

**Research Paper** 



# Independent effect of postoperative neutrophil-tolymphocyte ratio on the survival of pancreatic ductal adenocarcinoma with open distal pancreatosplenectomy and its nomogram-based prediction

Ning Pu<sup>#</sup>, Hanlin Yin<sup>#</sup>, Guochao Zhao, Abulimiti Nuerxiati, Dansong Wang, Xuefeng Xu, Tiantao Kuang, Dayong Jin, Wenhui Lou<sup>\infty</sup>, Wenchuan Wu<sup>\infty</sup>

Department of General Surgery, Zhongshan Hospital, Institute of General Surgery and Shanghai Medical College, Fudan University, Shanghai, 200032, People's Republic of China

#These authors contributed equally to this work.

<sup>©</sup> Corresponding authors: Wenchuan Wu, wu.wenchuan@zs-hospital.sh.cn and Wenhui Lou, lou.wenhui@zs-hospital.sh.cn. Department of General Surgery, Zhongshan Hospital, Fudan University, 180 Fenglin Road, Shanghai, 200032, People's Republic of China.

© The author(s). This is an open access article distributed under the terms of the Creative Commons Attribution License (https://creativecommons.org/licenses/by/4.0/). See http://ivyspring.com/terms for full terms and conditions.

Received: 2019.04.18; Accepted: 2019.08.26; Published: 2019.10.15

### Abstract

**Background:** The prognosis of pancreatic ductal adenocarcinoma (PDAC) remains poor. Open distal pancreatosplenectomy (ODPS) is prevalent in the patients of early PDAC located in pancreatic body or tail. However, the models for relapse or survival prediction in those patients are still limited. Postoperative neutrophil-to-lymphocyte rate (poNLR), a novel inflammation-based score, has been formulated to analyze the prognostic significance in PDAC patients with ODPS. Therefore, this study aims to generate a valuable prognostic nomogram for PDAC following ODPS.

**Methods:** We retrospectively enrolled 97 patients of PDAC undergoing ODPS in this study. The Cox proportional hazards regression methodology was used in univariate and multivariate survival analyses to identify significant independent prognostic factors. The prognostic nomograms integrating poNLR into the American Joint Commission on Cancer (AJCC) staging system (8th edition) for predicting overall survival (OS) and relapse free survival (RFS) were established to achieve superior discriminatory abilities. Further, these prognostic nomograms were verified according to concordance index (C-index), calibrations and decision curve analyses (DCA).

**Results:** The optimal cut-off value of poNLR for assessing OS determined by X-tile program was 14.1. Higher poNLR was associated with higher postoperative neutrophil (poNeutrophil), lower postoperative lymphocyte (poLymphocyte), lower preoperative lymphocyte-to-monocyte rate (preLMR) and higher  $\triangle$ NLR (postoperative-preoperative NLR). In the univariate and multivariate analysis, poNLR was identified as an independent prognostic indicator for OS and RFS (P=0.044 and 0.028, respectively) and patients with higher poNLR level were probable to have shorter OS and RFS. Compared with the TNM staging system of the AJCC 8th edition, the nomogram comprising of poNLR and AJCC 8th edition exhibited superior predictive accuracy for OS and RFS.

**Conclusions:** poNLR can be a proven, inexpensive and novel survival predictor of PDAC patients with ODPS. One more advanced and accurate predictive model will be achieved to assist in risk stratification via the incorporation of poNLR into nomograms.

Key words: PDAC; ODPS; neutrophil-to-lymphocyte ratio; prognosis; nomogram

# Introduction

Open distal pancreatosplenectomy (ODPS) is the standard surgical operation for pancreatic ductal

adenocarcinoma (PDAC) which located in pancreatic body or tail. Despite aggressive surgical therapy,

PDAC still causes the fourth leading cancer-related deaths<sup>1, 2</sup>. In PDAC patients with ODPS, suitable systemic stratification and patient enrollment for treatment remain challenges. Considering this, one more novel prognostic biomarkers are needed urgently to assist in the decision-making of treatment and surveillance to obtain uppermost improvements for PDAC patients.

Some evidences have verified the value of systematic inflammation response in predicting the progression of malignant cancers and survival of patients, which has received considerable interest owing to its simplicity, convenience and inexpensiveness3. Numerous studies have showed that the increased preoperative neutrophil-tolymphocyte ratio (preNLR) owns a dominant prognostic value in several diseases, such as gastric, colorectal, hepatocellular, pancreatic, lung cancer and so on<sup>4-9</sup>. In addition, amounts of studies have showed the prognostic value of preNLR in localized or advanced pancreatic cancer, and an elevated preNLR was significantly correlated to shorter survival or PDAC occurrence<sup>4, 10-12</sup>. The cut-off value of preNLR as previously literatures reported was around 2.0-5.04, <sup>13, 14</sup>. However, few studies reported prognostic value of elevated NLR in ODPS patients. In particular, most studies focused on preNLR as a predictor of survival in PDAC, while no study assessed the correlation between postoperative neutrophil-to-lymphocyte ratio (poNLR) and prognosis after ODPS for PDAC. The poNLR may better reflect the prognosis-related systemic immune response and the stress suffering from surgery according to several recent reports<sup>15-18</sup>.

Taken together, we logically hypothesized that a novel inflammatory biomarker, poNLR, can predict the survival outcome after ODPS for PDAC. We dug into the connections between prognostic significance of poNLR and clinicopathological characteristics in PDAC undergoing ODPS to evaluate its values in prediction of overall survival (OS) and relapse free survival (RFS). Additionally, an innovative prognostic nomogram model incorporating poNLR and AJCC 8th staging system was formulated to predict the prognosis.

# **Patients and Methods**

## Patient data

We retrospectively collected and analyzed 97 PDAC patients from March 2012 to March 2016 who underwent ODPS at Zhongshan Hospital, Fudan University. All the patients had received the optimal chemotherapy was provided by multiple disciplinary teams by synthesizing the patients' tumor burden, physical and financial condition; The inclusion and exclusion criteria were as follows: (1) patients who underwent ODPS and confirmed as PDAC by exactly pathological diagnosis, and without unknown origins metastasis;(2) or distant no preoperative anti-inflammatory or anti-cancer treatments; (3) no concurrence or history of other malignant cancers; (4) no evidence of preoperative infection, hematological inflammatory diseases; (5) sufficient or clinicopathological and follow-up data. The protocol of this study was approved by the Clinical Research Ethic Committee of Zhongshan Hospital, Fudan University and all informed consents were obtained.

Conventional clinicopathological parameters were examined within preoperative 3 days, while blood routine test and biochemical test were also examined within postoperative one day routinely, such as preoperative CA19-9 (preCA19-9), CEA (preCEA), monocyte (preMonocyte) and preoperative or postoperative neutrophil (preNeutrophil or poNeutrophil), lymphocyte (preLymphocyte or poLymphocyte), albumin (preALB or poALB), alanine aminotransferase (preALT or poALT), gamma-glutamyltransferase (preGGT or poGGT) and lactate dehydrogenase (preLDH or poLDH). The clinical tumor stage for each patient was evaluated by postoperative histopathological examination and clinical assessment in accordance with the AJCC 8th staging system. Data were obtained from hospital record system. The NLR was calculated by dividing the blood neutrophil counts by the blood lymphocyte counts and  $\triangle$ NLR was calculated by subtracting preNLR from poNLR.

### Follow-up

Postoperative follow-up schedules were carried out with all patients according to the NCCN Clinical Practice Guidelines, including physical, laboratory and radiological examinations. In detail, blood routine and biochemical tests, and tumor biomarkers were examined quarterly within first 2 years, biannually within next 3 years, and yearly thereafter. Patients routinely underwent enhanced abdominal computed tomography (CT) scans or magnetic resonance imaging (MRI) in every 6 months. In addition, all patients were followed up via telephone call and the last follow-up work was finished on December 30, 2016. The OS was defined as the period between surgery and December 2016 or death and the date of surgery to tumor relapse or December 2016 was considered as RFS.

### **Statistical procedures**

SPSS 23.0 statistical package (SPSS Inc., Chicago, IL, USA) and R 3.4.4 project were used for analysis (Bell Laboratories, Murray Hill, NJ, USA). The

optimal cut-off value for poNLR was calculated by X-tile program (Yale University, New Haven, CT, USA). The correlations between poNLR and clinicopathologic variables were analyzed using Pearson's  $\chi$ 2 test or Fisher's exact test as appropriate. Log-rank test and Kaplan–Meier method were used to analyze and depict survival curves. Independent prognostic factors were identified by using Cox proportional hazards regression model for multivariate analysis, and significant difference was found when p value was less than 0.05.

Novel prognostic nomograms for OS and RFS based on poNLR and AJCC 8th staging system were respectively established. Concordance index(C-index), calibration curve and decision curve analysis (DCA) were further used to evaluated predictive performance as previously described<sup>3, 19, 20</sup>.

# Results

### **Clinicopathological characteristics**

According to whole criteria above, 97 patients with ODPS were finally collected. The detailed clinical and pathological characteristics were displayed in Table 1. Fifty-two males and forty-five females consisted of the gender distribution. The population's median age was 64 (ranging between 35 and 81) years. The median OS and RFS was 17 months (ranges, 6-78 months) and 10 months (ranges, 1-72 months), respectively. Furthermore, 1-, 2- and 3-year OS rates were 68.4%, 30.7% and 14.8% respectively, while 1- and 2-year RFS rates were 37.1% and 14.0% respectively. Among these patients, 29 patients suffered from well or moderate pathological differentiation, whereas 68 patients were observed in poor differentiation. From the AJCC 8th staging system, number of patients of I, II A, II B and III stage was 24, 31, 25 and 17 respectively.

# Identification of the optimal cut-off value for poNLR

The median poNLR of the collected population was 13.8 (ranges: 1.8-31.9). Using X-tile program, a biostatistical tool, we further determined 14.1 as an optimal cut-off value of poNLR for survival analysis (Figure 1), as well as other inflammation-based scores displayed in Table 1, such as albumin-to-gamma glutamyltransferase ratio (AGR), lymphocyte-tomonocyte ratio (LMR), albumin-to-lactate dehydrogenase ratio (ALR) and  $\triangle$ NLR. Thus, we divided the cohort into two groups in line accordance with the poNLR (poNLR>14.1 as a high-risk group and poNLR≤14.1 as a low-risk group).

Table 1. Clinicopathological characteristics of patients with PDAC: univariate and multivariate analysis.

Variables	Patients	OS			RFS		
	(n=97)	Univariate P-value	Multivariate P-value	Multivariate HR (95% CI)	Univariate P-value	Multivariate P-value	Multivariate HR (95% CI)
Gender, male/female	52/45	0.676	NA		0.430	NA	
Age, years(medium,range)	64, 35-81	0.309	NA		0.317	NA	
Differentiation, well and moderate/poor	29/68	0.221	NA		0.608	NA	
Vascular invasion, yes/no	15/82	0.766	NA		0.710	NA	
Tumor size, ≤ 4/ > 4 cm	42/55	0.515	NA		0.133	NA	
TNM stage, I+IIA/IIB+III	55/42	0.003	0.001	2.177(1.380-3.435)	0.001	0.001	2.191(1.396-3.439)
preCA19-9, < 37/ ≥ 37 U/L	23/74	0.208	NA		0.307	NA	
preCEA, $< 5/ \ge 5$ ng/mL	76/21	0.271	NA		0.602	NA	
preNeutrophil, ≤ 6.3/ >6.3 *109/L	90/7	0.084	NA		0.049	0.346	1.506(0.643-3.532)
preLymphocyte, < 1.1/ ≥ 1.1 *10 <sup>9</sup> /L	9/88	0.825	NA		0.959	NA	
preMonocyte, ≤ 0.6/ >0.6 *10 <sup>9</sup> /L	87/10	0.019	0.027	2.214(1.093-4.486)	0.051	NA	
preALB, $\langle 35 \rangle \ge 35 \text{ g/L}$	3/94	0.811	NA		0.983	NA	
preALT, $\leq 50/ > 50 \text{ U/L}$	95/2	0.505	NA		0.836	NA	
preGGT, ≤ 60/ > 60 U/L	86/11	0.889	NA		0.606	NA	
- preLDH, ≤245/ >245 U/L	93/4	0.440	NA		0.375	NA	
poNeutrophil, ≤ 11.65/ >11.65 *10 <sup>9</sup> /L	28/69	0.075	NA		0.029	0.295	1.366(0.762-2.447)
poLymphocyte, $< 1.1 / \ge 1.1 * 10^9 / L$	51/46	0.449	NA		0.403	NA	
poALB, $\langle 35/ \geq 35 \text{ g/L} \rangle$	45/52	0.195	NA		0.609	NA	
$poALT, \leq 50/ > 50 U/L$	87/10	0.905	NA		0.882	NA	
poGGT,≤60/>60 U/L	87/10	0.703	NA		0.830	NA	
poLDH, ≤245/ >245 U/L	50/47	0.886	NA		0.558	NA	
preLMR, ≤ 5.8/ >5.8	65/32	0.013	0.078	0.630(0.377-1.053)	0.036	0.150	0.704(0.436-1.135)
preAGR, ≤ 1.66/ >1.66	41/56	0.978	NA	, , ,	0.432	NA	,
oreALR, ≤ 0.227/ >0.227	39/58	0.375	NA		0.232	NA	
poNLR, ≤ 14.1/ >14.1	51/46	0.012	0.044	1.618(1.014-2.582)	0.003	0.028	3.378(1.141-9.999)
poAGR, ≤0.92/ > 0.92	22/75	0.682	NA	. ,	0.566	NA	. ,
poALR, ≤ 0.151/ >0.151	54/43	0.035	0.108	0.683(0.428-1.087)	0.042	0.152	0.714(0.450-1.132)
∆NLR, ≤ 12.1/ >12.1	53/44	0.053	NA	. ,	0.026	0.137	0.431(0.142-1.309)

OS, overall survival; RFS, relapse free survival; NLR, neutrophil-to-lymphocyte ratio; NA, not available. P values <0.05 are highlighted in bold font.

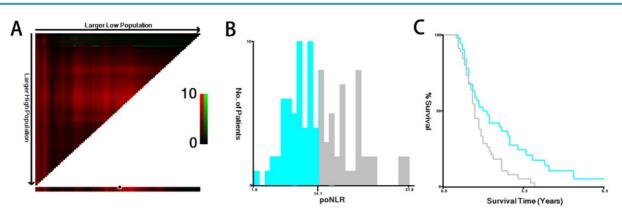


Figure 1. X-tile analysis of OS. The optimal cut-off value with dichotomies for poNLR was 14.1 (A, B, C). OS, overall survival; poNLR, postoperative neutrophil-to-lymphocyte rate.

# Correlation between poNLR and clinicopathologic characteristics in PDAC with ODPS

As shown in Table 2, clinicopathological characteristics were compared between patients in high-poNLR group and low-poNLR group. Significant positive associations were found between high-poNLR group and elevated poNeutrophil, declined poLymphocyte or higher  $\triangle$ NLR (p<0.001). Intriguingly, high-poNLR group was also associated with lower preLMR (p=0.025). However, no significant differences were found between two cohorts with other clinicopathological or inflammatory parameters.

# Association of poNLR with OS and RFS for PDAC with ODPS

In PDAC patients with ODPS, Kaplan-Meier curves revealed poNLR larger than 14.1 was correlated with poor prognosis significantly than low-poNLR group in terms of OS and RFS (Figure 2, p=0.008 and 0.002 respectively). In high-risk group, OS rates at 1, 2 and 3 years were 68.9%, 18.5%, 5.3%, while 67.8%, 42.3%, 24.4% in low-risk group. In addition, RFS rates at 1 and 2 years were 26.8%, 2.4% in high-risk group compared to 46.3%, 23.4% in low-risk group.

The univariate analysis showed that advanced TNM stage (p <0.003), decreased poALP (p=0.035), preLMR (P=0.013) and elevated preMonocyte (p=0.019), poNLR (p=0.012), were considered as significant risk factors in OS, as well as preNeutrophil (p=0.049), poNeutrophil (p=0.029) and  $\triangle$ NLR (P=0.026) in RFS analysis (Table 1). TNM stage (p=0.001, hazard ratio [HR]=2.177; 95% confidential interval [CI]:1.380-3.435 and p=0.001, HR=2.191; 95%CI:1.396-3.439 respectively), and poNLR level (p=0.044, HR=1.618; 95%CI:1.014-2.582 and p=0.028, HR=3.278; 95%CI:1.141-9.999 respectively) remained

as independent prognostic indicators in multivariable analysis. Moreover, preMonocyte counts (p=0.027, HR=2.214; 95%CI:1.093-4.486) was also confirmed for OS (Table 1).

Table 2.	Correlation	between	poNLR	and	clinicopathological
variables o	f patients wit	h PDAC.	-		

Variables	poNLR≤ 14.1 (n=51)	poNLR> 14.1 (n=46)	P-value
Gender, male/female	30/21	22/24	0.278
Age, < 60/ ≥ 60	16/35	14/32	0.921
Differentiation, well and moderate/poor	13/38	16/30	0.318
Tumor size, $\leq 4 / > 4$ cm	21/30	21/25	0.657
TNM stage, I+IIA/IIB+III	28/23	27/19	0.707
preCA19-9, < 37/ ≥ 37 U/L	14/37	9/37	0.362
preCEA, $< 5/ \ge 5$ ng/mL	37/14	39/7	0.144
poNeutrophil, ≤ 11.65/ >11.65 *10 <sup>9</sup> /L	23/28	5/41	< 0.001
poLymphocyte, < 1.1/ ≥ 1.1 *10 <sup>9</sup> /L	12/39	39/7	< 0.001
poALB, <35/ ≥ 35 g/L	25/26	20/26	0.585
$poALT$ , $\leq 50 / > 50 U/L$	47/4	40/6	0.612
$poGGT, \le 60 / > 60 U/L$	46/5	41/5	1
poLDH, ≤245/ >245 U/L	29/22	21/25	0.270
preLMR, ≤ 5.8/ >5.8	29/22	36/10	0.025
preAGR, ≤ 1.66/ >1.66	22/29	19/27	0.855
preALR, ≤ 0.227/ >0.227	18/33	21/25	0.299
poAGR, ≤0.92/ > 0.92	12/39	10/36	0.833
poALR, ≤ 0.151/ >0.151	26/25	28/18	0.328
∆NLR, ≤ 12.1/ >12.1	50/1	3/43	<0.001

NLR, neutrophil-to-lymphocyte ratio; preCA19-9, preoperative cancer antigen CA19-9; preCEA, preoperative carcino embryonie antigen; poNeutrophil, postoperative neutrophil; poLymphocyte, postoperative lymphocyte; poALB, postoperative albumin; poALT, postoperative alanine aminotransferase; poGGT, postoperative gamma-glutamyltransferase; poLDH, postoperative lactate dehydrogenase; LMR, lymphocyte-to-monocyte ratio; AGR, albumin to gamma-glutamyltransferase ratio; ALR, albumin to lactate dehydrogenase ratio. P values <0.05 are highlighted in bold font.

# Prognostic nomograms incorporating poNLR and AJCC 8th staging system

To predict OS and RFS, two nomograms, one more accurate predictive model, were created by integrating two independent prognostic indicators, poNLR and AJCC 8th staging system, according to multivariate Cox regression models (Figure 3A and 3B). C-indices for OS and RFS prediction with the formulated nomograms were 0.658 (95% CI, 0.643-0.673) and 0.644 (95% CI, 0.629-0.659) respectively, as well as C-indices for other variables shown in Table 3, which indicated that the nomograms incorporating poNLR and AJCC 8th staging system had higher C-indices and better predictive ability for OS and RFS than that of AJCC 8th staging system alone.

Calibration curves for probability of OS at 1, 2, 3 years (Figure 4A-4C) and RFS at 1, 2 years (Figure 4D-4E) after ODPS showed excellent consistency

between nomogram-prediction and actual observation.

On decision curve analysis (DCA) as our previously applied<sup>3, 19, 20</sup>, the nomogram incorporating poNLR and AJCC 8th staging system yielded wider threshold probability and better net benefit than AJCC 8th staging system alone, which indicated higher predictive power for OS and RFS prediction (Table 3; Figure 5A-5E). Meanwhile, higher threshold probability represented better estimation for decision outcomes.

**Table 3.** Discriminatory capabilities of nomogram and independent prognostic factors in patients with PDAC: C-indices in OS and RFS prediction.

Variables	OS	OS		RFS		
	C-index	95% CI	C-index	95% CI		
Nomogram (AJCC 8th edition +poNLR)	0.658	0.643-0.673	0.644	0.629-0.659		
AJCC 8th edition	0.619	0.607-0.631	0.601	0.589-0.613		
poNLR	0.556	0.543-0.569	0.567	0.555-0.579		

OS, overall survival; RFS, relapse free survival; c-index, concordance index; NLR, neutrophil-to-lymphocyte ratio.

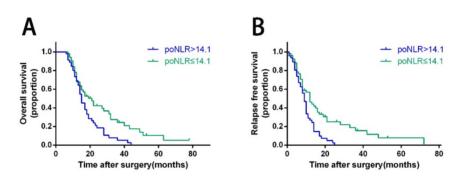


Figure 2. Kaplan-Meier survival curves for OS and RFS of PDAC patients with ODPS according to poNLR levels. Patients of PDAC with poNLR more than 14.1 were inclined to significantly poorer OS (A) and RFS (B). The *P*-values were analyzed by the log-rank test. OS, overall survival; RFS, relapse free survival; PDAC, pancreatic ductal adenocarcinoma; ODPS, open distal pancreatosplenectomy; poNLR, postoperative neutrophil-to-lymphocyte rate.

Α		В	
Points	0 5 10 15 20 25 30 35 40 45 50 55 60 66	Points	0 5 10 15 20 25 30 35 40 45 50 55 60 (
AJCC 8th edition	I + EA	AJCC 8th edition	1. E.A.
poNLR	541 541	poNLR	
Total Points	0 19 20 30 40 50 60 70 60 50 100 110 120	<b>Total Points</b>	6 10 20 30 40 50 60 70 80 90 100 110 120 130
Linear Predictor	45 45 44 43 42 41 0 01 02 03 04 05 05 07	Linear Predictor	46 45 44 43 42 41 6 81 82 93 64 65 66 97 68
1-Year OS	88 0.75 0.7 0.66 0.6 0.65 0.5	1-Year RFS	055 0.5 0.45 0.4 0.26 0.3 0.25 0.2 0.15
2-Year OS	05 0.45 0.4 0.35 0.3 0.25 0.2 0.15 0.1	2-Year RFS	0.3 0.25 0.2 0.15 0.1 0.05
3-Year OS	03 0.25 0.2 0.15 0.1 0.05		

Figure 3. Prognostic nomograms for PDAC with ODPS. The nomograms predicted OS (A) and RFS (B) in patients of PDAC undergoing ODPS. PDAC, pancreatic ductal adenocarcinoma; ODPS, open distal pancreatosplenectomy; OS, overall survival; RFS, relapse free survival; poNLR, postoperative neutrophil-to-lymphocyte rate; AJCC, American Joint Committee on Cancer.

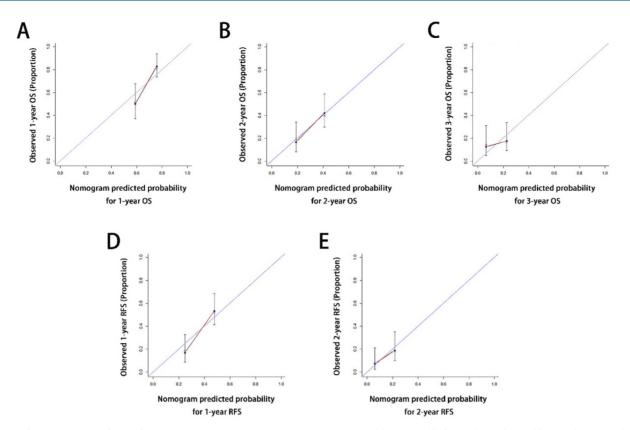


Figure 4. Calibration curves for the formulated nomograms. The calibration curves predicted OS at 1 years (A), 2 years (B) and 3 years (C) and RFS at 1 years (D), 2 years (E) in the whole cohort. Nomogram-predicted probability of OS or RFS was plotted on the x axis and the observed OS or RFS was plotted on the y axis. OS, overall survival; RFS, relapse free survival.

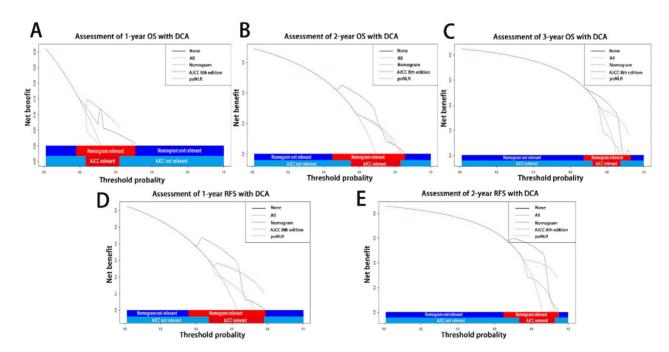


Figure 5. Decision curve analyses for different predictive models. Decision curve analyses depicted the clinical net benefit in pairwise comparisons across the different models. Nomograms were compared to the AJCC 8th edition in terms of 1-(A), 2-(B), 3-(C) year OS and 1-(D), 2-year(E) RFS in the enrolled cohorts. The dashed lines indicated the net benefit of the predictive models across a range of threshold probabilities (black: nomograms; red: AJCC TNM staging system of 8th edition; green: poNLR). The horizontal solid black line represented the assumptions that no patient would experience the event, and the solid grey line represented the assumption that all patients would experience the event. On decision curve analyses, the nomograms for OS and RFS revealed better net benefits compared with AJCC 8th edition across a wider range of threshold probabilities. OS, overall survival; RFS, relapse free survival; poNLR, postoperative neutrophil-to-lymphocyte rate; TNM, tumor node metastasis; AJCC, American Joint Committee on Cancer

## Discussion

Among this study, patients of PDAC operated with ODPS were analyzed. To our knowledge, we formulated poNLR, a convenient and original inflammation-based score constituting neutrophil and lymphocyte counts, as a precise predictor in PDAC patients undergoing ODPS. In analysis with the clinicopathological characteristics, patients with higher poNLR (>14.1) had higher poNeutrophil counts as well as lower poLymphocyte counts. In addition, they also had correlations with  $\triangle$ NLR and preLMR level. Next, significant associations were found between poNLR and OS or RFS according to univariate and multivariate analysis. Furthermore, nomograms containing poNLR and AJCC 8th staging system validated by calibrations and DCA manifested improved prediction power in contrast to AJCC 8th staging system alone.

The aforementioned results imply that the risk of cancer-related relapse or death increases after ODPS with the elevation in postoperative day one NLR. It is gradually accepted that there is a very strong link between systemic inflammation and development of PDAC<sup>21</sup>. The blood parameters reflect the anti-factor and promoting-factor of inflammatory response and immune response to the cancer<sup>16</sup>. Our previous study had investigated the changes of microenvironment in PDAC, which showed the prognosis-related changes of regulatory T cells and CD8+ T cells<sup>22</sup>. Our present research indicated that elevated poNLR was an independent predictive factor to the prognosis of PDAC undergoing ODPS. Balkwill F et al.<sup>23</sup> believed that inflammation could drive cancer progression rather than suppress the tumorigenesis or metastasis. The active inflammation concluded as a bad prognosis to patients with cancers. It was proposed that tumor cells stimulated neutrophils by secreting interleukin-8, which dissolved extracellular matrix to help tumor cells traverse across the vasculature and spread to a wider space<sup>24</sup>. Besides that, neutrophils could promote tumor growth by inflammatory markers like vascular endothelial growth factor (VEGF), proteases, NF-kB25. Just as the membrane transports of serum electrolytes, when the serum concentration reached to an aberrant level, the intrinsic balance would disrupt. So postoperative day one higher neutrophil counts may immediately change the latent microenvironment of cancer, which contributed to the recurrence and progression<sup>26</sup>.

In ODPS procedure, splenectomy and the surgical trauma contributing to the alternation of peripheral blood parameters should be taken into consideration. Exstirpation of the spleen makes unignorable changes in immune status. The neutrophils' proportion and absolute counts increase, whereas the lymphocytes' proportion and absolute counts are not significantly changing or even decrease<sup>27, 28</sup>. All these may lead to an increasing ratio of the poNLR. Simultaneously, we determined the optimal cut-off value of poNLR as 14.1. Although Stotz M et al.<sup>29</sup> reported that preNLR≥5 was selected as threshold for distinguish the high/low-risk group in pancreatic cancer, our study failed in obtaining the optimal cut-off value of preNLR and  $\triangle$ NLR could not be an independent predictor through univariate and multivariate analysis. In addition, comparing with preNLR which may indicate tumor progress, the poNLR was appropriate to provide more precise systemic condition of post-operation like connective tissue disorders, frequently physical examination or mental stress<sup>16</sup>. We also found that preLMR had a significantly correlation with poNLR, which was consistent with the previous studies that verified high preMonocyte counts and low preLMR level could predict a poor prognosis in PDAC<sup>30</sup>. Combining with our study, we speculated that there were potential relationships between monocytes and neutrophils in the PDAC microenvironment<sup>31</sup>.

Although a series of inflammation-based scores appeared to be prognostic factors in diverse malignances<sup>3, 32</sup>, inflammation factors were failed to be incorporated into AJCC 8th staging system or other existed predictive model in PDAC. Intriguingly, AJCC 8th staging system integrating poNLR in Table 3 indicated that the incorporated nomograms led to higher C-indices than that of AJCC 8th staging system alone. This meant that our findings may provide a novel clue to predict the prognosis of PDAC with ODPS more accurately.

There were still several limitations in our study. Firstly, this remained as a single-center retrospective study essentially, potential bails must be considered, and a multicenter and large-scale validation group was needed. Secondly, patients undergoing ODPS procedure may have differential surgical trauma because of different physical conditions and surgeons' operations.

In conclusion, this study verified the links between high poNLR and poor prognosis. The poNLR, deriving from inexpensive and easy-accessible clinical routine blood tests, could be a quite effective predictor for PDAC with ODPS. Moreover, the established nomograms integrating poNLR and AJCC 8th staging system provided higher predictive accuracy. However, because of the limitations, further studies were warranted to authenticate our findings.

## Abbreviations

AGR: albumin-to-gamma glutamyltransferase ALB: albumin; AJCC: American Ioint ratio; Cancer: Commission on ALT: alanine aminotransferase; C-index: concordance index; CT: computed tomography; DCA: decision curve analysis; gamma-glutamyltransferase; LDH: lactate GGT: dehydrogenase; LMR: lymphocyte-to-monocyte ratio; magnetic resonance imaging; MRI: NLR: neutrophil-to-lymphocyte ratio; ODPS: open distal pancreatosplenectomy; OS: overall survival; PDAC: pancreatic ductal adenocarcinoma; RFS: relapse free survival; VEGF: vascular endothelial growth factor.

## Acknowledgements

The abstract of this paper was presented as a poster presentation at the Pancreas 2018 Conference in Johns Hopkins Hospital, Baltimore, MD, USA. The poster's abstract was published in "Poster Abstracts" in Annals of Pancreatic Cancer (DOI:10.21037/apc.2018.AB069).

#### Funding

This study was granted by Shanghai Pujiang Program (No.16PJD013) and Science and Technology Commission of Shanghai Municipality (No.11JC 1402502).

#### Availability of data and materials

All data used and analyzed in this study is available from the correspondence upon reasonable request.

### **Author Contributions**

Ning Pu, Wenchuan Wu and Wenhui Lou contributed towards conception and design. Ning Pu, Guochao Zhao, Abulimiti Nuerxiati, Dansong Wang, Xuefeng Xu, Tiantao Kuang and Dayong Jin were responsible for collection and entry of data. Ning Pu and Hanlin Yin were responsible for data analysis and interpretation. All authors contributed to writing the manuscript and approve this submission.

#### Ethical approval and consent to participate

The protocol of this study was approved by the Clinical Research Ethic Committee of Zhongshan Hospital, Fudan University. All informed consents were obtained from patients.

## **Competing Interests**

The authors have declared that no competing interest exists.

## References

 Siegel RL, Miller KD, Jemal A. Cancer statistics, 2019. CA Cancer J Clin. 2019;69:7-34.

- Pu N, Lv Y, Zhao G, et al. Survival prediction in pancreatic cancer patients with no distant metastasis: a large-scale population-based estimate. Future Oncol. 2018;14:165-75.
- Pu N, Gao S, Xu Y, et al. Alkaline Phosphatase-To-Albumin Ratio as a Prognostic Indicator in Pancreatic Ductal Adenocarcinoma after Curative Resection. J Cancer. 2017;8:3362-70.
- An X, Ding PR, Li YH, et al. Elevated neutrophil to lymphocyte ratio predicts survival in advanced pancreatic cancer. Biomarkers. 2010;15:516-22.
- Bar-Ad V, Palmer J, Li L, et al. Neutrophil to lymphocyte ratio associated with prognosis of lung cancer. Clin Transl Oncol. 2017;19:711-7.
- Qi X, Li J, Deng H, et al. Neutrophil-to-lymphocyte ratio for the prognostic assessment of hepatocellular carcinoma: A systematic review and meta-analysis of observational studies. Oncotarget. 2016;7:45283-301.
- Balta S, Unlu M, Arslan Z, et al. Neutrophil-to-Lymphocyte Ratio in Prognosis of Gastric Cancer. J Gastric Cancer. 2013;13:196-7.
- Zhang L, Wang R, Chen W, et al. Prognostic significance of neutrophil to lymphocyte ratio in patients with gallbladder carcinoma. HPB (Oxford). 2016;18:600-7.
- Kubo H, Murayama Y, Arita T, et al. The Prognostic Value of Preoperative Neutrophil-to-Lymphocyte Ratio in Colorectal Cancer. World J Surg. 2016;40:2796-802.
- Yang JJ, Hu ZG, Shi WX, et al. Prognostic significance of neutrophil to lymphocyte ratio in pancreatic cancer: a meta-analysis. World J Gastroenterol. 2015;21:2807-15.
- Cheng H, Long F, Jaiswar M, et al. Prognostic role of the neutrophil-to-lymphocyte ratio in pancreatic cancer: a meta-analysis. Sci Rep. 2015;5:11026.
- Arima K, Okabe H, Hashimoto D, et al. The diagnostic role of the neutrophil-to-lymphocyte ratio in predicting pancreatic ductal adenocarcinoma in patients with pancreatic diseases. Int J Clin Oncol. 2016;21:940-5.
- Abe T, Amano H, Kobayashi T, et al. Preoperative neutrophil-to-lymphocyte ratio as a prognosticator in early stage pancreatic ductal adenocarcinoma. Eur J Surg Oncol. 2018;44:1573-9.
- Giakoustidis A, Neofytou K, Costa Neves M, et al. Identifying the role of neutrophil-to-lymphocyte ratio and platelets-to-lymphocyte ratio as prognostic markers in patients undergoing resection of pancreatic ductal adenocarcinoma. Ann Hepatobiliary Pancreat Surg. 2018;22:197-207.
- Kang M, Jeong CW, Kwak C, et al. The Prognostic Significance of the Early Postoperative Neutrophil-to-Lymphocyte Ratio in Patients with Urothelial Carcinoma of the Bladder Undergoing Radical Cystectomy. Ann Surg Oncol. 2016;23:335-42.
- Jin F, Han A, Shi F, et al. The postoperative neutrophil-to-lymphocyte ratio and changes in this ratio predict survival after the complete resection of stage I non-small cell lung cancer. Onco Targets Ther. 2016;9:6529-37.
- Jang WS, Cho KS, Kim MS, et al. The prognostic significance of postoperative neutrophil-to-lymphocyte ratio after radical prostatectomy for localized prostate cancer. Oncotarget. 2017;8:11778-87.
- Li C, Wen TF, Yan LN, et al. Postoperative neutrophil-to-lymphocyte ratio plus platelet-to-lymphocyte ratio predicts the outcomes of hepatocellular carcinoma. J Surg Res. 2015;198:73-9.
- Pu N, Gao S, Yin H, et al. Cell-intrinsic PD-1 promotes proliferation in pancreatic cancer by targeting CYR61/CTGF via the hippo pathway. Cancer Lett. 2019;460:42-53.
- Pu N, Li J, Xu Y, et al. Comparison of prognostic prediction between nomogram based on lymph node ratio and AJCC 8th staging system for patients with resected pancreatic head carcinoma: a SEER analysis. Cancer Manag Res. 2018;10:227-38.
- Birtolo C, Go VL, Ptasznik A, et al. Phosphatidylinositol 3-Kinase: A Link Between Inflammation and Pancreatic Cancer. Pancreas. 2016;45:21-31.
- Pu N, Zhao G, Yin H, et al. CD25 and TGF-beta blockade based on predictive integrated immune ratio inhibits tumor growth in pancreatic cancer. J Transl Med. 2018;16:294.
- Balkwill F, Mantovani A. Inflammation and cancer: back to Virchow? Lancet. 2001;357:539-45.
- De Larco JE, Wuertz BR, Furcht LT. The potential role of neutrophils in promoting the metastatic phenotype of tumors releasing interleukin-8. Clin Cancer Res. 2004;10:4895-900.
- Chen Y, Chen K, Xiao X, et al. Pretreatment neutrophil-to-lymphocyte ratio is correlated with response to neoadjuvant chemotherapy as an independent prognostic indicator in breast cancer patients: a retrospective study. BMC Cancer. 2016;16:320.
- Zhou SL, Zhou ZJ, Hu ZQ, et al. Tumor-Associated Neutrophils Recruit Macrophages and T-Regulatory Cells to Promote Progression of Hepatocellular Carcinoma and Resistance to Sorafenib. Gastroenterology. 2016;150:1646-58 e17.
- Hancock BW, Bruce L, Ward AM, et al. Changes in immune status in patients undergoing splenectomy for the staging of Hodgkin's disease. Br Med J. 1976;1:313-5.
- Ferrante A, Drew PA, Kiroff GK, et al. Peripheral blood leucocyte subpopulations in patients splenectomized for trauma. Clin Exp Immunol. 1987;70:158-63.
- Stotz M, Gerger A, Eisner F, et al. Increased neutrophil-lymphocyte ratio is a poor prognostic factor in patients with primary operable and inoperable pancreatic cancer. Br J Cancer. 2013;109:416-21.

- Nishijima TF, Muss HB, Shachar SS, et al. Prognostic value of lymphocyte-to-monocyte ratio in patients with solid tumors: A systematic review and meta-analysis. Cancer Treat Rev. 2015;41:971-8.
- Lee HW, Choi HJ, Ha SJ, et al. Recruitment of monocytes/macrophages in different tumor microenvironments. Biochim Biophys Acta. 2013;1835:170-9.
- Shirai Y, Shiba H, Haruki K, et al. Preoperative Platelet-to-Albumin Ratio Predicts Prognosis of Patients with Pancreatic Ductal Adenocarcinoma After Pancreatic Resection. Anticancer Res. 2017;37:787-93.