

## The Diagnostic Value Of Serum IGFBP7 In Patients With Esophageal Squamous Cell Carcinoma

Xinyi Huang<sup>1†</sup>, Chaoqun Hong<sup>2†</sup>, Yuhui Peng<sup>1,3,4†</sup>, Shihan Yang<sup>5</sup>, Lisheng Huang<sup>6</sup>, Cantong Liu<sup>1</sup>, Liuyi Chen<sup>1</sup>, Lingyu Chu<sup>2</sup>, Liyan Xu<sup>5,6,7\*</sup>, Yiwei Xu<sup>1,3,4\*</sup>

<sup>1</sup>Department of Clinical Laboratory Medicine, the Cancer Hospital of Shantou University Medical College, Shantou 515041; <sup>2</sup>Department of Oncological Laboratory Research, the Cancer Hospital of Shantou University Medical College, Shantou 515041; <sup>3</sup>The Key Laboratory of Molecular Biology for High Cancer Incidence Coastal Chaoshan Area, Shantou University Medical College, Shantou 515041; <sup>4</sup>Guangdong Esophageal Cancer Research Institute, Shantou University Medical College, Shantou 515041; <sup>5</sup>Department of Dermatology and Venereology, Shantou Central Hospital, Shantou 515041; <sup>6</sup>Department of Radiation Oncology, the Cancer Hospital of Shantou University Medical College, Shantou 515041; <sup>7</sup>Guangdong Esophageal Cancer Research Institute, Shantou University Medical College, Shantou 515041; <sup>†</sup>Institute of Oncologic Pathology, Shantou University Medical College, Shantou 515041; all in China.

\*Corresponding author:

Yiwei Xu, Department of Clinical Laboratory Medicine, the Cancer Hospital of Shantou University Medical College, Shantou 515041, PR China; Email: yiwei512@126.com; telephone number: +86-159-8970-1200. Correspondence may also be addressed to Liyan Xu, E-mail: lyxu@stu.edu.cn.

†These authors contributed equally to this work.

### Abbreviations

ESCC: esophageal squamous cell cancer; EC: esophageal cancer; IGFBP7: Insulin like growth factor binding protein 7; ELISA: enzyme-linked immunosorbent assay; ROC curve: receiver operating characteristic curve; AUC: area under the ROC curve; OC: ovarian cancer; CEA: carcinoembryonic antigen; SCCA: squamous cell carcinoma antigen; AJCC: American Joint Committee on Cancer; FPR: false positive rate; FNR: false negative rate; PPV: positive predictive value; NPV: negative predictive value; PLR: positive likelihood ratio; NLR: negative likelihood ratio; SEN: sensitivity; SPE: specificity; OD: optical density; TMB: 3,3',5,5'-tetramethyl benzidine; HRP: horseradish peroxidase.

### Abstract

Esophageal squamous cell cancer (ESCC) is one of the leading malignant cancer in the world and especially in China with high incidence and mortality. The exploration of novel serum biomarkers is required for early detection of ESCC. We investigated the diagnostic value of serum insulin like growth factor binding protein 7 (IGFBP7) in ESCC, evaluating its potential to improve the diagnosis of ESCC. The serum samples of 106 patients with ESCC and 107 normal controls were tested by enzyme-linked immunosorbent assay (ELISA). The levels of IGFBP7 in ESCC group were

significantly higher than that in normal controls, compared by the Mann–Whitney U test ( $P < 0.0001$ ). Using receiver operating characteristic (ROC) curve, the diagnostic value of serum IGFBP7 was demonstrated. Versus normal group, the area under the ROC curve (AUC) of all ESCC was 0.794 (95%CI: 0.735-0.853) and early-stage ESCC was 0.725 (95%CI: 0.633-0.817). With optimized cutoff value of 2.993 ng/mL, IGFBP7 showed certain diagnostic value with specificity of 90.7%, sensitivities of 40.6% and 32.4% in ESCC and early-stage ESCC, respectively. Considering the correlation between clinical data and IGFBP7, no significant association was found (all  $P > 0.05$ ). Thus, we supposed that serum IGFBP7 might be a potential biomarker in the diagnosis of ESCC.

**Key words:** esophageal squamous cell carcinoma, IGFBP7, serum, biomarker, diagnosis.

### Introduction

Esophageal cancer (EC) is a malignant solid tumor. It ranks seventh in incidence and sixth in mortality overall cancers according to a status report worldwide. Specifically, this cancer would be responsible for an estimated 1 in every 20 cancer deaths in 2018 [1]. As for another report from China [2], EC is the sixth most prevalent cancer and the fourth leading cancer-related deaths in China in 2014. There is a higher incidence and mortality in male than that in female, both worldwide and in China [1,2]. EC consists of two main histological types, including esophageal squamous cell carcinoma (ESCC) and adenocarcinoma. In the western world, most of esophageal cancer cases are considered as adenocarcinoma [3,4], compared to ESCC, the predominant histological type in China [5]. Moreover, the low 5-year survival rate, which is about 15%-25% worldwide [6] and 30.3% in China [7], is deemed to the result of diagnosis delay because there is no ideal detection for EC up to now [6,8]. Thus, better diagnosis is in urgent need of efficient detection to improve the early-diagnostic rate.

Serum biomarker has a conceivable prospect in application [9]. Nowadays, accurate identification of tumors depends on the examination histopathologically after biopsy mostly. In terms of digestive tract tumor, biopsy is carried out if exceptional findings are observed during endoscopy, which is widely used in screening and diagnosis [10]. Because of uneconomic and invasive property and poor tolerance [11,12], people seldom apply to be checked by endoscopy until symptoms occur, resulting in delay of diagnosis. Like the early diagnosis rate of ESCC in the present study was only 34.9% (95%CI 26.1%-44.9%), demonstrating unfavorable prognosis of cancer [8]. The emergence of serum biomarkers could be a potential solution to improve the diagnosis. As reported, Protein Z can improve the diagnosis of ovarian cancer (OC), increasing the area under the receiver operating characteristic (ROC) curve (AUC) from 77% for carbohydrate antigen 125 alone to 81% for Type I and from 76% to 82% for Type II OC [13]. The combination of four serum proteins-carcinoembryonic antigen (CEA), retinol binding protein, alpha1-antitrypsin, and squamous cell carcinoma antigen (SCCA) were found to have a diagnostic value for lung cancer with sensitivity of 89.3% and specificity of 84.7% [14]. Serum epidermal growth factor receptor has the diagnostic performance in oral cancer with AUC of 0.71 [15].

Cysteine-rich 61 could distinguish colorectal cancer from normal controls with AUC of 0.935, sensitivity of 83 % and a specificity of 97 % based on cutoff of 92.0 pg/mL [16]. Alpha-fetoprotein [17,18], CEA [19] and carbohydrate antigen 19-9 [20,21] are widely used in clinical practice to suspect the existence of hepatocellular carcinoma, colorectal cancer and pancreatic cancer, respectively, assisting and guiding the final diagnosis. Some traditional markers in clinical use, such as CEA, cytokeratin 19 fragment 21-1 and SCCA, were evaluated in the diagnosis of ESCC but exhibited a low value to detect ESCC [22-24]. Therefore, we would like to explore a more ideal marker for ESCC in present study.

Insulin-like growth factor binding protein 7 (IGFBP7) is a secreted protein, belonging to the family of insulin-like growth factor binding protein and a part of the insulin-like growth factor axis, which has great effects on the growth, differentiation and proliferation of mammalian cells [25]. The expression of IGFBP7, reportedly, is closely related to cancers such as lung cancer, prostate cancer and so on [26, 27]. In particular, the expression of IGFBP7 at tissue or cellular levels revealed relationship with esophageal cancer in previous studies [28-30]. As far as we know, the relationship between serum IGFBP7 and ESCC, especially about the diagnostic value is rarely reported. Combined with noninvasive characteristics, this study explored the diagnostic value of serum IGFBP7 in ESCC, expecting to obtain an ideal marker for detection of ESCC.

## **Method**

### **Population**

From May 2015 to February 2017, 213 serum samples, including 106 ESCC samples and 107 normal controls, were collected from the Cancer Hospital of Shantou University Medical College. The gender in the control group were well corresponded and age was relative matched with those in the ESCC group (Table1). Cases of the cancer group were all newly diagnosed patients without any tumor-related treatment before blood collection. The serum samples of normal controls were collected from the people who did the physical examination in the hospital and no evidence of cancer had been detected. The serum samples were collected by centrifugation at 2500g for 10 minutes after intravenous blood sampling and then stored at -80°C until the day before experiment. The present work was approved by the institutional review board of the Cancer Hospital of Shantou University Medical College and in accordance with the Declaration of Helsinki. With informed consents, all participants in both groups voluntarily joined this study.

The diagnosis of ESCC was confirmed histopathologically and staging is aligned with the Eighth Edition of the American Joint Committee on Cancer (AJCC) Cancer Staging Manual [31]. AJCC TNM stage 0+I+IIA was defined as early-stage while IIB+III+IV as advanced-stage as our previous study [32].

### **Enzyme-Linked Immunosorbent Assay (ELISA)**

We detected the serum concentration of IGFBP7 by ELISA. The procedure was conducted in line with user manual of the ELISA kit (Cusabio, CSB-E17249h).

Briefly, reagents, samples and standards were prepared as instructed. The concentrations of the IGFBP7 standards for creating a standard curve were 0, 156, 312, 625, 1250, 2500, 5000, 10000 pg/mL. Serum samples to be used were removed from -80°C before test. We diluted the samples with sample diluent in a ratio of 1:3 as it was the preferable ratio when we explored in the preliminary experiment. After preparation, 100µl standard and sample were added to each well and then incubated at 37°C for 2 hours. After removing the liquid but no washing, 100µl Biotin-antibody (1X) was added to each well and then incubated at 37°C for 1 hour. Then, aspiration and washing were embarked on for 3 times by microplate washer (Microplate washer 888, Thermo Fisher Scientific, Vantaa). 100µl horseradish peroxidase (HRP)-avidin (1X) was added to the washed well and the plate was put at 37°C for 1-hour incubation. Next, aspiration and washing were conducted for 5 times. 90µl 3,3',5,5'-tetramethyl benzidine (TMB) substrate and 50µl stop solution were added to each well for optical density (OD) test. Incubation of 20 minutes at 37°C was done after TMB substrate was added. The OD value was read at 450nm and 570nm wavelength within 5 minutes after adding stop solution (Multiskan ELX800, BioTek Instruments, Winooski). All serum samples were tested with 2 replicates and averaging was performed for analysis. OD values (Table S1) were converted into concentration, which were obtained by plotting a standard curve with a four-parameter logistic curve manner and multiplied by the dilution factor.

### **Statistical Analysis**

The obtained data were computed and analyzed with Microsoft Excel, SPSS (version 23.0), Sigma Plot 10.0 and GraphPad Prism 7.0 software statistically. 95% exact confidence interval (95% CI) estimation were shown for the positive rate of each group. The differences of IGFBP7 level between ESCC group and normal group, early-stage ESCC and normal group were tested by performing Mann–Whitney U test. The positive rates of serum IGFBP7 between/among the subgroups categorized by different clinical data were compared using chi-squared tests. Using ROC curve plotting, accuracy of diagnostic value was analyzed, which could be evaluated through the sensitivity, specificity and AUC. On the premise of specificity above 90%, we chose the cutoff value by maximizing the sensitivity in coordinates of the curve and minimizing the distance of the corresponding point in ROC curve (ESCC group versus normal controls) to the top-left corner. The specificity of above 90% was chose as the premise because that could produce a test used for early detection with health economically viable application<sup>[33]</sup>. When *P* value was less than 0.05 (two-sided), the test result was considered as a statistically significant difference.

### **Result**

#### **The level of serum IGFBP7 in ESCC patient and normal controls**

The mean concentration of serum IGFBP7 was  $1.932 \pm 0.079$  ng/mL,  $3.074 \pm 0.133$  ng/mL and  $2.663 \pm 0.167$  ng/mL in normal group (n=107), ESCC group (n=106) and early-stage ESCC group (n=37), respectively (Table 2). As first impression, the distribution of ESCC and normal controls is different. ESCC accounts for more histogram volume on higher concentration while normal groups for more lower concentration (Figure 1A). For better observation on distribution and degree of

dispersion, the levels of serum IGFBP7 in three groups were shown in scatter plot (Figure 1B) and box plot (Figure 1C). As shown in Figure 2AB and Table 2, the level of serum IGFBP7 in ESCC was higher than that in normal controls, which was confirmed statistically ( $P < 0.0001$ ). The difference between early-stage ESCC and normal controls is also significant. ( $P < 0.0001$ ).

### **The diagnostic value of IGFBP7 in ESCC and early-stage ESCC**

In accordance to the ROC curve derived from ESCC group versus normal group (Figure 2), the optimized cutoff value of 2.993 ng/mL was singled out with specificity of 90.7% and sensitivity of 40.6%. With the cutoff value, specificity and the sensitivity in early-stage ESCC were 90.7% and 32.4%, respectively. And the positive rate of ESCC group and early-ESCC group are relatively higher than that of the controls (Table 2). As for the overall diagnostic value, it achieved the AUC of 0.794 for ESCC and 0.725 for early-stage ESCC. For better interpretation on clinical value, we performed false positive rate, false negative rate, positive predictive value, negative predictive value, positive likelihood ratio and negative likelihood ratio, and the result were shown with 95% CI (Table 3).

### **Correlation between serum concentration of IGFBP7 and clinical data in ESCC**

The results of correlation between IGFBP7 level and clinicopathological variables in corresponding subgroups were elaborated (Table 4). There were no statistically significant associations between positive rate of serum IGFBP7 and clinical data, including age, gender, smoking status, drinking status, tumor site, tumor size, depth of tumor invasion, lymph node status, histological grade, and early-stage or advanced-stage of ESCC (all  $P > 0.05$ ).

### **Discussion**

In present study, serum IGFBP7 performed a diagnostic value in ESCC with AUC of 0.794, specificity of 90.7% and sensitivity of 40.6%. As for the early-stage ESCC, certain diagnostic value could be observed as well. Since there was no statistic difference between serum IGFBP7 and the clinical data, serum IGFBP7 might be a relative stable marker not affected by the obtained factors but just related to the existence status of the ESCC. As the age of our normal controls and ESCC cases were not well matched, further study could be conducted with corresponding age. But as the result that there is no significant relationship between IGFBP7 and age, the bias of age in two groups could be decreased. Concerning the other indices of diagnostic evaluation, including false positive rate (FPR) of 9.3% (95%CI: 4.8%-16.9%), false negative rate (FNR) of 59.4% (95%CI: 49.4%-68.7%), positive predictive value (PPV) of 81.1% (95%CI: 67.6%-90.1%), negative predictive value (NPV) of 60.6% (95%CI: 52.6%-68.2%), positive likelihood ratio (PLR) of 4.3 (95%CI: 2.3-8.2), negative likelihood ratio (NLR) of 0.65 (95%CI: 0.53-0.79), they are for better understanding of the diagnostic value of serum IGFBP7 in ESCC. In addition, as an important parameter for a test used in early detection of cancer, the positive predictive value (PPV) would be 59.1%, 81.3% and 92.9% when standardize disease prevalence of 25%, 50%, and 75% [34], demonstrating that serum IGFBP7 is a promising marker in both low-risk and high-risk area.

Similar findings were observed consistent with the result that higher IGFBP7 level is positive correlated to cancers as the present study. The serum level of IGFBP7 is significant higher in soft tissue sarcoma and much higher in the tissue of subgroup with metastasis [35]. Besides, IGFBP7 is positively related to unfavorable clinical variables in gastric cancer [36]. As for colon cancer, IGFBP7 could be a novel tumor stroma marker and promote anchorage-independent growth in malignant mesenchymal cells and in epithelial cells [37]. In contrast with IGFBP7 as an unbeneficial factor, it is widely accepted that IGFBP7 is a tumor suppressor by influencing the cell proliferation, angiogenesis and other tumor-related activities. It is downregulated in lung cancer [26], ovarian cancer [13] and gastric cancer [38]. As methylation causes gene silencing of IGFBP7, researchers tried to measure the relationship between IGFBP7 methylation and malignance of cancer [38,39], finding that the methylation gave permission to cancer cell proliferation and could develop the tumor. If there is a deletion of IGFBP7, it even promotes the hepatocellular carcinoma [40]. In vitro, IGFBP7 has positive effects on apoptosis in human teratocarcinoma cells [41]. Overall, contention on the controversial status of IGFBP7 is inconclusive. The exploration of mechanism may find the answer.

As far as we know, investigation of the detection value of serum IGFBP7 in ESCC has not been done until now. Several researches explored the relationship between IGFBP7 and esophageal cancer, but the detection type, histological type, sample and aim are varied [28-30,42]. Most IGFBP7 studies with relevance to ESCC or other cancers were prone to test samples of cancer tissue and then conducted genetic analysis. However, as a detection method, the marker should be available for clinical use with non-invasive, convenient and cost-effective characteristics, guiding confirmed examination of diagnosis. Therefore, the test of serum IGFBP7 could be a prospective way to promote the clinical use of IGFBP7, and then improve the diagnosis of ESCC. In present study, a certain value of IGFBP7 was shown, but several disadvantages did exist, such as the small sample size, single-center research and not well-matched age control group. Therefore, larger trials with well-matched age normal controls are essential for multicenter validation. Since the relationship between IGFBP7 and clinical features is different from that in published paper [36], we ought to validate this doubt on next steps. What's more, the prognostic value could be included in the further study as some researches showed that there is a probable correlation between IGFBP7 and cancer prognosis [36,42]. In addition, as a panel of serum biomarkers could enhance diagnostic efficiency [14,32,43], we could combine serum IGFBP7 with other serum markers or even other types of test to explore the improvement compared to the alone marker.

## **Conclusion**

In summary, as far as we know, we are the first to date to evaluate the relationship of serum IGFBP7 in the diagnosis of ESCC. Our study demonstrated that serum IGFBP7 is a potential biomarker in the early detection of ESCC.

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### **Competing interests**

The authors declare that they have no competing interest.

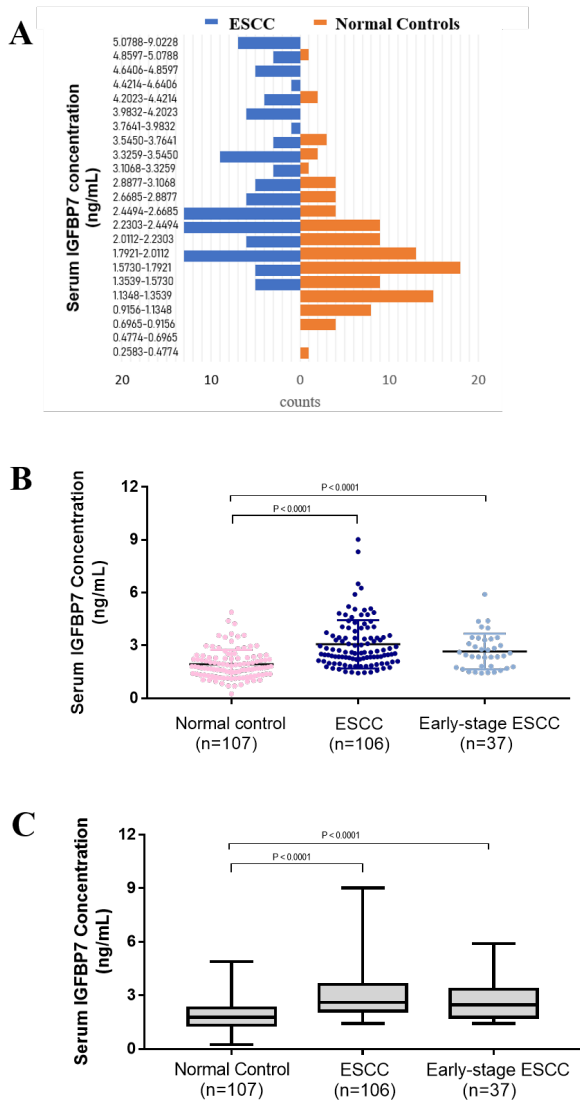
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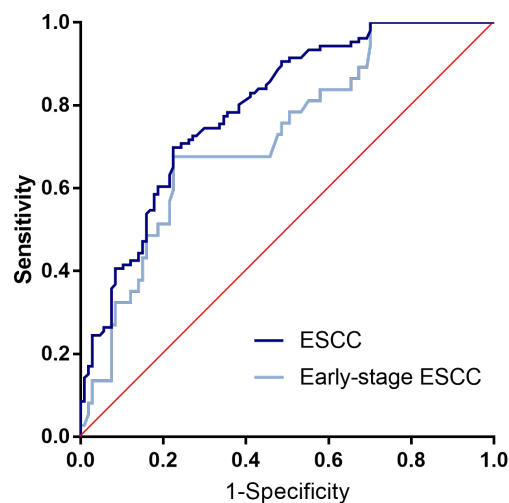
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**Figure 1** The level of serum in ESCC patient and normal controls. A. The lowest concentration was 0.2583 ng/mL in normal controls and the highest one was 9.0228 ng/mL in ESCC. The concentration was divided equally for 40 sections but the sections after 5.0788 ng/mL were merged because no sample was more than that in normal controls. The diagram of ESCC is in blue and normal control is in orange. ESCC accounts for more histogram volume on higher concentration while normal groups for more lower concentration. B. The concentration of serum IGFBP7 of every sample in three groups were shown in scatter plot and box plot ( $P < 0.0001$ ). The line in the dots is mean with SD. C. The box plot showed the degree of dispersion. The line in the box is the median. ESCC, esophageal squamous cell cancer. IGFBP7, insulin like growth factor binding protein 7.



**Figure 2** ROC curve analysis in the diagnosis of ESCC and early-stage ESCC. Two groups versus normal controls group are in

different colors. The area under the red line is 0.5, for reference. ROC curve, Receiver operating characteristic curve. ESCC, esophageal squamous cell cancer.

Table 1: Participant information and clinicopathological characteristics

Group	ESCC patients (n=106)	Normal Controls (n=107)
Age, years		
Mean ± SD	60±7	54±9
Range	42~77	40~76
Gender		
Male	75	74
Female	31	33
Smoke		
Yes	68	47
No	38	60
TNM stage		
0	3	
I	13	
II	28	
III	47	
IV	15	
Histological grade*		
High (grade 1)	31	
Middle (grade 2)	54	
Low (grade 3)	13	
Depth of tumor invasion (T staging)		
Tis	3	
T1	11	
T2	21	
T3	34	
T4	37	
Regional lymph nodes (N staging)		
N0	58	
N1	29	
N2	14	
N3	5	
Metastasis		
M0	106	
M1	0	
Tumor Size		
Mean ± SD	3.8±1.6	
Range	1~8	

Tumor Site	
Upper thorax	14
Middle thorax	70
Lower thorax	22

\*8 are histologically uncertain  
ESCC, esophageal squamous cell cancer.

Table 2: Comparison between three groups.

	N	Mean $\pm$ SD	<i>P</i> * value	Positive (%;95% CI)
ESCC	106	3.074 $\pm$ 0.133	<0.0001	43 (40.6, 31.3-50.6)
Early-stage ESCC (0+I+IIA)	37	2.663 $\pm$ 0.167	<0.0001	12 (32.4,18.6-49.9)
Normal controls	107	1.932 $\pm$ 0.079		10 (9.3, 4.8-16.9)

\*compared with normal controls.  
ESCC, esophageal squamous cell cancer.

Table 3: Evaluation of the detection value of IGFBP7 in the diagnosis of ESCC

	AUC	SEN	SPE	FPR	FNR	PPV	NPV	PLR	NLR
ESCC vs. NC	0.794 (0.735- 0.853)	40.6% (31.3%- 50.6%)	90.7% (83.1%- 95.2%)	9.3% (4.8%- 16.9%)	59.4% (49.4%- 68.7%)	81.1% (67.6%- 90.1%)	60.6% (52.6%- 68.2%)	4.3 (2.3- 8.2)	0.65 (0.53- 0.79)
Early-stage ESCC vs. NC	0.725 (0.633- 0.817)	32.4% (18.6%- 49.9%)	90.7% (83.1%- 95.2%)	9.3% (4.8%- 16.9%)	67.6% (50.1%- 81.5%)	54.5% (32.7%- 74.9%)	79.5% (71.1%- 86.1%)	3.5 (1.6- 7.4)	0.75 (0.60- 0.93)

95% CI were given in brackets for each group. ESCC: esophageal squamous cell carcinoma; NC: normal controls; AUC: area under the ROC curve; SEN: sensitivity; SPE: specificity; FPR: false positive rate; FNR: false negative rate; PPV: positive predictive value; NPV: negative predictive value; PLR: positive likelihood ratio; NLR: negative likelihood ratio. ESCC, esophageal squamous cell cancer. NC, normal controls.

Table 4: Correlation between IGFBP7 and clinical data in ESCC patients

	N	Positive (%; 95%CI)	<i>P</i>
Age			
$\geq$ 60	58	26 (44.8, 32.0-58.4)	0.326
<60	48	17 (35.4, 22.6-50.6)	
Gender			
Male	75	32 (42.7, 32.1-54.6)	0.493
Female	31	11 (35.5, 19.8-54.6)	
Smoke			
Yes	68	28 (41.2, 29.6-53.8)	0.864
No	38	15 (39.5, 24.5-56.6)	
Tumor Site			
Upper thorax	14	5 (35.7, 14.0-64.6)	0.798
Middle thorax	70	30 (42.9, 31.3-55.2)	
Low thorax	22	8 (36.4, 18.0-59.2)	
Tumor size			
$\leq$ 3.8cm	54	21 (38.9, 26.2-53.1)	0.720
>3.8cm	52	22 (42.3, 29.0-56.7)	

Depth of tumor invasion (T staging) \*

T1+T2	32	10 (31.2, 16.8-50.1)	0.147
T3+T4	71	33 (46.5, 34.7-58.6)	
Regional lymph nodes (N staging)			
N0	58	23 (39.7, 27.3-53.4)	0.834
N1+N2+N3	48	20 (41.7, 27.9-56.7)	
Histological grade**			
G1	31	13 (41.9, 27.1-60.7)	0.331
G2	54	21 (41.9, 25.1-60.7)	
G3	13	8 (61.5, 32.3-84.9)	
TNM stage			
Early-stage (0+I+IIA)	37	12 (32.4, 18.6-49.9)	0.212
Advanced stage (IIB+III+IV)	69	31 (44.9, 33.1-57.3)	

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\*3 are high grade dysplasia (HGD), marked as Tis

\*\*8 are histologically uncertain

ESCC, esophageal squamous cell cancer.