but negative in the paracancerous bronchial epithelial cells (arrowhead); C-D showed positive expression of PD-L1 protein in the immune cells (arrowhead), almost negative in the tumor cells of lung AC (A and C, original magnification ×100; B and D original magnification ×200).



Figure 3. Representative photomicrographs of co-expression of PKM2 and PD-L1 in the same case of lung AC. Co-expression of PKM-2(A and C) and PD-L1 (B and D) proteins in the tumor cells of same case, also showed both positive in the immune cells (A and B, arrowhead). Positive expression of PKM-2 also observed in the stromal fibroblasts (C, arrowhead). (A-D, original magnification ×200).



Figure 4. Co-expression of PKM2 and PD-L1 with CD3, CD68 detected by multiplex immunofluorescence in the lung AC. A and H: CK(cyan); B and K: PD-L1(orange); C and J:CD3(red); D and O:CD68(yellow); E: unmixed composite image for CK, PD-L1, CD3 and CD68, co-expression of CK and PD-L1 (white arrow showed); F: co-expression of PD-L1 and CD3 (white arrow showed); I and N: PKM2(green); L: unmixed composite image for CK, PKM2, PD-L1 and CD3, co-expression of CK, PKM2 and PD-L1(white arrow showed); M: co-expression of PKM2 and CD3 (white arrow showed); P: co-expr

**Table 2a.** Associations of PKM2 and PD-L1 protein expression with clinicopathological parameters of lung AC tissues. (a) PKM2 and PD-L1 protein expression in tumor cells.

**Table 2b.** Associations of PKM2 and PD-L1 protein expressionwith clinicopathological parameters of lung AC tissues. (b) PKM2and PD-L1 protein expression in the immune cells.

	DIAMO	•		DD 11			DI/M2	n d	1
	r KN12 in tumor cells			PD-L1 in			PKM2 and PD-11		
Paramatora	Ligh	Low	D	Lich	Low	D	Roth	Othera	D
rarameters	Ingn	LOW	Г	riigii	LOW	Γ	bigh	Others	Г
Condor			0 253			0 107	mgn		0.074
Genuer		•	0.255		~=	0.197			0.974
Male	22	20		15	27		8	34	
Female	21	11		7	25		6	26	
Age			0.235			0.567			0.208
<=64	31	26		16	41		9	48	
>64	12	5		6	11		5	12	
Depth of inva	asion		0.249			0.218			0.027
(T)									
T1,T2	37	30		18	49		10	57	
T3,T4	6	1		4	3		4	3	
Lymph node	metasta	sis (N)	0.035			0.297			0.075
N0	23	24		12	35		6	41	
N1,N2	20	7		10	17		8	19	
TNM stage			0.017			0.151			0.029
I,II	20	23		10	33		4	39	
III	23	8		12	19		10	21	
Smoking			0.628			0.686			0.603
history									
Yes	17	14		10	21		5	26	
No	26	17		12	31		9	34	
Histological (	ype		0.383			0.096			0.339
Invasive	40	26		22	44		14	52	
Variant	3	5		0	8		0	8	

									1
	PKM2 in			PD-L1 in			PKM2 and		
	immune	cells		immu	ne cells		PD-L1		
Parameters	High	Low	Р	High	Low	Р	Both high	Others	Р
Gender			0.287			0.835	ingit.		1.000
Male	10	25		8	27		3	32	
Female	5	24		6	23		2	27	
Age			< 0.001			0.018			0.575
<=64	4	47		8	43		3	48	
>64	11	2		6	7		2	11	
Depth of inv	asion		0.565			1.000			1.000
(T)									
T1,T2	15	45		13	47		5	55	
T3,T4	0	4		1	3		0	4	
Lymph node	e metastas	sis (N)	0.683			0.905			1.000
N0	11	31		9	33		3	39	
N1,N2	4	18		5	17		2	20	
TNM stage			0.338			0.847			1.000
I,II	11	27		8	30		3	35	
III	4	22		6	20		2	24	
Smoking history			0.688			0.503			0.713
Vos	7	20		7	20		3	24	
No	8	20		7	30		2	35	
Histological	type	2)	0 738	,	50	0.819	2	55	1.000
Invasivo	14	12	0.750	13	13	0.019	5	51	1.000
Variant	1 1	+∠ 7		1.5	40 7		0	8	
varialit	1	/		1	/		0	0	



Figure 5. Kaplan-Meier overall survival analysis of PKM2 and PD-L1 expression in lung AC patients. (A) In lung AC cohort, high PKM2 expression in tumor cells predicted poorer survival and high mortality rate (P < 0.001). (B) High PD-L1 expression in tumor cells showed worse survival compared with the low-expression group (P=0.027). (C) Patients with both high PKM2 and PD-L1 expression in tumor cells had the worst OS (P < 0.001) than other groups. (D) PKM2 overexpression in immune cells was significantly associated with unfavorable survival (P=0.015). (E) Those with high PD-L1 expression in immune cells showed significant correlation with worse overall survival (P=0.025). (F) Patients with co-expression of PKM2 and PD-L1 in immune cells predicted poorer survival (P=0.010).

## Relationship between PKM2 and PD-L1 expression in lung AC

Spearman correlation analysis was utilized to examine the relationship between PKM2 and PD-L1 expression in lung AC cohort. 43 patients out of 74 had high PKM2 expression in tumor cells, 14 of those (32.56%) patients showed PD-L1 positivity (Fig. 3). However, out of the remaining 31 patients who had low PKM2 expression in tumor cells, only 8 (25.81%) patients exhibited positive PD-L1 expression. Furthermore, PKM2 expression in tumor cells was positively correlated with tumor PD-L1 expression  $(r_s=0.234, P=0.045)$ . In the stromal compartment, we found no correlation between PKM2 and PD-L1 protein expression in immune cells (r<sub>s</sub>=0.153, P=0.226). However, positive correlation was observed between PKM2 protein and PD-L1 in tumor cells and immune cells ( $r_s=0.281$ , P=0.024). There was significant association between PD-L1 in the tumor cells and immune cells ( $r_s=0.315$ , P=0.008).

## Prognostic value of PKM2 and PD-L1 in lung AC patients

Next, the prognostic prediction of PKM2 and PD-L1 was identified by Kaplan-Meier curves and compared using log-rank test. In lung AC cohort, high PKM2 expression in tumor cells predicted poorer survival and high mortality rate (P< 0.001). High PD-L1 expression in tumor cells showed worse survival compared with the low-expression group (P=0.043). Based on these results, we divided the 74 lung AC patients into three groups for further survival analyses. Patients with both high PKM2 and PD-L1 expression in tumor cells had the worst OS (P< 0.001) than other groups (Fig. 5). Meanwhile, high expression of PKM2 in immune cells was significantly associated with unfavorable survival (P=0.015), and those with high PD-L1 expression and co-expression of PKM2 and PD-L1 in immune cells showed significant correlation with poorer overall survival (P=0.025; P=0.010).



Figure 6. Kaplan-Meier overall survival analysis of PKM2 and PD-L1 expression using genomics data of lung AC. (A)In 515 lung AC cases obtained from TCGA dataset, high PKM2 mRNA expression was significantly associated with worse prognosis (P< 0.001), which is consistent with our IHC results. (B) However, PD-L1 mRNA expression showed no statistically significant difference between survival curves of the high-expression and low-expression group (P=0.221).

Table 3. COX proportional	hazard models	on overall	survival of
lung AC patients.			

Factors	Univariate analysis		Multivariate analysis		
	P value	HR (95%CI)	P value	HR (95%CI)	
Gender					
Male vs. Female	0.968	1.013 (0.544-1.887)	0.275	1.644 (0.673-4.015)	
Age					
<= 64vs. >64	0.037	1.998 (1.041-3.834)	0.309	1.453 (0.708-2.984)	
PKM2 expression i	n tumor ce				
Low vs. High	< 0.001	3.772 (1.794-7.930)	0.005	4.242 (1.550-11.609)	
PD-L1 expression i	n tumor ce	lls			
Low vs. High	0.047	1.909 (1.008-3.615)	0.052	2.148 (0.992-4.651)	
PKM2 and PD-L1 c	o-expressi	on in tumor cells			
Both low vs. both h	igh vs. othe	er			
	0.024	1.519 (1.058-2.181)	0.716	1.113 (0.626-1.976)	
PKM2 expression i	n immune	cells			
Low vs. High	0.019	2.320 (1.148-4.689)	-	-	
PD-L1 expression i	n immune	cells			
Low vs. High	0.030	2.218 (1.081-4.554)	-	-	
PKM2 and PD-L1 c	o-expressi	on in immune cells			
Both low vs. both high vs. other					
	0.017	1.536 (1.081-2.182)	-	-	
Depth of invasion(	T)				
T1,T2 vs. T3,T4	0.021	2.685 (1.163-6.198)	0.578	1.539 (0.337-7.020)	
Lymph node metas	tasis(N)				
N0 vs. N1,N2	0.233	1.456 (0.785-2.703)	0.381	2.241 (0.368-13.638)	
TNM stage					
I,II vs. III	0.053	1.836 (0.993-3.395)	0.297	0.331 (0.042-2.639)	
Smoking history					
Yes vs. No	0.537	0.820 (0.437-1.539)	0.607	0.782 (0.307-1.991)	
Histological type					
Invasive vs. Variant	0.303	1.578 (0.662-3.759)	0.691	1.172 (0.535-2.567)	

We carried out univariate and multivariate analysis of Cox proportional hazard model to analyze the prognostic importance of PKM2, PD-L1 expression and other clinicopathological parameters. In the univariate analysis, PKM2, PD-L1, co-overexpression of both PKM2 and PD-L1 in tumor cells and immune cells, age, and depth of invasion showed significant correlation to the survival of lung AC patients (P<0.001, 0.047, 0.024, 0.019, 0.030, 0.017, 0.037 and 0.021 respectively; Table 3). The independent prognostic value was detected by multivariate analysis. The results identified that PKM2 expression in tumor cells (P=0.005, HR: 4.242, 95% CI: 1.550-11.609) was an independent prognosis factor in the overall survival of lung AC cohort (Table 3).

#### Clinical implications of PKM2 and PD-L1 mRNA using TCGA data

To further determine the clinical implications of PKM2 and PD-L1, we analyzed the mRNA expression profiles of 515 lung AC cases. High PKM2 mRNA expression predicted poorer survival and high mortality rate (P< 0.001; Fig. 6), which is consistent with our IHC results. However, PD-L1 mRNA expression no statistically showed significant difference between survival curves of the high-expression and low-expression group (P=0.221; Fig. 6). Moreover, PKM2 mRNA expression was positively correlated with PD-L1 mRNA expression in comparable TCGA results of lung AC ( $r_s=0.126$ , P=0.004).

#### Discussion

In recent years, targeting immune checkpoints such as PD-1/PD-L1, has been highlighted as a prominent treatment strategy for lung cancer patients. PD-L1 expression can potentially predict immunotherapy efficacy. However, not all patients respond to PD-1/PD-L1 inhibitors, which poses an urgent need to identify the regulatory mechanism of PD-L1. As a critical player in glycolysis, PKM2 can favor tumor progression and stimulate tumor PD-L1 expression at the cellular level. In this study, we demonstrated that PKM2 and PD-L1 proteins were highly expressed in human lung AC with distinct spatial patterns. We first found that lung AC patients with high expression of both PKM2 and PD-L1 in tumor cells and immune cells had a poorer prognosis. A positive correlation was observed between the expression of PKM2 and PD-L1 in the tumor cells of lung AC tissues.

PKM2 is a major oncogenic factor that regulates tumor progression and cell proliferation. PKM2 plays a crucial role in aerobic glycolysis, which is the predominant metabolic pathway in tumor cells. It correlates with unfavorable survival in hepatocellular carcinoma, melanoma and other tumors [35-37]. PKM2 can predict chemotherapy sensitivity in advanced lung cancer patients [38, 39]. Moreover, there have been small molecules targeting PKM2 to modulate cellular glucose metabolism [40, 41]. In our study, PKM2 was found to be more highly expressed in the majority of lung AC tissues when compared to noncancerous tissues. We have also identified a significant correlation between PKM2 expression and the aggressive development of lung AC, which includes lymph node metastasis and advanced TNM staging. Additionally, there was also a positive correlation between high expression of PKM2 in tumor cells and immune cells, which predicted a poorer clinical outcome in lung AC patients. Furthermore, it was also found that PKM2 expression in the tumor cells could serve as an independent prognostic factor, which indicates that PKM2 is a potential therapeutic target to improve anti-cancer efficacy of lung cancer patients.

Currently, more research is ongoing to characterize the function of PKM2 in tumor immune evasion. PKM2 is considered to be essential for PD-L1 expression [24]. As the metabolic enzyme of glycolysis, PKM2 can alter tumor immune microenvironment resulting in low oxygen levels and lactic acid accumulation. A relevant study showed that tumor hypoxic microenvironment due to PKM2 can enhance PD-L1 expression [24]. Furthermore, PKM2 facilitates the transactivation of HIF-1a by assembling a nucleus compound of p300, PHD3 and HIF-1a [42], increasing proglycolytic genes in both tumor cells and primary macrophages [27, 43]. PKM2 and HIF-1a have a strong interaction with two HRE-binding sites of the PD-L1 promoter in macrophages treated with LPS [24]. Our data exhibited that PKM2 is a promising target, maybe to synergize with PD-L1. We also confirmed the positive association between PKM2 and PD-L1 expression in the tumor cells of lung AC tissues. A similar report showed that high expression of both PKM2 and PD-L1 in tumor cells leads to poorer prognosis when compared to other groups [34]. On the other hand, we have also found that high expression of PKM2, PD-L1 in immune cells was significantly associated with more unfavorable survival, respectively. Co-expression of PKM2 and PD-L1 in immune cells showed a significant correlation with poorer overall survival. Given this association, it is imperative to figure out the molecular mechanisms of how PKM2 regulates PD-L1 expression.

Despite the remarkable success of PD-1/PD-L1 inhibitors in cancer treatment, not all patients can respond to PD-1/PD-L1 inhibitors, possibly due to the complexity of immune microenvironment and tumor resistance [16]. To broaden the spectrum of lung cancer immunotherapy, there is an urgent need to strategies combining design novel immune checkpoint inhibitors plus other treatment modalities. As we mentioned above, PKM2 is a promising therapeutic target of some small molecules under active investigation. Considering the fundamental association between cellular immunology and energy metabolism, we speculate that simultaneously targeting PKM2 and PD-L1 may be a novel strategy to boost the immune system. The patients with co-overexpression of PKM2 and PD-L1 proteins in tumor cells and immune cells may be eligible candidates for combinational immunotherapy. Nevertheless, more rigorous clinical trials are deemed necessary to further our understanding.

Interestingly, PKM2 overexpression predicted unfavorable survival both in protein and mRNA levels while using the TCGA genomics dataset. PD-L1 mRNA expression may be more heterogeneous than PKM2, and demonstrated no prognostic value in RNA sequencing data, which has also been reported in previous research [44]. This may be due to the discrepancies between PD-L1 protein and mRNA levels, as some transcription factors are involved in manipulating PD-L1 expression.

Summing up, our findings firstly describe the synergistic effects between PKM2 and PD-L1 expression in both tumor cells and immune cells of human lung AC tissues. Patients with high expression of both PKM2 and PD-L1 in tumor cells and immune cells have a poorer prognosis compared with others. Identifying the novel combination between cellular immunology and energy metabolism may be a hotspot to enhance cancer immunotherapy benefits.

## **Supplementary Material**

Supplementary figures. http://www.jcancer.org/v11p4442s1.pdf

## Acknowledgments

This work was supported by grants from the National Undergraduate Innovation Project of China

[201810486098], and partly supported by the National Natural Science Foundation of China [No. 81872443].

#### **Author Contributions**

L.L and M.C wrote the main manuscript text, L.L and Y.Yuan completed IHC and mIF detection. L.Z and W.G evaluated the IHC results and prepared figures 1-4. L.L and H.C prepared figures 5-6. L.L and H.C processed the data of table.1-3. K.W and H.C designed this study and revised the manuscript. All authors reviewed the manuscript.

## **Competing Interests**

The authors have declared that no competing interest exists.

## References

- Bray F, Ferlay J, Soerjomataram I, Siegel RL, Torre LA, Jemal A. Global Cancer Statistics 2018: GLOBOCAN Estimates of Incidence and Mortality Worldwide for 36 Cancers in 185 Countries. CA Cancer J Clin. 2018.
- Reck M, Heigener DF, Mok T, Soria JC, Rabe KF. Management of non-small-cell lung cancer: recent developments. Lancet. 2013; 382: 709-19.
- Siegel RL, Miller KD, Jemal A. Cancer statistics, 2018. CA Cancer J Clin. 2018; 68: 7-30.
- Imielinski M, Berger AH, Hammerman PS, Hernandez B, Pugh TJ, Hodis E, et al. Mapping the hallmarks of lung adenocarcinoma with massively parallel sequencing. Cell. 2012; 150: 1107-20.
- Constantinidou A, Alifieris C, Trafalis DT. Targeting Programmed Cell Death -1 (PD-1) and Ligand (PD-L1): A new era in cancer active immunotherapy. Pharmacol Ther. 2018.
- Callahan MK, Postow MA, Wolchok JD. Targeting T Cell Co-receptors for Cancer Therapy. Immunity. 2016; 44: 1069-78.
- Dong H, Strome SE, Salomao DR, Tamura H, Hirano F, Flies DB, et al. Tumor-associated B7-H1 promotes T-cell apoptosis: a potential mechanism of immune evasion. Nat Med. 2002; 8: 793-800.
- Carbone DP, Reck M, Paz-Ares L, Creelan B, Horn L, Steins M, et al. First-Line Nivolumab in Stage IV or Recurrent Non-Small-Cell Lung Cancer. N Engl J Med. 2017; 376: 2415-26.
- Boyiadzis MM, Kirkwood JM, Marshall JL, Pritchard CC, Azad NS, Gulley JL. Significance and implications of FDA approval of pembrolizumab for biomarker-defined disease. J Immunother Cancer. 2018; 6: 35.
- Freeman GJ, Long AJ, Iwai Y, Bourque K, Chernova T, Nishimura H, et al. Engagement of the PD-1 immunoinhibitory receptor by a novel B7 family member leads to negative regulation of lymphocyte activation. J Exp Med. 2000; 192: 1027-34.
- Chen J, Jiang CC, Jin L, Zhang XD. Regulation of PD-L1: a novel role of pro-survival signalling in cancer. Ann Oncol. 2016; 27: 409-16.
- Noman MZ, Desantis G, Janji B, Hasmim M, Karray S, Dessen P, et al. PD-L1 is a novel direct target of HIF-1alpha, and its blockade under hypoxia enhanced MDSC-mediated T cell activation. J Exp Med. 2014; 211: 781-90.
- Koganemaru S, Inoshita N, Miura Y, Miyama Y, Fukui Y, Ozaki Y, et al. Prognostic value of programmed death-ligand 1 expression in patients with stage III colorectal cancer. Cancer Sci. 2017; 108: 853-8.
- Ma LJ, Feng FL, Dong LQ, Zhang Z, Duan M, Liu LZ, et al. Clinical significance of PD-1/PD-Ls gene amplification and overexpression in patients with hepatocellular carcinoma. Theranostics. 2018; 8: 5690-702.
- Nomi T, Sho M, Akahori T, Hamada K, Kubo A, Kanehiro H, et al. Clinical significance and therapeutic potential of the programmed death-1 ligand/programmed death-1 pathway in human pancreatic cancer. Clin Cancer Res. 2007; 13: 2151-7.
- 16. Zhao X, Subramanian S. Intrinsic Resistance of Solid Tumors to Immune Checkpoint Blockade Therapy. Cancer Res. 2017; 77: 817-22.
- Long L, Zhao C, Ozarina M, Zhao X, Yang J, Chen H. Targeting Immune Checkpoints in Lung Cancer: Current Landscape and Future Prospects. Clinical Drug Investigation. 2019: 13.
- Hanahan D, Weinberg RA. Hallmarks of cancer: the next generation. Cell. 2011; 144: 646-74.
- Christofk HR, Vander Heiden MG, Wu N, Asara JM, Cantley LC. Pyruvate kinase M2 is a phosphotyrosine-binding protein. Nature. 2008; 452: 181-6.
- Altenberg B, Greulich KO. Genes of glycolysis are ubiquitously overexpressed in 24 cancer classes. Genomics. 2004; 84: 1014-20.
- Marie J, Levin MJ, Simon MP, Kahn A. Genetic and epigenetic control of the pyruvate kinase isozymes in mammals. Isozymes Curr Top Biol Med Res. 1983; 7: 221-40.

- Sun H, Zhu A, Zhang L, Zhang J, Zhong Z, Wang F. Knockdown of PKM2 Suppresses Tumor Growth and Invasion in Lung Adenocarcinoma. International journal of molecular sciences. 2015; 16: 24574-87.
- Schneider J, Neu K, Grimm H, Velcovsky HG, Weisse G, Eigenbrodt E. Tumor M2-pyruvate kinase in lung cancer patients: immunohistochemical detection and disease monitoring. Anticancer Res. 2002; 22: 311-8.
- Palsson-McDermott EM, Dyck L, Zaslona Z, Menon D, McGettrick AF, Mills KHG, et al. Pyruvate Kinase M2 Is Required for the Expression of the Immune Checkpoint PD-L1 in Immune Cells and Tumors. Frontiers in immunology. 2017; 8: 1300.
- Anastasiou D, Yu Y, Israelsen WJ, Jiang JK, Boxer MB, Hong BS, et al. Pyruvate kinase M2 activators promote tetramer formation and suppress tumorigenesis. Nat Chem Biol. 2012; 8: 839-47.
- Yang W, Xia Y, Ji H, Zheng Y, Liang J, Huang W, et al. Nuclear PKM2 regulates beta-catenin transactivation upon EGFR activation. Nature. 2011; 480: 118-22.
- Luo W, Hu H, Chang R, Zhong J, Knabel M, O'Meally R, et al. Pyruvate kinase M2 is a PHD3-stimulated coactivator for hypoxia-inducible factor 1. Cell. 2011; 145: 732-44.
- Barsoum IB, Smallwood CA, Siemens DR, Graham CH. A mechanism of hypoxia-mediated escape from adaptive immunity in cancer cells. Cancer Res. 2014; 74: 665-74.
- Travis WD, Brambilla E, Nicholson AG, Yatabe Y, Austin JHM, Beasley MB, et al. The 2015 World Health Organization Classification of Lung Tumors: Impact of Genetic, Clinical and Radiologic Advances Since the 2004 Classification. J Thorac Oncol. 2015; 10: 1243-60.
- Detterbeck FC, Boffa DJ, Kim AW, Tanoue LT. The Eighth Edition Lung Cancer Stage Classification. Chest. 2017; 151: 193-203.
- Li M, Chen H, Diao L, Zhang Y, Xia C, Yang F. Caveolin-1 and VEGF-C promote lymph node metastasis in the absence of intratumoral lymphangiogenesis in non-small cell lung cancer. Tumori. 2010; 96: 734-43.
- Zhao C, Wang A, Lu F, Chen H, Fu P, Zhao X, et al. Overexpression of junctional adhesion molecule-A and EphB2 predicts poor survival in lung adenocarcinoma patients. Tumour Biol. 2017; 39: 1010428317691000.
- Herbst RS, Soria JC, Kowanetz M, Fine GD, Hamid O, Gordon MS, et al. Predictive correlates of response to the anti-PD-L1 antibody MPDL3280A in cancer patients. Nature. 2014; 515: 563-7.
- {Guo G, C. Y., Zhu Q, Tou FF, Wen XM, Kuang YK, Hu H. The prognostic value of PKM2 and its correlation with tumour cell PD-L1 in lung adenocarcinoma. BMC cancer. 2019; 19: 289.
- Lu DH, Lv WW, Li WX, Gao YD. High PKM2 expression is independently correlated with decreased overall survival in hepatocellular carcinoma. Oncol Lett. 2018; 16: 3603-10.
- Najera L, Alonso-Juarranz M, Garrido M, Ballestin C, Moya L, Martinez-Diaz M, et al. Prognostic implications of markers of the metabolic phenotype in human cutaneous melanoma. Br J Dermatol. 2018.
- Wang W, He Q, Sun J, Liu Z, Zhao L, Lu Z, et al. Pyruvate kinase M2 deregulation enhances the metastatic potential of tongue squamous cell carcinoma. Oncotarget. 2017; 8: 68252-62.
- Papadaki C, Sfakianaki M, Lagoudaki E, Giagkas G, Ioannidis G, Trypaki M, et al. PKM2 as a biomarker for chemosensitivity to front-line platinum-based chemotherapy in patients with metastatic non-small-cell lung cancer. Br J Cancer. 2014; 111: 1757-64.
- 39. Karachaliou N, Papadaki C, Lagoudaki E, Trypaki M, Sfakianaki M, Koutsopoulos A, et al. Predictive value of BRCA1, ERCC1, ATP7B, PKM2, TOPOI, TOPOmicron-IIA, TOPOIIB and C-MYC genes in patients with small cell lung cancer (SCLC) who received first line therapy with cisplatin and etoposide. PLoS One. 2013; 8: e74611.
- Shi HS, Li D, Zhang J, Wang YS, Yang L, Zhang HL, et al. Silencing of pkm2 increases the efficacy of docetaxel in human lung cancer xenografts in mice. Cancer Sci. 2010; 101: 1447-53.
- Boxer MB, Jiang JK, Vander Heiden MG, Shen M, Skoumbourdis AP, Southall N, et al. Evaluation of substituted N,N'-diarylsulfonamides as activators of the tumor cell specific M2 isoform of pyruvate kinase. J Med Chem. 2010; 53: 1048-55.
- Luo W, Semenza GL. Pyruvate kinase M2 regulates glucose metabolism by functioning as a coactivator for hypoxia-inducible factor 1 in cancer cells. Oncotarget. 2011; 2: 551-6.
- 43. Palsson-McDermott EM, Curtis AM, Goel G, Lauterbach MA, Sheedy FJ, Gleeson LE, et al. Pyruvate kinase M2 regulates Hif-1alpha activity and IL-1beta induction and is a critical determinant of the warburg effect in LPS-activated macrophages. Cell Metab. 2015; 21: 65-80.
- Schalper KA, Carvajal-Hausdorf D, McLaughlin J, Altan M, Velcheti V, Gaule P, et al. Differential Expression and Significance of PD-L1, IDO-1, and B7-H4 in Human Lung Cancer. Clin Cancer Res. 2017; 23: 370-8.