

Research Paper



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Outcome of Breast Cancer Patients Treated outside of Clinical Trials

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Abstract

Background: Information on outcome of breast cancer patients treated in the community is scarce. Data on outcome of patients treated in real-life clinical practice may provide useful information for performance improvement.

Methods: Study population is from a single institution practice at the American University of Beirut Medical Center. Demographics, clinical characteristics and survival data on patients diagnosed 1997-2010 in two IRB-approved studies were entered and analyzed on SPSS program. Survival was estimated using Kaplan Meier Method.

Findings: Total was 519 patients. 23.9% had stage I, 39.7% stage II, 30.4% Stage III and 6% stage IV. ER positive in 74.4% of patients. 30.6% of patients <35 had TNBC compared to 12.3% for the whole group. 45.9% of non-metastatic patients had breast-conserving therapy (BCT). BCT rates increased to 64% during the second half of the study, coinciding with increasing awareness and changing cultural mores. 5-year and 10-year overall survivals for stage I were 98.9% and 80.5%, 89.2% and 70.7% for stage II, 67.6% and 35.5% for stage III, and 39.1% and 26.1% for stage IV respectively.

Interpretation: Patients treated outside clinical trials in a multidisciplinary fashion according to guidelines have comparable, and at times better, survival compared to data from trials or population statistics. Locally generated outcome data could be valuable for evaluating results of treatment at individual practices for the purpose of quality assessment and improvement. Our data also provides report of increased rate of breast conserving surgery from Middle East.

Key words: breast cancer; outside clinical trials; survival; general oncology practice; breast conserving therapy; mastectomy; triple negative; screening; hormone receptors.

Introduction

Breast cancer is the most frequent cancer among women in both developed and developing countries.¹ Approximately 1 out of 8 women will be diagnosed with breast cancer in their lifetime.² Apart from general registry data such as SEER in the USA, National and Regional Registries from industrialized nations, the majority of specific survival data comes from clinical trials. Data on treatment and outcome of patients treated outside of clinical trials remains scarce although it may reflect better what happens in real life patient management and results.

Clinical trials have strict and mandatory diag-

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nostic, management and follow-up protocols. They generate Disease-Free Survival (DFS) and Overall Survival (OS) data at 3 or 5 years with some updates at 8 or 10 years,³⁻⁵ and provide evidence-based results that are used for clinical practice guidelines. Meta-analyses combine data from clinical trials and present further conclusions on mortality and survival data at 5, 10, 15 years, or even longer.⁶ Population-based data such as SEER Cancer Statistics Review (CSR) provide reports of most recent cancer incidence, mortality, survival, prevalence, and lifetime risk statistics, thus offering a general overview of cancer to the American community on a regular basis.7 Outcome data published from major medical centers invariably includes patients who were treated within clinical trials.8 Review of data from clinical practice outside of clinical trials can provide direct information on the results of real-life patient management and quality of care. Variable results at different institutions and regions are inevitable for various reasons. Such locally generated outcome data is scarce and if made available, it may provide valuable information for performance and improvement of patients' outcome in real-life clinical practices.

Lebanon has an average of 1700 new breast cancer cases per year.⁹ AUBMC sees an average of 150 patients each year.¹⁰ In this study, we look at outcome of patients treated uniformly in a single academic practice at AUBMC, which saw about 40 new cases per year, outside of clinical trials, and stratify them by stage and by age groups. We compare our results to those obtained from clinical trials and population statistics. We propose that looking at individual and hospital outcome data may provide, among others, an additional measure of quality of care in clinical practice.

We also provide new information on modern management of breast cancer from the Middle East and optimization of therapy in Low- and Middle-Income Countries, which coincides with increased awareness, improved medico-surgical expertise, and more available radiation and multimodality therapy.¹¹⁻¹³

Materials and Methods

Patients and Data Collection

Patients diagnosed between 1997 and 2010 were included in two consecutive studies of outcome of breast cancer patients treated outside of clinical trials. All patients were treated uniformly at a single institution practice at the American University of Beirut Medical Center (AUBMC) in Beirut, Lebanon. All patients were included and data was collected from individual patient charts. All laboratory, radiology and pathology reports as well as inpatient and outpatient charts were reviewed. Any patient that overlapped between these two time frames was included only once. Patients who were seen on consultation and treated outside AUBMC were not included. Disease status of patient was documented as alive free of disease, alive with disease, dead or lost to follow up. All deaths were related to breast cancer recurrences. Medical records information included: 1) personal information/demographics including: age at diagnosis and family history of breast cancer; 2) tumor characteristics and disease stage: grade and stage (pathological, clinical, or surgical), tumor size, Estrogen Receptor (ER) status, Progesterone Receptor (PR) status, HER2 receptor status, and lymph node (LN) status; 3) management: surgery, chemotherapy, targeted therapy, radiation therapy, and hormonal therapy.

A total of 519 patients were analyzed. Patients were divided into three age groups: \leq 35 years (age group 1), between 35 and 50 years (age group 2), and \geq 50 years (age group 3).

Pathology was done at AUBMC local laboratory. AUBMC Department of Pathology and Lab Medicine is certified by the College of American Pathologists (CAP); however, in earlier years of the study, FISH test for equivocal HER2 results was done at a commercial central laboratory. Definitions for receptor positivity used were as follows: negative results for Estrogen and Progesterone receptors were based on <5% positive staining in all patients. HER2 positivity was defined as strong complete staining of >10% of cells, which was changed to >30% after the publication of ASCO/ACP guidelines in 2007, or the in the presence of HER2 gene amplification by Fluorescent In Situ Hybridization (FISH) test. Our patients were all diagnosed before our institution switched to the new ASCO/ACP guidelines in 2010.14,15

All patients were staged according to AJCC 6. Stage at presentation was used for analysis. Clinical staging (cTNM) was used for all patients who had Neoadjuvant pre-operative therapy. Pathological staging (pTNM) was used for all patients who were operated upfront.

Patients were managed according to international guidelines. Changes were usually rapidly implemented after major publications and oncology meetings such as ASCO, San Antonio Breast Cancer Symposium and St. Gallen Early Breast Cancer Consensus Conference (Example: Adjuvant anti-HER2 therapy was rapidly introduced after ASCO 2005).

No direct comparison with local results from clinical trials was feasible because less than 0.5% of patients, for various limitations¹⁶, were entered in clinical trials at AUBMC and in Lebanon.

Statistical Analysis

Data was entered and analyzed by SPSS version 18. Analysis of data was performed on our breast cancer patients, stratifying them into three age groups. Frequencies of 14 variables were measured according to univariate analysis for the patients of the three age groups. These included: median age at diagnosis, family history, stage, grade, tumor size, ER status, PR status, HER2 receptor status, triple negativity, LN status, surgery type, radiation therapy, adjuvant chemotherapy, hormonal therapy. Stage IV patients were excluded from the analysis of multimodality therapies that included mastectomy. Bivariate analysis involved the calculation of double-sided p-value for each variable using the Pearson chi square test to assess the significance of this variable distribution among the three age groups. Disease Free Survival was defined as time from date of diagnosis till date of disease recurrence. Overall Survival was defined as time from date of diagnosis till date of death or last follow-up. Although most patients died of breast cancer, some patients died at home and we were unable to confirm the final cause of death and could not compute Breast Cancer Specific Survival

Table 1: Clinical and pathological characteristics in all patients.	Table I:	Clinical and	l pathological	characteristics in all	patients.
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rates. 5-year, 8-year, and 10-year overall survival curves stratified by stage were estimated using the Kaplan Meier method. The log-rank p-value was used to compare different groups. In all measurements, a p-value of less than 0.05 was considered significant.

Results

A total of 519 patients were seen and treated at the single academic practice and were analyzed. Out of those 519 patients analyzed, 124 patients (23.9%) had stages I disease, 206 patients (39.7%) had stage II disease, 158 patients (30.4%) had stage III disease, and 31 patients (6%) had stage IV disease.

Age group 1 included 36 patients \leq 35 years with a median age at diagnosis of 32 years, age group 2 included 241 patients between 35-50 years with a median age at diagnosis of 44 years, and age group 3 included 242 patients \geq 50 years with a median age of 58 years.

Family history was recorded positive in 25.0% (9/36), 23.2% (56/241), and 21.9% (53/242) of the cases in the three consecutive age groups respectively with no statistical significant differences (p = 0.889). Patients' clinical characteristics are shown in Table 1.

Total = 519 patients	Age(years)				P-value
	Group 1	Group 2	Group 3	Overall	
	(≤35 years) (35-50) ((≥50 years)	(≥50 years)		
	(n=36)	(n=241)	(n=242)	(n=519)	
Median age (range)	32	44	58	49 (21-85)	N/A
Stage					
Stage I	5(13.9%)	58(24.1%)	61(25.2%)	124/519(23.9%)	
Stage II	17(47.2%)	97(40.2%)	92(38.0%)	206(39.7%)	0.676
Stage III	13(36.1%)	73(30.3%)	72(29.8%)	158(30.4%)	
Stage IV	1(2.8%)	13(5.4%)	17(7.0%)	31(6.0%)	
Tumor size					
≤2cm	11(30.6%)	97(41.8%)	93(41.0%)	201/495(40.6%)	0.087
2-5cm	14(38.9%)	106(45.7%)	100(44.1%)	220(44.4%)	
>5cm	11(30.6%)	29(12.5%)	34(15.0%)	74(14.9%)	
LN status					
N0	11(32.4%)	96(41.7%)	97(44.1%)	204/484(42.1%)	
N1	15(44.1%)	74(32.2%)	67(30.5%)	156(32.2%)	
N2	5(14.7%)	40(17.4%)	31(14.1%)	76(15.7%)	
N3	3(8.8%)	20(8.7%)	25(11.4%)	48(9.9%)	0.631
Grade					
Grade I	2(6.7%)	31(17.4%)	20(12.3%)	53/370(14.3%)	0.06
Grade II	11(36.7%)	80(44.9%)	88(54.3%)	179(48.4%)	
Grade III	17(56.7%)	67(37.6%)	54(33.3%)	138(37.3%)	
ER					
Positive	21(58.3%)	167(70.8%)	187(80.6%)	375/504(74.4%)	0.004*
PR					
Positive	19(52.8%)	164(69.5%)	166(71.6%)	349/504(69.2%)	0.075
HER2					
Positive	9(26.5%)	58(26.0%)	47(21.3%)	114/478(23.8%)	0.469
TNBC	11(30.6%)	31(13.4%)	19(8.3%)	61/496(12.3%)	0.001*

Abbreviations: LN, lymph node; N/A, not applicable; ER, estrogen receptor; PR, progesterone receptor; HER2, human epidermal growth factor receptor; TNBC, triple negative breast cancer.

Information about tumor histological characteristics (grade, estrogen, progesterone, and HER2 receptors) is also summarized in Table 1. ER was positive in 58.3% of very young patients (\leq 35 years), whereas ER positivity was seen in 70.8% and 80.6% of patients between 35-50 years and \geq 50 years, respectively, with a significant p-value = 0.004. HER2 receptors were positive in 23.8% of all patients. Differences in PR and HER2 status between the three age groups were not statistically significant.

TNBC represented 12.3% of all patients; the percentage of TNBC was highest in the very young age group at 30.6%, whereas it was 13.4% and 8.3% in the age group 2 and 3, respectively, with a p-value of = 0.001.

All patients with non-metastatic breast cancer were managed with multidisciplinary approach as summarized in Table 2.

Neoadjuvant therapy was given to 52 patients representing 32% of LABC cases. Adjuvant hormonal therapy was given to patients with ER-positive and/or PR-positive tumors.

 Table 2: Treatment modalities in patients with non-metastatic breast cancer.

Total=488 pa-		Age(years)			P-value
tients	Group 1	Group 2	Group 3	Overall	-
	(≤35 years)	(35-50)	(≥50 years)		-
Surgery					
No surgery	0(0%)	3(1.3%)	5(2.2%)	8/488(1.6%)	0.799
BCT	19(54.3%)	107(46.9%)	98(43.6%)	224(45.9%)	
Mastectomy	16(45.7%)	118(51.8%)	122(54.2%)	256(52.5%)	
Adjuvant chem- otherapy					
Yes	32(91.4%)	192(88.1%)	168(79.6%)	392/464(84.5%)	0.027*
Adjuvant hor- monal therapy Yes	25/80.6%)	172/90.6%)	182(01.0%)	280 / 424 / 80 6 %)	0.213
ies	25(80.6%)	173(89.6%)	182(91.0%)	380/424(89.6%)	0.215
Radiation ther- apy					
Yes	28(90.3%)	191(92.7%)	158(87.3%)	377/418(90.2%)	0.201

Abbreviations: BCT, breast conserving therapy.

Breast conservation therapy (BCT) was done at an overall rate of 45.9% in the non-metastatic breast cancer population, with 54.3% in patients \leq 35 years, 46.9% in patients between 35-50 years, and 43.6% in patients \geq 50 years. 91.4% of patients \leq 35 years and 88.1% of patients 35-50 years received adjuvant chemotherapy, while 79.6% of patients \geq 50 years did so, with a statistically significant p-value = 0.027. Both hormonal and radiation therapy did not differ significantly between age groups. Hormonal therapy was given to 80.6%, 89.6% and 91.0% of the patients in the three age groups, respectively. Radiation therapy was given to 90.3%, 92.7% and 87.3% of patients in the three age groups, respectively. As for trastuzumab targeted therapy which was recommended in 2005^{17,18}, it was given to 90.7% (49 out of 54) of patients with HER2-positive tumors. Separate analysis for HER2-overexpressive or TNBC subtypes was not performed because of smaller numbers in those subgroups.

A temporal comparison of the rates of mastectomies and BCTs was performed in early breast cancer patients. During the second half of the study, which coincided with increasing awareness campaigns in Lebanon, the rate of breast conserving Therapy (BCT) has increased from 48.8% in the first half of the studied interval period (before 2002, inclusive) to 64.4% after the year 2002.

 Table 3: Rates of mastectomies and BCTs in early stage breast cancer.

Total=323 patients	Year of diagnosis			P-value
	Before 2002 (inclusive)	After 2002	Overall	_
Surgery				
BCT	63(48.8%)	125(64.4%)	188/323(58.2%)	0.005*
Mastectomy	66(51.2%)	69(35.6%)	135(41.8%)	

Abbreviations: BCT, breast conserving therapy.

Survival: 5-year, 8-year, and 10-year Overall-Survival (OS) stratified according to age and stage are shown in Figure 1(a-d) and Figure 2(a-d). Overall, patients with stage I had a survival rate of 98.9% at 5 years, 96.6% at 8 years, and 80.5% at 10 years; patients with stage II had rates of 89.2%, 87.9% and 70.7%; patients with stage III had rates of 67.6%, 43.4% and 35.5%; while those with stage IV has survival rates of 39.1%, 26.1% and 26.1% at 5, 8 and 10 years respectively.

When we looked at survival rates by age groups, Stage I patients had survival rates up to 100% at 5 years and lowest at 71% at 10 years. Stage II patients had 5-year survival rates of 70.7% for ≤35 years, 94.2% for 35-50 years, and 88.2% for ≥50 years, and 10-year survival rates between 84.8% for 35-50 years, and 71.2% for ≥50 years. Stage III patients had 5-year survival rates of 62.9% for ≤35 years, 73.4% for 35-50 years, and 62.3% for ≥50 years, which became 62.9%, 50.5% and 31.8% at 8 years respectively, and 62.9%, and 31.8% at 10 years respectively. 30.3%, Interestingly, of 13 patients between 35-50 years with stage IV disease, survival rates of 56.6% at 5 years and 37.7% at both 8 and 10 years were observed. Moreover, of 17 patients ≥50 years with stage IV disease, 28.1% survived at 5 years and continued through 10 years. The number of patients with metastatic breast cancer were too small at look at Progression Free Survival.

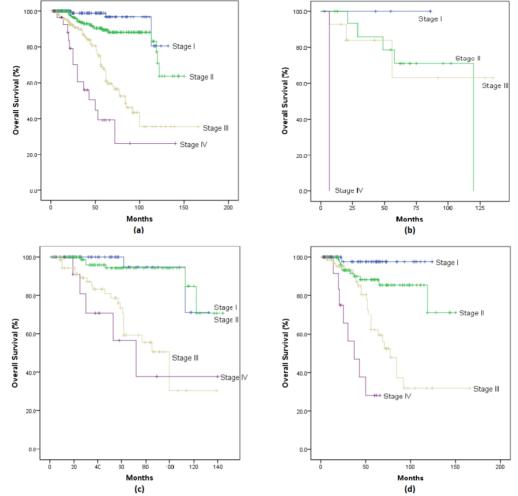


Figure 1. Overall Survival curve of breast cancer patients stratified by stage for (a) all patients; (b) patients ≤35yrs; (c) patients between 35-50yrs; (d) patients ≥50yrs.

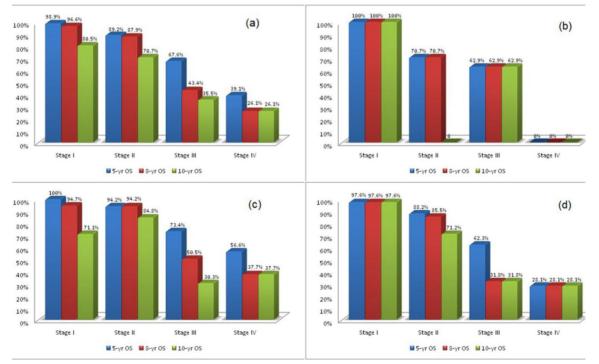


Figure 2. 5-year, 8-year, 10-year survival of patients stratified by stage for (a) all patients; (b) patients ≤35yrs; (c) patients between 35-50yrs; (d) patients ≥50yrs.

We proceeded with multivariate Cox proportional hazards analysis where we stratified patients by stage, ER status and age and looked at effects of ER-positivity and age on overall survival. Table 4 shows survival based on stage while controlling for age and ER status as predictors of survival. ER-positivity conferred better survival with a low hazard ratio (HR=0.420) for the overall patient population.

We also performed univariate analysis looking at the effects of age on survival for each stage separately. Results, presented in Table 5, show that age was a predictor of survival for stage II (p-value=0.015) where patients \leq 35 years had a worse prognosis. A Cox regression multivariate analysis was then fitted for each of stages II and III to look at the role of age while controlling for ER-positivity (that of stages I and IV could not be fitted due to low number of patients). Results, in Tables 6 and 7, show that age was a predictor of worse survival in patients ≤ 35 years in stage II patients only (HR=4.926 for patients ≤ 35 years compared to patients 35-50 years, and HR=2.19 for patients \leq 35 years compared to patients \geq 50 years). Age was not a predictor of survival in patients \leq 35 years in stage III (p-value>0.05).

 Table 4: Cox regression analysis of patients stratified by age, stage and ER status.

Parameter	Hazard Ratio	P-value	
Stage		<0.001*	
Ι		< 0.001*	
II	2.994	0.076	
III	12.674	< 0.001*	
IV	29.800	< 0.001*	
ER positivity	0.420	< 0.001*	
Age		>0.05	
≤35 yrs		0.166	
35-50 yrs	0.634	0.234	
≥50 yrs	0.955	0.904	

Table 5: Survival of patients stratified by stage and age.

		$\leq 35 \text{ yrs}$	35 - 50	$\geq 50 \text{ yrs}$	P-value
All patients	n	36	241	242	0.322
	5-yr OS	69.3%	86.7%	78.4%	
	8-yr OS	69.3%	76.1%	66.2%	
	10-yr OS	46.2%	62.0%	58.9%	
Stage I	n	5	58	61	0.859
	5-yr OS	100%	100%	97.6%	
	8-yr OS	100%	94.7%	97.6%	
	10-yr OS	100%	71.1%	97.6%	
Stage II	n	17	97	92	0.015
	5-yr OS	70.7%	94.2%	88.2%	
	8-yr OS	70.7%	94.2%	85.5%	
	10-yr OS	0%*	84.8%	71.2%	
Stage III	n	13	73	72	0.793
	5-yr OS	62.9%	73.4%	62.3%	
	8-yr OS	62.9%	50.5%	31.8%	
	10-yr OS	62.9%	30.3%	31.8%	
Stage IV	n	1	13	17	< 0.001*
	5-yr OS	0%	56.6%	28.1%	
	8-yr OS	0%	37.7%	28.1%	
	10-yr OS	0%	37.7%	28.1%	

 Table 6: Cox regression analysis of stage II patients stratified by age and ER status.

Parameter	Hazard Ratio	P-value	
ER positivity	0.501	0.121	
Age			
≤35 yrs		0.033	
35-50 yrs	0.203	0.009	
≥50 yrs	0.456	0.162	

 Table 7: Cox regression analysis of stage III patients stratified by age and ER status.

Parameter	Hazard Ratio	P-value	
ER positivity	0.312	<0.001*	
Age		>0.05	
≤35 yrs		0.249	
35-50 yrs	1.330	0.644	
≥50 yrs	2.105	0.238	

Discussion

We report the results of characteristics and outcome of patients with early and advanced stage breast cancer treated with multimodality therapy outside of clinical trials. We report improved survival rates and also a positive impact of screening campaigns on staging, surgical management and improved survival rates with the application of modern therapy from a developing country. Demographic and patient clinical characteristics show that the median age of all our patients is 49 years, which is consistent with our earlier observations.^{13,19,20} This is also in line of reports from other Middle Eastern / LMCs²¹ that show a younger median age at presentation of patients with breast cancer that differs from data of industrialized nations. This subject has been discussed extensively elsewhere.11,16,22 Twenty-two percent of our patients had a positive family history of breast cancer. Young age and positive family history emphasize the importance of performing genetic mutation studies in our population.23

We report that the majority of patients had positive receptors with 74.4% having ER positivity and 69.2% having PR positivity. HER2 receptors were overexpressed in 23.8% of all patients. As for Triple Negative Breast Cancer, an overall rate of 12.3% was observed, which is consistent with general literature estimates.²⁴ However, TNBC was noted in 30% of patients below the age of 35 years, which raises the possibility of association with BRCA1 mutations and the need for genetic study of those patients.²⁴

As for survival of breast cancer patients, we report survival rates that are comparable, and at times, even better than clinical trials and population data. In patients with stage I breast disease, the 5-year overall survival was 98.9%, which is similar to the rate of 98.4% reported in SEER.² If we look at patients with

stage II and III breast cancer, the 5-year OS is 89.2% and 67.6%, compared to 83.9% reported in SEER for breast cancer with spread to regional lymph nodes. As for metastatic breast cancer, although our numbers are small, the 5-year OS (39.1%) appears to be even higher than that reported in SEER for example (23.8%).² While confirming that ER-positivity conferred better survival for the overall patient population, multivariate Cox proportional hazards analysis, while controlling for ER-positivity, showed that young age was a predictor of survival for stage II where patients \leq 35 years had a worse prognosis.

Our results also have an additional value coming from low and middle income countries. We provide new data on characteristics and outcome of young patients with breast cancer in developing countries. Relatively favorable outcomes in Lebanon, classified as a Middle Income country by WHO, are encouraging and reflect in part advances in therapy, especially also that young age was noted to have a negative prognostic influence in an earlier study from our institution.^{25,26} We report a significant decrease in the rates of mastectomy and a corresponding rise in Breast Conserving Therapy in patients with early stage breast cancer throughout the years. In fact, analysis of our data showed that the rate of BCT increased from 48.4% between 1997-2002 to 64.4% between 2002 and 2010, with a corresponding decrease in total mastectomy rate from 51.2% to 35.6%. The year 2002 coincides with the time when widespread media breast cancer awareness campaigns were initiated in Lebanon.27,28 This trend may also reflect changing cultural mores, patients' increasing demands for breast conservation, surgical expertise and radiation therapy availability. This is an encouraging positive trend in the Middle East and LMCs where mastectomy rates were rather much higher at 65-88%. 13,29-31

Conclusion

In conclusion, multidisciplinary therapy of breast cancer patients outside of clinical trials, according to clinical trial results and consensus guidelines, shows very good survival rates at 5 and 10 years for early stage disease; however, stage III patients continues to decline from 67.6% at 5 years to 35.5% at 10 years. Survival of patients with stage IV is rather encouraging with rates of 39.1% at 5 years and 26.1% at 10 years. Those results compare favorably to those reported from clinical trials and population data and are an additional indication of the positive effects of modern therapy. We also report a direct observation of a positive trend towards more breast-conserving therapy in the Middle East and Low- and Middle Income Countries. In addition to general population statistics, locally generated data may be a useful guide for performance improvement, and even could be useful for accreditation and privileging. Centers that have outcome results below average survivals achieved in clinical trials may have to be asked to look for potential causes, and implement ways to improve their results; the studies of outcome of rectal surgery at Scandinavian hospitals and how it led to recommendations for privileging of hospitals and surgeons are an example³².

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Ethical approval

The study was approved by the Institutional Review Board (IRB) at the American University of Beirut Medical Center.

Competing interests

The authors have declared that no competing interest exists.

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