

1 **A long way to the battlefield: CAR T cell therapy against solid** 2 **cancers**

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18 19 **Abstract**

20
21 Chimeric antigen receptors (CARs) are engineered synthetic receptors that redirect and reprogram
22 T cells to tumor surface antigens for subsequent eradication. The unprecedented efficacy of
23 CD19-CAR T cells against B-cell malignancies has inspired oncologists to extend these efforts for
24 the treatment of solid tumors. However, limited success has been achieved so far, partially due to
25 some of the formidable challenges, e.g. suppression of full activation, inhibition of T cell
26 localization, lacking of ideal targets, inefficient trafficking and infiltration, immunosuppression of
27 microenvironment, and the probability of off targets and associated side effects. Significant
28 progresses have being made recently. Thus, an updated summary is urgently needed. Here in this
29 review, we discuss the advantages and some of the key hurdles encountered by CAR T cell
30 therapy in solid tumors as well as the strategies adopted to improve therapeutic outcomes of this
31 approach. Continuing efforts to increase therapeutic potential and decrease the adverse effects of
32 adaptive cell transfer are suggested as well.

33
34 **Keywords:** CAR T cell therapy, Solid cancer, Immunosuppression, Anti-tumor responses

35 36 **Introduction**

37
38 Adoptive cell transfer of genetically engineered T cells expressing synthetic chimeric antigen
39 receptor (CAR), allows for collecting, redirecting and reprogramming a patient's own T
40 lymphocytes to treat his/her cancer (Fig.1). The rapidly emerging of CAR-T cell immunotherapy
41 has shown encouraging results in treating advanced tumors by recognizing and binding to many
42 different tumor-specific and tumor -associated antigens (TAA), particularly in treating

43 hematological malignancies ^[1]. CARs allow T cells to recognize and attach to tumor surface
44 antigens, while bypassing T cell specific activation checkpoints ^[2]. The CAR-transduced T cells
45 are expanded ex vivo into hundreds of millions in a cell manufacturing facility and then
46 adoptively transferred back to patient with the hope of selectively targeting and killing the
47 antigen-expressing tumor cells ^[3] (Fig.1).

48 **The direct impact and potential mechanisms of CAR T cell therapy on cancer cells are mainly**
49 **determined by the basic CAR structure.** A typical CAR is composed of an extracellular
50 antigen-specific immunoglobulin single-chain variable fragment (scFv) fused via a
51 transmembrane domain to intracellular costimulatory signaling molecules. The binding of scFv to
52 tumor antigens will trigger T cell receptor and costimulatory signaling, resulting in activation of T
53 cells and subsequent killing of target cells. According to the intracellular signaling domains, there
54 are four generations of CARs that have been used in clinical studies. The first generation receptors
55 only use CD3 ζ chain derived from the TCR as intracellular signaling domain to stimulate T cell
56 activation. The second and third generation CARs consist of one or two co-stimulatory domains
57 respectively, combining activation and costimulatory signaling domains like 4-1BB, CD28, or
58 OX40, etc. to achieve robust expansion and persistence of CAR T cells in vivo ^[4,5]. The fourth
59 generation CAR T cells contain additional genes encoding cytokines such as IL-12 and IL-15 ^[6].

60 The essential parameters for achieving high clinical efficacy in vivo depend on stable
61 expression of the CAR, antigen specific recognition, activation, and subsequent expansion,
62 cytotoxic activation, engraftment and persistence of the effector cells ^[7]. To avoid fratricide events,
63 the targeted antigen should be expressed at high level by all cancer cells in a large number of
64 patients, and should not be expressed, nor rested or activated by some vital normal tissues ^[8]. By
65 following these criteria, around 90% complete remissions have been observed in heavily
66 pre-treated patients with relapsed or refractory B-cell malignancies ^[9], leading to the development
67 and use of two CD-19-targeted therapeutic drugs approved by the US Food and Drug
68 Administration (FDA), one is tisagenlecleucel (Kymriah) for children and adolescents, the other is
69 axicabtagene ciloleucel (Yescarta) for adults with acute lymphoblastic leukemia (ALL) ^[10].
70 Despite these unprecedented clinical impacts, more potent and antigen specific CARs are needed
71 to treat solid tumors ^[8]. This issue is due, at least in part, to the limited number of target antigens,
72 the less efficient infiltration, accumulation and survival of transduced CAR-T cells to the tumor
73 and the occurrence of immune escape ^[11].

74 Moreover, there are some unique challenges posed by solid tumors that limit full and
75 persistent function of infused CAR T cells, including the oxidative, nutritional depleted, acidic,
76 and hypoxic microenvironment, the suppressive soluble factors, cytokines, and immune cells as
77 well as T cell intrinsic negative regulatory molecules ^[8]. Some extra caution is required with
78 regard to side effects endured by patients due to cytokine release syndrome (CRS), neurotoxicity,
79 or on-target off-tumor toxicity ^[12]. Given of these multiple hurdles, a growing numbers of
80 preclinical studies and clinical trials are being initiated by targeting antigens expressed on tumor
81 surfaces, such as human epidermal growth factor receptor 2 (HER2), carcinoembryonic antigen
82 (CEA), epidermal growth factor receptor (EGFR), mesothelin (MSLN), and diganglioside GD2 ^[13].
83 Herein, we offer our prospects on some of the key immunosuppressive barriers and other negative
84 elements within solid tumors, especially focusing on innovative strategies to overcome
85 immunosuppression, reduce toxicities, and prevent antigen escape. Advances in the specific
86 antigen selection, genetic engineering, side effects prevention are poised to broaden T cell based

87 therapies and foster new applications.

88

89 **Delivery, trafficking and infiltration of CAR T cells**

90

91 Delivery of CAR T cells

92

93 Solid malignances impose considerable obstacles to effective CAR T cell immunotherapy,
94 such as insufficient delivery of T cells to the tumor sites and inefficient functional persistence.

95 One strategy to overcome this problem is direct administration of T cells to the lesions sites, thus
96 avoiding their homing from the blood stream. In contrast to systematic intravenous application,
97 local delivery of CAR T cells via intra-pleural or intra-cerebral injection exhibited enhanced
98 immune responses^[14,15] and improved safety profile with limited systemic absorption^[16].

99 Intra-tumoral administration of ErbB retargeted CAR T cells in patients with head and neck cancer
100 is safe without obvious dose limiting toxicity or systemic absorption^[17]. Intrapleurally delivery of
101 mesothelin-targeted CAR T cells bypasses trafficking barriers and redistributes to other tumor
102 sites with superior efficacy than systemically infused T cells, thus allowing robust CAR T cell
103 expansion and long-term complete remission^[14]. Similarly, multiple infusion of CAR T cells
104 directed to interleukin-13 receptor $\alpha 2$ (IL13R $\alpha 2$) in one glioblastoma patient by intraventricular
105 and intracavity administration induced a complete remission^[18].

106 In a small phase I study, one out of 6 patients with liver metastases showed stable disease
107 after percutaneous hepatic artery administration of CEA-CAR T cells^[19]. In contrast to
108 intravenous administration, intratumoral injection of AFP-CAR T cells induced a much more
109 profound, rapid, and lasting effector response, therefor might be a more attractive and safer
110 clinical path^[20]. Additionally, several clinical trials are underway for evaluating the merits of local
111 delivery of CAR T cells in solid tumors (NCT02498912, NCT02414269, NCT01818323).
112 However, further investigation are still needed to overcome the difficulties when injecting CAR T
113 cells regionally, such as technical challenges and trafficking through the blood to other tumor
114 locations^[21].

115

116 Trafficking and infiltration of CAR-T cells

117

118 After a tumor antigen specific CAR was generated and infused into a patient, the first of
119 many obstacles encountered is whether these CAR T cells can successfully target, accumulate and
120 infiltrate into the tumor to efficiently exert antitumor effects. During these processes, the CAR T
121 cells need to adhere to endothelial cells and initiate chemokine-chemokine receptor interactions to
122 facilitate their extravasation into antigen-rich regions^[22]. However, chemokines produced by most
123 solid tumors do not favor T cell infiltration. The mismatch occurs quite frequently, with tumors
124 secreting little chemokine or the CAR T cell has very small amount of chemokine receptors,
125 resulting in little migration to the tumor site^[23]. Therefore, better solutions are needed. One way
126 to solve this problem is to profile the chemokine signature of a tumor or genetically engineer the
127 better-matched chemokine receptor of the CAR T cell. Indeed, CAR T cells genetically modified
128 to co-express CXCR2/CCR2b (receptor for CXCL1) showed enhanced targeting towards
129 mesothelioma tumor cells expressing CXCL1^[24,25]. Similarly, increasing the expression level of
130 CXCR3 by genetic inhibition of protein kinase A activity in CAR T cells improved trafficking and

131 tumor control ^[26]. This effect has also been observed in CD30-CAR T cells bearing CCR4
132 (receptor for CCL17) to target Hodgkin's lymphoma ^[27]. Some other initiatives has also been
133 taken to enhance CAR T cell targeting and trafficking by using oncolytic viruses armed with
134 chemotactic chemokines. These viruses have the ability to specifically infect and lyse tumor cells.
135 For examples, the use of oncolytic adenoviral vector co-expressing CCL5 and GD2-CAR T cells
136 to target neuroblastoma has shown improved CAR T cell infiltration and better tumor control ^[28].
137 As an alternative strategy, the tumor chemokine secretion can be modulated by directly injection
138 of oncolytic adenoviruses expressing RANTES and IL-15 into neuroblastoma, with enhanced
139 CAR T cell infiltration and more efficient tumor control ^[28].

140 Targeting and disrupting the tumor vasculature provide an alternative solution to not only
141 restrict blood flow and nutrient supply to the tumor, but also enhancing T cell infiltration.
142 Therefore, CAR T cells engineered to express degrading enzymes such as heparanase, which
143 degrades heparan sulfate proteoglycans, the main components of extra cellular matrix, improved
144 tumor T cell penetration capacity and anti-tumor effects ^[29]. CAR T cells targeting VEGFR2,
145 which was expressed on angiogenic endothelial cells and myeloid suppressor cells, resulted in
146 increased tumor infiltration and anti-tumor effects ^[30]. Likewise, CAR T cells incorporating
147 ligands for $\alpha\beta3$, an integrin expressed on tumor vascular endothelium, improved migration ^[31].
148 Additionally, blocking the endothelin B receptor has been shown to enhance T cell infiltration into
149 tumor lesions ^[32]. To circumvent the physical barriers that potentially impede CAR T cell
150 infiltration, localized delivery of CAR T cells to the tumor surface has also been described ^[33].

151

152 **Activation, proliferation and survival of CAR T cells**

153

154 Activation of CAR-T cells

155

156 CAR T cell activity could be either regulated by tumor antigens or some intrinsic factors
157 within T cells. The physiological activation of CAR T cells requires the density of tumor
158 associated antigen to be above a certain threshold. Generally, a relative higher density is necessary
159 to induce cytokine production and cell proliferation ^[34]. CAR T cell activation is also affected by
160 the expression of CARs on the cell surface ^[35]. Lower CAR expression leads to subactivation of
161 the CAR T cells, whereas overexpression can induce tumor antigen independent activation ^[36].

162 Inhibitory receptors including programmed death-1 (PD-1) and cytotoxic T
163 lymphocyte-associated antigen 4 (CTLA-4) play a vital role in attenuating or terminating T cell
164 responses. Some of them can be upregulated during T cell activation to inhibit immune responses
165 ^[37]. Ligation of PD-1 or CTLA-4 during T cell priming blocks activation, cytokine production, and
166 proliferation ^[38], whereas blockade of them restores T cell function ^[39]. Although IL-2 can support
167 CAR T cells in vivo, it may also activate and induce proliferation of regulatory T cells (Tregs) ^[40].
168 Thus, the use of alternative cytokines instead of IL-2, such as IL-7 and IL-21, was explored, and
169 enhanced CAR activity has been observed ^[41].

170

171 Proliferation of CAR-T cells

172

173 The varied in vivo expansion and persistence of CAR T cells is mainly attributed to the
174 varied CAR transgene structure, co-stimulatory factors, gene integration methods, starting T cell

175 population, culture techniques and final product composition. CAR T cells used in the initial
176 studies contain only CD3 ζ signal, thus may result in insufficient in vivo expansion. Therefore,
177 costimulatory signals incorporating CD28, 4-1BB, and/or OX40 in series with CD3 ζ are
178 developed to produce the second- (one stimulatory domain) and third- (two stimulatory domains)
179 generation CARs^[42]. These genetically enhanced CARs significantly improved the clinical results,
180 yielding robust in vivo cell expansion and near uniform efficacy^[43].

181 For in vitro CAR T cell stimulation and expansion, anti-CD3/CD28 dynabeads and
182 plate-bounded anti-CD3 antibody are the most commonly used methods^[44]. Furthermore, addition
183 of homeostatic cytokines to T cell cultures can rescue the poor in vitro growth and inadequate
184 expanding of T cells^[45]. Incorporation of homeostatic cytokines including interleukin 7 (IL-7) and
185 IL-15 have achieved a very high rate of manufacturing success in clinical trial^[46]. For in vivo
186 expansion and accumulation, CAR T cells have been created to constitutively secrete IL-12, a
187 pro-inflammatory cytokine better known for increasing the proliferation of T cells^[47].
188 Intraperitoneally injection of mice bearing MUC16 (CA125) positive ovarian cancer with MUC16
189 targeted CAR expressing IL-12 has shown increased antitumor response with a higher rate of
190 CAR T cell accumulation in peritoneum and enhanced recruitment of endogenous T cells^[47].

191 To achieve efficient transduction and expansion of patient-derived T cells, a chimeric
192 cytokine receptor named 4 $\alpha\beta$ has been co-expressed with CAR T cells and tested in preclinical
193 studies. This receptor incorporating the IL-4 receptor- α ectodomain and the shared β chain by
194 IL-1/IL-15 delivers a potent mitogenic signal into the cell, promoting the selective enrichment and
195 expansion of CAR T cells^[48]. After IL-4 mediated cell expansion, the engineered CAR T cells
196 produce a broader range of cytokines and show an increased anti-tumor activity against multiple
197 cancers including head and neck squamous cell carcinoma (HNSCC), breast cancer and ovarian
198 cancer^[49].

199

200 Survival of CAR-T cells

201

202 Strategies to improve CAR T cell immunotherapy have mainly focused on sustained clinical
203 remission in patients^[50]. To have durable in vivo activity, significant in vivo expansion and long
204 term persistence of CAR T cells are required. Factors including the CAR design, starting T cell
205 population, ex vivo culture, T cell exhaustion, or host immunogenicity can influence the
206 persistence of CAR T cells^[51,52]. By initiating T cell cultures from prescribed subsets of T cells,
207 such as central memory CD8⁺ T cells, but not commonly used peripheral blood mono-nuclear cells
208 may facilitate the engraftment fitness and long term in vivo viability of the product^[53,54].

209 It has been demonstrated that CD28 co-stimulation promotes T cell proliferation and
210 persistence, glucose metabolism, and a potent effector response, while 4-1BB based CAR appears
211 to stimulate lipid oxidation and enhance long term T cell persistence^[55]. Incorporation of the
212 ICOS and 4-1BB intracellular domains into a third-generation CAR augmented the effector
213 function and in vivo persistence, with ICOS placed proximal to the cell membrane and linked to
214 the ICOS transmembrane domain as the prerequisites^[56,57]. It has also been shown that the
215 incorporation of cytokine-encoding genes such as IL-7 into the constructs of CAR transgene
216 enhances the proliferation and survival of T cells^[41,58].

217 The murine-based scFv domain may elicit host immune-mediated rejection of CAR,
218 shortening persistence of CAR T cells in vivo. Thus, the humanized or fully human CAR

219 constructs are preferred in recent studies. Additionally, site specific integration of CAR within the
220 T cell genome may enhance therapeutic efficacy, as shown in preclinical study that insertion of
221 CAR into TCR locus sustained CAR transgene expression and successfully inhibited T cell
222 exhaustion ^[35]. Other potential strategies for enhancing therapeutic efficacy including CAR
223 modified T memory stem cells exhibiting improved engraftment fitness and long-lasting immunity
224 ^[59], and Epstein Barr Virus (EBV) transformed lymphoblastoid cells enable post-CAR T cell
225 vaccination, with enhanced persistence ^[44]. Another ongoing project in order to enhance CAR T
226 cell persistence is using autologous T cells expressing a truncated form of CD19 protein to
227 continuously stimulate CD19 CAR T cells in vivo ^[60].

228

229 **The interactions of CAR T cells with other cells and molecules in the TME**

230

231 Unlike the hematologic malignancies with circulating target cells, CAR T cells in solid tumor
232 must successfully traffic to tumor sites and infiltrate the stromal elements in spite of
233 chemokine/chemokine receptor mismatches, antigen loss or heterogeneity. Even after effective
234 migration and infiltration, CAR T cells must surmount many more challenges characterized by
235 physical and chemical barriers in the TME: high tissue pressure, extracellular matrix and abnormal
236 vasculature, immunosuppressive molecules and immune regulators, as well as T cell intrinsic
237 inhibitory mechanisms and potential immunogenicity compromise CAR T cell anti-tumor
238 functions ^[61] (Fig.2).

239

240 **The interactions of CAR T cells with inhibitors and antibody**

241

242 Notably, the inhibitory effect is tightly associated with the tumor microenvironment, as
243 removal of it restores CAR T cell effectiveness. Thus, appropriate manipulation of the TME offers
244 new opportunities for improving clinical therapeutic outcomes ^[13]. Pre-conditioning chemotherapy
245 by using cyclophosphamide alone or coupled with fludarabine facilitates infused T cell
246 engraftment and decreases suppressive immune cells in TME. Some small molecules including
247 IDO inhibitors, lenalidomide, adenosine antagonists and ibrutinib were also used to interfere with
248 immunosuppressive cells and pathways ^[62]. Multiple approaches by using blocking antibodies,
249 dominant-negative receptors and targeted gene disruption have been developed to modulate
250 checkpoint blockade, which may facilitate sustainable function and persistence of engineered T
251 cells ^[63].

252

253 In fact, upon tumor-antigen encounter, multiple inhibitory immune receptors including
254 CTLA-4, and/or PD-1 are upregulated on T cells ^[64], therefore allowing tumor progression. The
255 use of PD-1 blockade by continuously secreting anti-PD-1 antibody ^[65] or directly application of
256 monoclonal antibodies ^[66] attenuated the inhibitory signaling and enhanced anti-tumor response of
257 CAR T cells in xenograft mouse model or in patients with melanoma and renal cancer ^[67].
258 Alternatively, using a multiplex genome editing tools (CRISPR/Cas9), universal CAR T cells
259 resistant to PD-1 inhibition has been generated, resulting in enhanced in vivo antitumor response
260 ^[68].

260

261 **The interactions of CAR T cells with cytokines**

262

263 Another obstacle to overcome in the inhibitory ascitic TME is the presence of
264 immunosuppressive cytokines, which may inactivate adoptively transferred CAR T cell antitumor
265 activity. One of the possible solutions is the development of armored CARs capable of constitutive
266 secretion of activating cytokine like IL-12, which appears to be more effective in preclinical
267 studies ^[69]. Specifically, constitutive IL-12 signaling repolarizes the TME, enhances T cell
268 cytotoxicity, and promotes resistance against Treg immunosuppression ^[70]. Secretion of IL-12 by
269 engineered CAR T cells limited tumor antigen escape events by destruction of antigen negative
270 cancer cells ^[71]. Moreover, production of other activating cytokines such as IL-2 and IL-15 has
271 been shown to improve CAR T cell functionality as well ^[72]. MUC1-CAR T cells incorporating
272 chimeric IL-4 receptors mimicked IL-2 signaling and resulted in enhanced CAR efficacy ^[48].
273 TGF β is another important inhibitory cytokine. In addition to promote tumor metastasis formation,
274 it has direct negative effect on T cell effector functions ^[73]. To counteract TGF β effects, a
275 dominant negative TGF β receptor has been developed and consequently displayed resistance to
276 TGF β suppression and augmented efficacy in animal models ^[74].

277

278 The interactions of CAR T cells with immune cells

279

280 The solid tumor microenvironment is comprised of multiple immune suppressor cells
281 including M2 tumor associated macrophages (M2-TAM), myeloid-derived suppressor cells
282 (MDSCs), Tregs and B cells (Bregs), which likely blunt the efficacy of CAR T cells ^[75]. Among
283 them, M2-TAM and MDSC are well-known producers of TGF β , PGE₂, reactive oxygen/nitrogen
284 species ^[76], and MDSC may also recruit Tregs. It has been demonstrated that infusion of
285 CEA-CAR T cells in combination with MDSC depletion enhanced anti-tumor efficacy ^[77].

286

287 Overcoming the TME immunosuppression by novel CAR designs

288

289 An alternative approach to overcome immunosuppression is to generate novel CARs
290 incorporating dominant negative receptors (DNRs) that can override the inhibitory signals in the
291 TME. DNRs often maintain the extracellular domain of a membrane receptor but have the mutant
292 or nullified intracellular chain, thus competing with the endogenous receptor and disrupting the
293 downstream signaling ^[78]. The use of DNR form of TGF β and PD-1 have conferred the transduced
294 EBV cells and CAR T cells with resistance to immune suppression, respectively ^[79,80].

295 CAR containing switchable receptors is another approach to circumvent immunosuppression.
296 The switchable receptor has the extracellular region of an antibody specific for an
297 immunosuppressive molecule, and an intracellular activation domain, with the aim of redirecting
298 the inhibitory effects to an activating one ^[81], as shown by CAR T cells bearing PD-1-CD28
299 switch receptor that exhibiting enhanced infiltration and anti-tumor activity ^[81]. It has also been
300 demonstrated that inhibition of Protein Kinase A with Ezrin using a regulatory subunit 1 anchoring
301 disruptor (RIAD-CAR) resulted in enhanced resistance to immunosuppressive adenosine in the
302 TME and anti-tumor response ^[26].

303 These strategies abate some of the resistant effects, but are not sufficient to remove all ^[82].
304 Additional steps including therapeutic combinations and design of more potent CARs are needed
305 to confer resistance towards inhibition. Previous studies have shown that serial infusion of armed
306 T cells or incorporation of cytokine receptors can alleviate immune suppression and enhance CAR

307 T cell functionality ^[83,84]. Additionally, reducing the expression of diacylglycerol kinase alleviated
308 intracellular negative feedback signaling and significantly increased efficacy ^[85]. FAP-CARs
309 targeting tumor fibroblasts ^[86] and CAR T cells secreting matrix degrading enzymes ^[29] augmented
310 antitumor function in animal models.

311

312 **The direct impact and potential mechanisms of CAR T cell therapy on normal cells**

313

314 One major challenge hindering the success of CAR T cell therapy against solid tumor is the
315 relative low expression of target antigen on variety of potentially important normal tissues, leading
316 to on-target, off-tumor toxicity. Even traces or transient expression of a target antigen in vital
317 normal tissues may lead to undesired adverse events, as seen with EGFR, MART-1, MAGE-A3,
318 CEA, or ERBB2 targeted T cell therapies ^[87,88].

319 Feasible strategies have been developed to enable CAR T cells to discriminate tumors
320 overexpressing the target from normal tissues expressing the same antigen at relative low
321 physiologic levels by tuning scFv affinity ^[89]. Decreasing the scFv affinity but maintaining robust
322 antitumor efficacy of the EGFR-targeted CAR T cells can eliminate or strongly inhibit the
323 reactivity against normal tissues ^[90].

324 Alternative strategies focusing on novel CAR design are necessary to avoid reactivity against
325 normal tissues. Some CARs are designed to use suicide genes, such as EGFR mutation ^[91],
326 inducible caspase 9 (iCas9) gene ^[92], and the herpes simplex virus thymidine kinase (HSV-TK)
327 gene ^[93], which can be activated and mediate rapid T cell elimination after treatment of a prodrug
328 or antibody in case of unwanted adverse events. Some other CARs are designed based on the
329 concept of combinatorial antigen recognition ^[94], inhibitory receptors ^[95], split-signalling receptors
330 ^[96], and sequentially acting receptors ^[97].

331

332 **Exhaustion and senescence of T cells**

333

334 Exhaustion and senescence of T cells are similar but not entirely the same ^[98]. Exhaustion is
335 progressively loss of activated T cell function due to persistent antigen stimulation, whereas
336 senescence is cell cycle arrest caused by aging ^[99]. In comparison to exhaustion, senescent T cells
337 express different sets of markers and secrete high levels of pro-inflammatory cytokines ^[100].

338

339 Exhaustion

340

341 T cells exposed to persistent antigen are often associated with the deterioration of T cell
342 function that inefficient to control tumors, known as 'exhaustion' ^[101]. Exhausted T cells lose
343 cytotoxicity, reduce T cell proliferation and stimulatory cytokine production, alter chromatin
344 structure and expression of key transcription factors ^[39,102]. The endogenous TCR can also impose
345 negative effects on the persistence of CAR T cells when CAR with distinct TCR specificity is
346 introduced into T cells ^[103]. Incorporation of CAR into the endogenous TCR α gene locus
347 prevented exhaustion and improved the functionality of CAR T cells ^[35].

348 It has been demonstrated that the inhibitory receptor, PD-1- mediated CAR T cell exhaustion
349 can be reversed by application of PD-1 antibody or co-transduction of PD-1 dominant negative
350 receptor ^[79]. In addition to inhibitory receptors, co-stimulatory receptors, such as 4-1BB ζ and

351 CD28 ζ are involved in T cell exhaustion as well ^[104]. Further studies indicated that antigen
352 dependent CD28 ζ based CAR T cells improve proliferation and persistence, whereas 4-1BB ζ
353 based CAR T cells induce early exhaustion, thereby limiting the effector functions ^[105]. However,
354 early exhaustion caused by the antigen independent clustering of CAR scFv triggered persistent
355 tonic signaling is augmented by CD28 co-stimulation, but reduced by 4-1BB ^[37], highlighting the
356 importance of optimized CAR design.

357

358 Senescence

359

360 T cell senescence is different from T cell exhaustion. It has been recognized as a key player
361 of immunosuppression in cancer patients and the aging population ^[106]. Although telomere length
362 shortens with cell replication due to progressively lose telomerase activity in normal adult somatic
363 cells, it can be maintained in human T lymphocytes because of reactivation of telomerase activity
364 when encountered with persistent antigen stimulation ^[107]. However, with the differentiation of T
365 cells, telomerase activity is reduced, resulting in telomere erosion and replicative senescence ^[108].
366 Thus, preventing or reversing replicative and early T cell senescence is required to increase
367 lifespan and clinical outcome of cancer patients.

368 Importantly, senescent effector T cells induced by both naturally occurring Treg cell initiated
369 DNA damage ^[109] and tumor-derived $\gamma\delta$ Treg cells ^[110] have potent suppressive activities, which is
370 cooperatively regulated by ERK1/2, P38 signaling and STAT1, STAT3 signaling ^[109]. Hopefully,
371 the adverse effect of T cell senescence can be reversed via inhibition of DNA damage response
372 and/or STATA signaling.

373

374 Markers for exhaustion and senescence

375

376 Exhausted T cells lose the capacity to produce IL-2, tumor necrosis factor (TNF) and
377 interferon- γ , lose cytotoxicity and stop proliferation ^[39]. The increased expression of inhibitory
378 receptors, including PD-1, CTLA-4, CD160, lymphocyte activation gene 3 (LAG-3), T cell
379 immunoglobulin mucin 3 (TIM-3) has been identified as exhaustion associated markers ^[98].
380 Therefore, blocking the interaction of these and other T cell negative check-point receptor
381 pathways using targeted reagents may serve as potential strategies for the reversal of T cell
382 exhaustion.

383 T cells in replicative senescence have phenotypic changes, including down-regulation of
384 co-stimulatory molecules such as CD27 and CD28 while expressing killer cell lectin-like receptor
385 subfamily G (KLRG-1), CD57, the T cell immunoreceptor with Ig and tyrosine-based inhibitory
386 motif (ITIM) domains (TIGIT), senescence-associated- β -galactosidase (SA- β -Gal), cell
387 cycle-regulating proteins such as P15, P16 and P21 ^[110-112]. Molecules regulating the G1/S phase
388 transition, including p16/Cdk6 and p21/WAF binds are upregulated, while Cdk2 and cyclinD3
389 are down-regulated, forcing T cells enter into a replicative senescence ^[112].

390

391 **Targets and associated heterogeneity**

392

393 The first step for CAR T cell therapy is targeting an ideal TAA, which should be highly
394 expressed on the surface of tumor mass but not or lowly expressed on normal tissues.

395 Unfortunately, unlike the consistent expression of CD19 antigen on leukemia tumors,
396 identification of specific antigens on solid tumor is rare. A growing number of tumor antigens are
397 being evaluated for CAR T cell therapy (Table1), including diganglioside GD2 on neuroblastoma
398 ^[113] and HER2 on sarcoma tumor cells ^[114].

399

400 Targets for non-small cell lung cancer and pancreatic cancer

401

402 In order to treat non-small cell lung cancer (NSCLC) by using CAR T cell therapy, several
403 tumor antigens including MSLN, HER2, EGFR, MUC1, and CEA are tested ^[115] (Table 1). For
404 example, EGFR-targeted CAR T cells for NSCLC in a Phase I clinical trial have been evaluated,
405 with 2 out of 11 partial response and 5 stable disease for a period of 2-8 months ^[116]. For
406 pancreatic cancer, CAR T cells targeting MSLN, prostate stem cell antigen (PSCA), MUC1,
407 HER2 and EGFR are under evaluation in clinical trials ^[117]. In a Phase I study, HER2-positive
408 biliary tract cancers and pancreatic patients are infiltrated with HER2-targeted CAR T cells, with 1
409 out of 11 patients obtained a partial response and 5 have stable disease ^[118].

410

411 Targets for ovarian cancer

412

413 In ovarian carcinoma, tumor antigens including MUC16 ^[119], prostate-specific membrane
414 antigen (PSMA) ^[120] and 5T4 ^[121] have been tested for CAR T cell therapy. Aside from using scFv
415 for CAR construction, different receptors, such as NKG2D, whose ligands NKG2DL are highly
416 expressed in ovarian cancer but absent in normal tissues, have been incorporated into CARs.
417 Preclinical results showed that NKG2D-targeted CAR T cells are efficient in recognizing and
418 eradicating NKG2DL-expressing ovarian cancer cells ^[122].

419

420 Targets for Glioblastoma

421

422 Glioblastoma multiforme (GBM) is the most common and aggressive primary malignant
423 brain cancer with grave prognosis ^[123]. Dysregulation of the transmembrane tyrosine kinase
424 receptor EGFR can lead to various malignancies including GBM ^[124]. The deletion of 267 amino
425 acids in extracellular domain of EGFR results in EGFRvIII variant, which is commonly and
426 specifically expressed on GBM cancer cells ^[124]. CAR T cells targeting EGFRvIII can effectively
427 cross the blood-brain barrier ^[125] and amount potent antitumor effects against EGFRvIII
428 expressing glioblastoma stem cell lines without having any side effect on co-cultured normal cells
429 ^[126]. A Phase I clinical trial using EGFRvIII-CAR T cell therapy to treat GBM patients
430 demonstrated expansion of CAR T cells in peripheral blood and decrease in tumor burden ^[127].
431 Another attractive target for CAR T cell therapy in GBM patients is HER2, which is a
432 transmembrane glycoprotein and belongs to EGFR family ^[128]. In GBM animal models,
433 HER2-CAR T cells exhibited enhanced antitumor efficacy ^[129]. In a clinical study, 17 patients with
434 HER2-positive GBM were infused with HER2-CAR T cells, out of which, one patient have partial
435 response and 7 achieved stable disease ^[130].

436 Interleukin-13 receptor chain 2 (IL13R α 2), which is commonly overexpressed on GBM but
437 absent on normal brain cells, is another important target for CAR T cell therapy in treating GBM
438 patients ^[131]. Initial promising results have been obtained in a Phase I clinical trial for evaluation

439 of CAR T cells targeting IL13R α in recurrent GBM patients by intracranial delivery, with 2 out of
440 3 patients have complete remission ^[18]. Later on, the same group adopted another delivery strategy,
441 intraventricular infusions of multiple times of genetically engineered T cells directed to the
442 IL13R α 2, achieved complete regression in one metastatic GBM patient ^[18]. CAR T cells directed
443 to alternative antigens against GBM including ephrin type A receptor 2 (EphA2), which is also
444 highly expressed in GBM but not in normal brain tissue ^[132].

445

446 Heterogeneity

447

448 Antigen for solid tumor exhibit tissue and genetic heterogeneity with regards to varying
449 levels of expression intensity and distribution of antigen positive cells, posing a major challenge
450 for CAR T cell therapy ^[133]. It has published that, MSLN is overexpressed in NSCLC in
451 comparison to normal tissue, but exhibits varying levels among tumor cells ^[134]. The intratumoral
452 heterogeneity of other antigen targets, including HER2, MUC1, prostate stem cell antigen (PSCA)
453 and epithelial cell adhesion molecule (EpCAM), has also been reported ^[135]. Tumor cells
454 expressing high levels of specific antigen were preferentially eliminated, whereas those expressing
455 low levels of antigen survived ^[136]. However, evidences begin to reveal that the immune-mediated
456 anti-tumor effects and the ensuing inflammation induced by CAR T cell responses may initiate
457 new immune responses and subsequent killing of any cancer cells ^[137]. Novel CAR designs, such
458 as dual TAA-targeted CARs, tandem CARs, switchable CARs, inhibitory CARs, and the rational
459 design of ‘AND’, ‘OR’, and ‘NOT’ gated approaches are being investigated to mitigate tumor
460 antigen heterogeneity ^[138,139].

461

462 **Minimizing variability between patient products**

463

464 The interpatient variability of starting immune cell populations might result in unsuccessful
465 CAR T cell production in vitro and unpredictable T cell expansion and tumor killing activity in
466 vivo ^[140]. Strategies including control of CD4/CD8 ratio and CAR expression, generation of
467 universal CAR T cells have been used to minimize variability among patient products. Enhanced
468 efficacy has been demonstrated by culturing CD4 and CD8 cells separately and combining them in
469 a defined ratio at the time of infusion ^[54]. Furthermore, uniform levels of CAR expression has
470 been achieved by using in-process selection of the transduced cells ^[46].

471 The use of autologous T cells for CAR-based therapies requires individualized cell
472 production, which makes interpatient variability very problematic with the risk of host immune
473 rejection or GVHD. To circumvent these obstacles, genome-editing tools are harnessed for
474 eliminating the endogenous HLA and/or TCRs on the surface of CAR T cells ^[68] or NK cells ^[7].
475 Combining CAR expression with deletion of TCR α and CD52 in a clinical setting ^[141] or down
476 regulate expression of CD3/TCR $\alpha\beta$ in preclinical studies ^[142] appears feasible and effective in
477 CAR T cell production.

478 Most of the current CARs adopt a rigid design composing a fixed scFv and intracellular
479 signaling domains, greatly limiting the controllability of CAR T cells ^[143]. To afford greater
480 flexibility in antigen recognition, split CAR using a universal receptor as the signaling motif
481 connected with a dissociable antigen recognition motif has been developed, allowing a great many
482 antigens to be targeted without re-engineering the T cells ^[144,145]. In order to further expand the

483 CAR T cell capability, a split, universal, and programmable (SUPRA) CAR system was developed
484 ^[146]. It is a single, feature-rich and integrated system incorporating multiple “upgrades”, such as
485 the ability to switch targets without re-engineering the immune cells via a split CAR configuration,
486 fine-tune T cell activation strength via multiple mechanisms, sense and logically respond to
487 multiple antigens for enhancing specificity.

488

489 **Summary and future perspectives**

490

491 The great potential of CAR T cell therapy has been validated in relapsed and refractory B-All
492 malignancies, yet the clinical responses currently in solid tumors have been sporadic ^[13]. Here, we
493 updated the major challenges and difficulties encountered by CAR T cells targeting against solid
494 tumors, and discussed strategies to overcome these obstacles as well. Solid tumors constitute a
495 challenge due to multiple reasons: lack of antigen specificity, poor trafficking and expansion,
496 hostile immunosuppressive microenvironment ^[61], tumor escape and relapse ^[147], toxicity of CARs,
497 as well as time-consuming and expensive manufacturing of CAR T cells. Given the limitations of
498 current CAR T cell therapy, sophisticated strategies are under investigation to overcome these
499 challenges, such as targeting multiple tumor antigens to lessen antigen escape, harnessing
500 immuncheckpoint inhibitors to increase effector response, and regional infusion of CAR T cells
501 for direct effect. In particular, innovative CAR design and logic-gated approaches allow for
502 exploiting the full therapeutic potential of CAR T cells and enabling treatment of a broader range
503 of cancer patients in the near future. Apart from autologous T cell as the major source used at
504 present, allogeneic cells including stem cell-derived, ‘off the shelf’ T cells may play an important
505 role in the future.

506 Compared with conventional CAR T cells, CRISPR/Cas9- edited CAR T cells showed an
507 enhanced potency, delayed differentiation and exhaustion, highlighting genome-editing
508 technology as a promising approach to make additional changes and improvements to the infused
509 CAR T cells. In addition to genome-editing technology, other therapeutic measures including
510 chemotherapies, radiation, immune checkpoint blockade antibodies and cytokine treatment might
511 be combined with CAR T cell therapy to broaden the application and enhance the efficacy for
512 individual cancer elimination. Despite progress in managing severe cytokine release and
513 neurotoxicity, the mechanisms behind are poorly understood, further improvement of the CAR T
514 cell therapy for treating solid cancers is therefore deserves more attention.

515

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517

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525

526 **Competing interest**

527

528 The authors declare that no competing interest exists.

529

530

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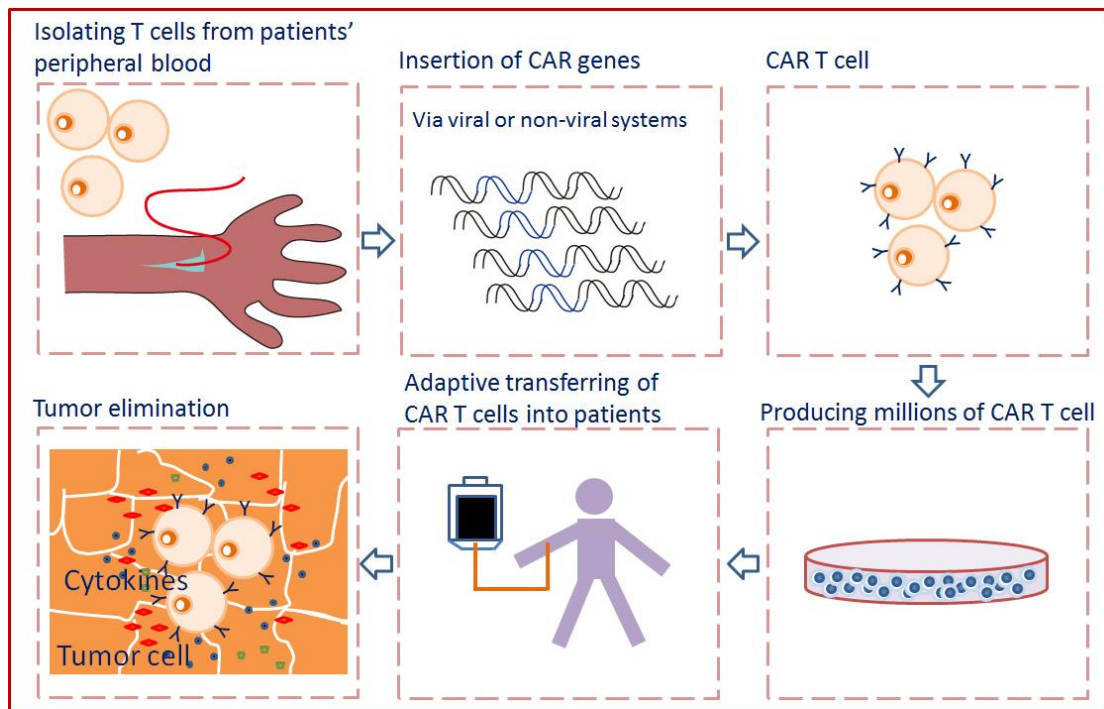
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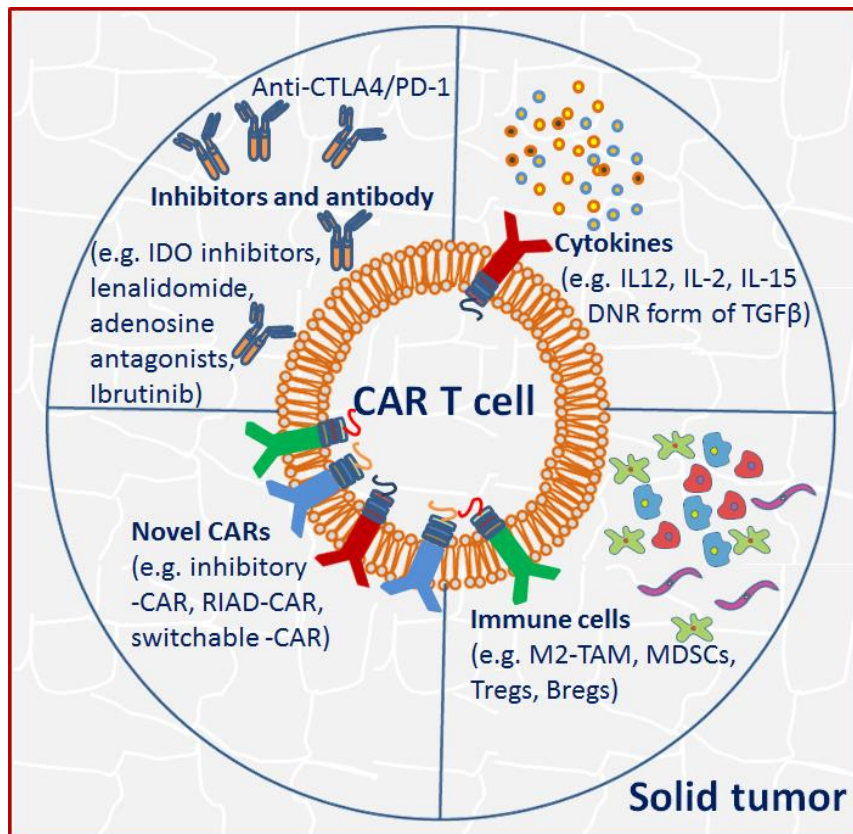
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Figures and legends



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Figure 1: Flowcharts of CAR T cell therapy. T cells are collected from peripheral blood of patients and then selected and activated. The CAR genes were transferred into T cells via viral or non-viral systems and expressed. The CAR T cells are expanded in vitro into hundreds of millions in a cell manufacturing facility and then adoptively transferred back to patient. When CAR recognizes the antigen on tumor cells, the intracellular signaling domains within the CAR produce a series of cytokines, resulting in the activation of CAR T cells.



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954 Figure 2: Tumor microenvironment (TME) in solid tumor imposes immunosuppression to CAR
 955 T cells. Strategies including using immune checkpoint blockade inhibitors or antibodies,
 956 activating cytokines and novel CARs were adopted to circumvent the TME suppression effects.

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Table 1: Surface molecules as potential targets for CAR T cell therapies

Malignancy	Target Antigen	Clinical evaluation	References
NSCLC	HER2/EGFR/MUC1 /CEA	EGFR	[115,116]
Pancreatic cancer	PSCA/MUC1/HER2 /EGFR	HER2	[117,118]
Ovarian cancer	MUC16/PSMA /5T4/NKG2DL /HER2	HER2	[119,120,121,122]
GBM	EGFRvIII/HER2 /IL13Rα2/EphA2	EGFRvIII/HER2/ IL13Rα	[124,129,131,132]