

# 1 Circular RNA Signature in Hepatocellular Carcinoma

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## 20 **Abstract**

21 Hepatocellular carcinoma (HCC) is one of the most common cancers in the world.  
22 Circular RNAs (circRNAs) are a new class of endogenous functional non-coding RNAs  
23 (ncRNAs), and have been demonstrated to play important roles in the development of  
24 HCC. This study aimed to explore the significance of circRNAs in HCC progression.  
25 HCC-associated circRNA expression profiles GSE94508 and GSE97332 were  
26 downloaded from the Gene Expression Omnibus database (GEO), and 87 differentially  
27 expressed circRNAs (DECs) between HCC tissues and paired non-cancer tissues were  
28 identified, including 76 up-regulated and 11 down-regulated circRNAs. Gene ontolog  
29 (GO) and pathway analyses of the host genes of these DECs suggested that these host  
30 genes were enriched in cell adhesion, cytosol, and protein binding, and were associated  
31 with tight junction and Wnt signaling pathways. CircRNA-miRNA interaction  
32 prediction identified 20 miRNAs that predispose to interact with DECs. Among these,  
33 four essential miRNAs, hsa-miR-7-5p, hsa-miR-145-5p, hsa-miR-203a-3p, and  
34 hsa-miR-192-5p, were reported to play pivotal roles in HCC progression by targeting  
35 multiple genes. Pathway analysis suggested that putative target genes of these essential  
36 miRNAs were involved in HCC-associated signaling pathways, such as Wnt, TGF- $\beta$ ,  
37 and Ras; whereas protein-protein network (PPI) analysis demonstrated that some  
38 validated target genes of these miRNAs, such as PIK3CA, AKT1, MYC, JUN, SMAD4,  
39 and SRC, were hub target genes as they have more counts of interacting protein. In the  
40 meantime, the deregulation of some DECs was validated in HCC cell lines HepG2  
41 compared with normal liver cell line L02 by quantitative real-time polymerase chain  
42 reaction (qRT-PCR) and the Sanger sequencing. This study identified a set of DECs in  
43 HCC, and provided a comprehensive understanding of the roles of these DECs in HCC

44 progression.

45 **Key words:** circular RNA, miRNA sponge, hepatocellular carcinoma, bioinformatic

46 analysis

## 47 **Introduction**

48 Hepatocellular carcinoma (HCC), the fifth common cancer in the world, ranks the  
49 third in cancer-related death [1]. Currently, the diagnosis of HCC is still poor, and  
50 therapeutic options are limited. Many HCC patients are not diagnosed until they are in  
51 advanced stages when curative therapies are too late to be applied; while for those  
52 patients who are eligible for surgical resection, liver transplantation or local ablation,  
53 although their life qualities could be improved by these therapies, their overall survival  
54 rates are still low. Therefore, novel biomarkers and therapeutic approaches are urgently  
55 needed to improve the overall survival of HCC patients.

56 Circular RNAs (circRNAs) are a new class of abundant endogenous functional  
57 non-coding RNAs (ncRNAs), and have been demonstrated to play important roles in  
58 different types of cancer, including gastric cancer, lung cancer, and HCC, etc.[2-4].  
59 They form covalently closed continuous loop structures with neither polarity nor  
60 polyadenylated tails, which make them more stable and abundant than their canonical  
61 linear transcripts from the same genes in cells [5, 6]. Except for tissues, circRNAs can  
62 also be found in different body fluids, such as saliva, blood, and urine, suggesting that  
63 they may serve as non-invasive circulating biomarkers for cancer diagnosis [7]. Studies  
64 showed that circRNAs may regulate gene expression at transcriptional,  
65 post-transcriptional, and translational levels. Specifically, circular exonic circRNAs  
66 (EcircRNAs), which predominantly exist in cytoplasm, harbors miRNA response  
67 elements (MREs), and may function as miRNA sponges by competitively binding to  
68 specific miRNAs to reduce their expression, resulting in enhanced expression of target  
69 genes of these miRNAs; while circular intronic RNAs (ciRNAs) or exon-intron  
70 circRNAs (EiciRNAs) are mainly accumulate in the nucleus, and may regulate gene

71 transcription and post-transcription [5, 8-10]. These suggest that circRNAs may  
72 participate in various biological processes, such as cell proliferation, apoptosis, and  
73 angiogenesis, and thus contribute to cancer progression.

74 Most recently, some circRNAs have been reported to be deregulated in HCC tissues,  
75 and the deregulation of these circRNAs may not only associate with clinicopathological  
76 features of HCC patients, but also impact on HCC progression by targeting specific  
77 miRNAs and proteins. Several circRNAs, such as circZKSCAN1, hsa\_circ\_0004018,  
78 and hsa\_circ\_0005075, have been shown as promising biomarkers for HCC diagnosis;  
79 whereas circMTO1 and circ-ITCH may serve as potential prognostic biomarkers for  
80 poor survival of HCC patients [11-15]. Furthermore, a few circRNAs have been  
81 reported to interfere with HCC progression by modulating the proliferation, apoptosis,  
82 migration and invasion via targeting different miRNAs and proteins. For example,  
83 circMTO1 **inhibits the** proliferation of HCC cells by sponging miR-9 and increasing the  
84 expression of miR-9 target gene p21, a cell cycle inhibitory protein; hsa\_circ\_100338  
85 **promotes** the migration and invasion of HCC cells by targeting miR-141; while ciRS-7  
86 **facilitates** the proliferation and invasion of HCC cells by competitively binding to  
87 **hsa-miR-7-5p** (miR-7) and **promoting** the expression of multiple miR-7 target genes,  
88 including CCNE1, PIK3CD, and EGFR [14, 16-19]. These results indicate that  
89 circRNAs may play critical roles in regulating HCC progression.

90 In current study, we downloaded the HCC-associated circRNA expression profiles  
91 GSE94508 and GSE97332 from the Gene Expression Omnibus database (GEO),  
92 analyzed the differentially expressed circRNAs (DECs) in both profiles between HCC  
93 liver tissues and paired non-tumor tissues, and investigated their possible target genes  
94 and pathways **involved in HCC** using bioinformatic approaches, to provided possible

95 functions and underlying mechanisms of these DECs in HCC tumorigenesis and  
96 progression.

## 97 **Materials and methods**

### 98 **Microarray analysis of gene expression**

99 Two circRNA expression profiles, GSE94508 and GSE97332, were downloaded from  
100 the GEO ([www.ncbi.nlm.nih.gov/geo/](http://www.ncbi.nlm.nih.gov/geo/)), both of which were completed on the  
101 Agilent-069978 Arraystar Human CircRNA microarray V1 GPL19978 platform [20].  
102 The GSE94508 dataset contained 5 pairs of HCC and paracancerous liver tissues, and  
103 the GSE97332 dataset contained 7 pairs of HCC and matched non-tumor liver tissues.

### 104 **Identification of DECs**

105 GEO2R [21] was used to analysis the raw data TXT files downloaded from GEO. The  
106 absolute value of log fold change (FC) > 1.0 and *p* value <0.05 were used as cut-off  
107 criteria. CircRNAs with statistical significance between HCC and non-tumor tissues in  
108 GSE94508 and GSE97332 were screened, separately. Then, circRNAs up-regulated or  
109 down-regulated in both profiles were selected and identified.

### 110 **Functional enrichment analysis**

111 **Gene ontolog (GO)** annotation, including biological process (BP), cellular component  
112 (CC), and molecular function (MF), was analyzed using the Database for Annotation,  
113 Visualization, and Integrated Discovery (DAVID; <https://david.ncifcrf.gov>) [22-24].  
114 Kyoto Encyclopedia of Genes and Genomes (KEGG) and Panther  
115 (<http://www.pantherdb.org/>) pathway analysis were used to classify genomic and gene  
116 functional information [25-26]. The significant enrichment results were obtained with *p*  
117 values <0.05.

### 118 **Prediction of circRNA-miRNA and miRNA-mRNA interactions**

119 Online tools miRDB (<http://www.mirdb.org/>) and circinteractome  
120 (<https://circinteractome.nia.nih.gov/>) were used to predict the possible interactions  
121 between circRNAs and miRNAs [27-29]; miRDB and TargetScan  
122 ([http://www.targetscan.org/mamm\\_31/](http://www.targetscan.org/mamm_31/)) were applied for predicting target genes of the  
123 four essential miRNAs; while mirTarBase  
124 (<http://mirtarbase.mbc.nctu.edu.tw/php/index.php>) was used to obtain experimentally  
125 strongly supported targets genes (by Reporter assay, Western blot, or qPCR) of these  
126 miRNAs [30-32].

### 127 **Construction of protein-protein interaction (PPI) network**

128 Experimentally supported target genes of the four essential miRNAs were put into the  
129 Search Tool for the Retrieval of Interacting Genes database (STRING;  
130 <https://string-db.org/>), and an interaction network chart with a combined score > 0.4 was  
131 saved and exported [33]. Subsequently, top 50 genes with more counts of interacting  
132 protein were selected, and **the PPI network was** visualized using Cytoscape software  
133 (version 3.6.1; <http://cytoscape.org/>) [34]. The values of gene interactions predicted by  
134 STRING were also imported into Cytoscape to identify hub genes among potential  
135 targets.

### 136 **Cell culture**

137 **HCC cell line HepG2 was cultured in Dulbecco's Modified Eagle Medium (DMEM,**  
138 **Gibco, USA), and human normal liver cell line L02 was cultured in 1640 in a**  
139 **humidified 37°C incubator with 5% CO<sub>2</sub>. Both media were supplemented with 10%**  
140 **FBS (Gibco.USA), 2 mmol/L L-glutamine and 100U/mL penicillin/100 µg/mL**  
141 **streptomycin (Life Technologies, CA, USA).**

### 142 **RNA extraction and quantitative real-time polymerase chain reaction (qRT-PCR)**

143 Total RNAs from HepG2 and L02 cells were extracted using TRIzol reagent (Life  
 144 Technologies, CA, USA) according to the manufacture's protocol. RNA quality and  
 145 quantity was measured using Synergy H4 Hybrid Multi-Mode Microplate Reader  
 146 (BioTek Instruments Inc, Winooski, VT, USA), Total RNAs were reversely transcribe  
 147 using HiScript Q RT SuperMix for qPCR with gDNA wiper (Vazyme Biotech, Nanjing,  
 148 China), and qPCR assays were performed in triplicate using AceQ qPCR SYBR Green  
 149 Master Mix kit (Vazyme Biotech, Nanjing, China) on the ABI QuantStudio 3 real-time  
 150 PCR system (ThermoFisher Scientific, USA). The convergent primers used for  
 151 detecting circRNAs were synthesized from Shanghai Generay Biotech (Shanghai,  
 152 China), and  $\beta$ -actin was used as an internal control. The sequences of primers for qPCR  
 153 were listed in Table 1, and the specificity of PCR products was evaluated by  
 154 dissociation curve analysis and the Sanger sequencing (Sango Biotech, Shanghai,  
 155 China).

156 **Table 1. CircRNA primers used for qPCR**

<b>Gene</b>	<b>Forward primer (5'-3')</b>	<b>Reverse primer (5'-3')</b>
has_circ_0001806	CCATCCCATCAGTTCATCCT	TTCACCTCCAAAGAGCATCC
has_circ_0003528	GTAACCAGCAGCCTGGACTC	GCAACTTGCTGACCAGAACA
has_circ_0008583	TTACGGGAGCAGATGATGAA	CCAAGAAGGAAGATGGGCTA
has_circ_0009910	CAGGTTCTGGACGTCAAAGG	TCACCTCAGCCATGTGTCTC
has_circ_0032704	TTGTTCTCATCGCAGCAGT	ATAGAGGCGCACGTCAAAC
has_circ_0065214	TCATGTCTGTGGGACTCTGC	GGGCGAGTAATCCTTCACAG
has_circ_0007762	CATTCAGATGGCACCTTGAC	GTGCCACATAGAGCCACTT
$\beta$ -actin	AGAAAATCTGGCACCAACC	CAGAGGCGTACAGGGATAGC

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### 158 **Statistical analysis**

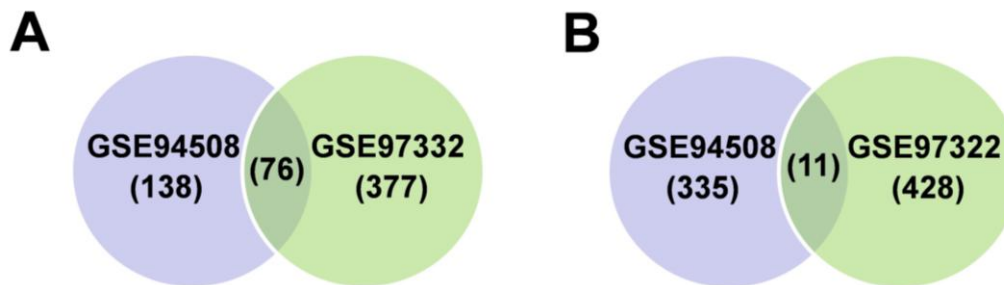
159 Statistical analyses were performed using GraphPad Prism 5 (GraphPad Software, CA,  
 160 USA). All Data were shown as mean  $\pm$  SEM. Student's *t*-test was used to compare the  
 161 differences of circRNA expression between L02 and HepG2 cells, and a *p* value <0.05  
 162 was considered statistically significant.



163 **Results**

164 **Selection of DECs**

165 Two circRNA expression profiles, including GSE94508 and GSE97332, were  
166 downloaded from GEO, and GEO2R method was applied to analysis DECs between  
167 HCC liver tissues and paired non-tumor tissues. Results showed that many circRNAs  
168 were differentially expressed in HCC tissues. In GSE94508, 560 circRNAs were  
169 identified to be differentially expressed, including 214 up-regulated and 346  
170 down-regulated circRNAs; while in GSE97332, 892 differentially expressed circRNAs  
171 were identified, including 453 up-regulated and 439 down-regulated circRNAs. Among  
172 them, 87 circRNAs, including 76 up-regulated and 11 down-regulated circRNAs, were  
173 observed in both circRNA expression profiles (Figure 1A and 1B, and Table 2).



174  
175 **Figure 1. Differentially expressed circular RNAs (DECs) between hepatocellular carcinoma**  
176 **(HCC) and paired non-tumor liver tissues.** (A-B) Venn diagram representing the overlap of the  
177 identified DECs in HCC tissues compared with paired non-tumor liver tissues from the results of  
178 microarray GSE94508 (5 pairs) and GSE97332 (7 pairs). (A) Up-regulated circRNAs in HCC tissues.  
179 (B) Down-regulated circRNAs in HCC tissues.

180 **Table 2.** Differentially expressed circRNAs (DECs) between hepatocellular carcinoma (HCC) and  
181 paired non-tumor **liver** tissues

No.	CircRNA_ID	Chromosome location	Gene symbol	Accession Number
<b>Up-regulated circRNAs</b>				
1	hsa_circ_0000291	chr11:35163017-35163328+	CD44	NM_001202557
2	hsa_circ_0000511	chr14:20811282-20811431-	RPPH1	NR_002312

3	hsa_circ_0000554	chr14:74551677-74551959+	LIN52	NM_001024674
4	hsa_circ_0000644	chr15:81195758-81195954-	KIAA1199	NM_018689
5	hsa_circ_0000673	chr16:11940357-11940700-	RSL1D1	NM_015659
6	hsa_circ_0000747	chr17:27209637-27210251+	FLOT2	NM_004475
7	hsa_circ_0000981	chr2:20240809-20240905-	LAPTM4A	NM_014713
8	hsa_circ_0001228	chr22:37868480-37870715-	MFNG	NM_002405
9	hsa_circ_0001279	chr3:33109721-33110462-	GLB1	NM_001079811
10	hsa_circ_0001338	chr3:128824688-128825122-	RAB43	NM_001204883
11	hsa_circ_0001489	chr5:59770958-59771235+	PDE4D	NM_001165899
12	hsa_circ_0001806	chr8:68018139-68028357+	CSPP1	NM_024790
13	hsa_circ_0001828	chr8:142139086-142139265+	DENND3	NM_014957
14	hsa_circ_0001834	chr9:2017333-2017502+	SMARCA2	NM_003070
15	hsa_circ_0001901	chr9:138773785-138774005-	CAMSAP1	NM_015447
16	hsa_circ_0001917	chrX:41519691-41530783-	CASK	NM_001126055
17	hsa_circ_0001955	chr15:64495280-64508912-	CSNK1G1	NM_022048
18	hsa_circ_0002191	chr9:97535283-97563284+	C9orf3	NM_001193331
19	hsa_circ_0002702	chr9:35546426-35548532+	RUSC2	NM_001135999
20	hsa_circ_0003528	chr5:134032815-134044578+	SEC24A	NM_021982
21	hsa_circ_0003645	chr16:19656207-19663412+	C16orf62	NM_020314
22	hsa_circ_0003892	chr19:11230767-11238761+	LDLR	NM_000527
23	hsa_circ_0003923	chr2:238933982-238940895+	UBE2F	NM_080678
24	hsa_circ_0003945	chr9:33953282-33956144-	UBAP2	NM_018449
25	hsa_circ_0003958	chr7:27668989-27672064-	HIBADH	NM_152740
26	hsa_circ_0004004	chr5:172359438-172362313+	ERGIC1	NM_001031711
27	hsa_circ_0004976	chr2:25990451-25994409-	ASXL2	NM_018263
28	hsa_circ_0005397	chr17:30500849-30503232+	RHOT1	NM_001033568
29	hsa_circ_0005785	chr12:110819556-110834257-	ANAPC7	NM_016238
30	hsa_circ_0006517	chr3:188202379-188242575+	LPP	NM_005578
31	hsa_circ_0006789	chrX:118544152-118544325+	SLC25A43	NM_145305
32	hsa_circ_0007196	chr3:50144199-50147121+	RBM5	NM_005778
33	hsa_circ_0008274	chr13:96485180-96489456-	UGGT2	NM_020121
34	hsa_circ_0008310	chr17:7849045-7849304+	CNTROB	NM_001037144
35	hsa_circ_0008563	chr1:21599191-21599404-	ECE1	NM_001113347
36	hsa_circ_0008583	chr3:196817782-196846401-	DLG1	NM_004087
37	hsa_circ_0009910	chr1:12049221-12052747+	MFN2	NM_014874
38	hsa_circ_0012107	chr1:44290402-44303983+	ST3GAL3	NM_174963
39	hsa_circ_0013048	chr1:82302569-82372915+	LPHN2	NM_012302
40	hsa_circ_0014879	chr1:160206924-160231148-	DCAF8	NR_028103
41	hsa_circ_0016404	chr1:212977661-212977993+	TATDN3	NM_001146171
42	hsa_circ_0018004	chr10:27024168-27024508+	PDSS1	NM_014317
43	hsa_circ_0026143	chr12:49722709-49723237+	TROAP	NM_005480
44	hsa_circ_0027478	chr12:69109406-69125499+	NUP107	NM_020401
45	hsa_circ_0028196	chr12:110826316-110834257-	ANAPC7	NM_016238
46	hsa_circ_0029325	chr12:125270902-125284788-	SCARB1	NM_005505
47	hsa_circ_0031132	chr14:21464367-21464870+	METTL17	NM_022734
48	hsa_circ_0032704	chr14:76173360-76187046+	TTLL5	NM_015072
49	hsa_circ_0033408	chr14:102842986-102931626+	TECPR2	NM_014844
50	hsa_circ_0036005	chr15:67004005-67008836+	SMAD6	NR_027654
51	hsa_circ_0038718	chr16:27351506-27353580+	IL4R	NM_000418
52	hsa_circ_0039053	chr16:30510394-30510810+	ITGAL	NM_002209
53	hsa_circ_0043438	chr17:37583953-37584043-	MED1	NM_004774
54	hsa_circ_0045006	chr17:59152280-59161925+	BCAS3	NM_001099432
55	hsa_circ_0045862	chr17:76082583-76083174+	TNRC6C	NM_001142640
56	hsa_circ_0048937	chr19:6934997-6937659+	EMR1	NM_001974
57	hsa_circ_0049997	chr19:17626981-17628198+	PGLS	NM_012088

58	hsa_circ_0051220	chr19:41884185-41884424+	TMEM91	NM_001098821
59	hsa_circ_0051732	chr19:48660270-48660397-	LIG1	NM_000234
60	hsa_circ_0060055	chr20:33866724-33872064-	EIF6	NM_181468
61	hsa_circ_0062682	chr22:26936754-26937684-	TPST2	NM_001008566
62	hsa_circ_0064288	chr3:11348416-11350535+	ATG7	NM_006395
63	hsa_circ_0065214	chr3:47466974-47476627-	SCAP	NM_012235
64	hsa_circ_0067934	chr3:170013698-170015181+	PRKCI	NM_002740
65	hsa_circ_0072088	chr5:32379220-32388780-	ZFR	NM_016107
66	hsa_circ_0073271	chr5:88044886-88047860-	MEF2C	NM_002397
67	hsa_circ_0074903	chr5:168110970-168112932-	SLIT3	NM_003062
68	hsa_circ_0078738	chr6:170033042-170058454-	WDR27	NM_182552
69	hsa_circ_0082182	chr7:128317617-128323309+	FAM71F2	NM_001128926
70	hsa_circ_0082564	chr7:137569740-137570248-	CREB3L2	NM_194071
71	hsa_circ_0083766	chr8:27382878-27394372+	EPHX2	NM_001979
72	hsa_circ_0091331	chrX:109310574-109352374+	TMEM164	NM_032227
73	hsa_circ_0092283	chr22:36681395-36681695-	MYH9	NM_002473
74	hsa_circ_0092298	chr5:178287348-178287568+	ZNF354B	NM_058230
75	hsa_circ_0092310	chr6:43467278-43467478+	TJAP1	NM_001146018
76	hsa_circ_0092327	chr19:17972481-17972901+	RPL18A	NM_000980

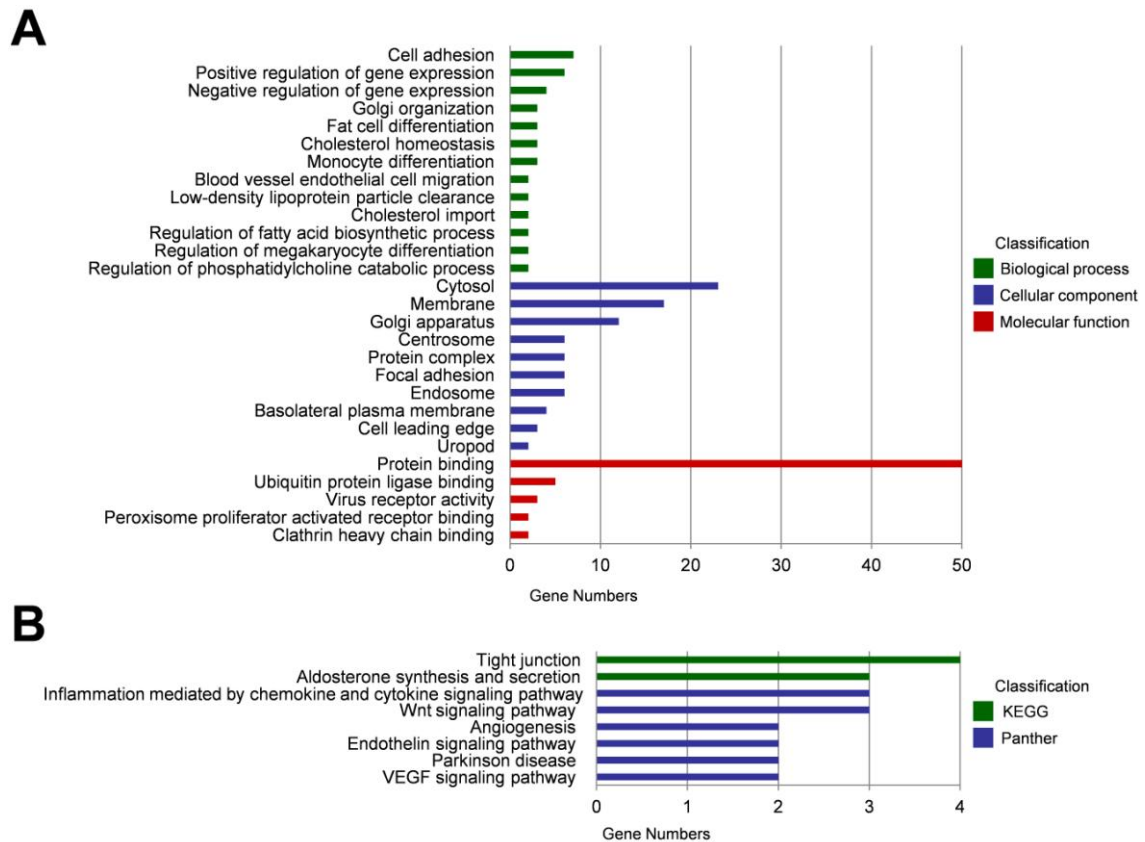
#### Down-regulated circRNAs

1	hsa_circ_0003859	chr19:41122794-41125398+	LTBP4	NM_001042544
2	hsa_circ_0004913	chr17:62248459-62265775-	TEX2	NM_018469
3	hsa_circ_0005428	chr1:47761436-47770668-	STIL	NM_001048166
4	hsa_circ_0007762	chr6:147581750-147599340+	STXBP5	NM_001127715
5	hsa_circ_0008160	chr21:38439561-38441924-	PIGP	NR_028352
6	hsa_circ_0036044	chr15:68434283-68457142+	PIAS1	NM_016166
7	hsa_circ_0038929	chr16:29810948-29811369+	KIF22	NM_007317
8	hsa_circ_0043302	chr17:36353600-36353765-	LOC440434	NR_036750
9	hsa_circ_0051637	chr19:47285639-47285806-	SLC1A5	NM_005628
10	hsa_circ_0056548	chr2:135878388-135881816+	RAB3GAP1	NM_001172435
11	hsa_circ_0078279	chr6:151226785-151293194+	MTHFD1L	NM_001242767

## 182 GO and pathway analysis of the host genes of DECs

183 Since some circRNAs function by regulating their parental genes, GO analysis was  
184 carried out to annotate the host genes of the 87 DECs. Biological process analysis  
185 showed that these host genes were enriched in cell adhesion, positive/ negative  
186 regulation of gene expression, and Golgi organization. Cellular component analysis  
187 indicated that these host genes were remarkably enriched in cytosol, membrane and  
188 Golgi apparatus. For molecular function, the most significantly enriched GO term was  
189 protein binding (Figure 2A). KEGG and Panther pathway analysis demonstrated that  
190 tight junction, aldosterone synthesis and secretion, inflammation mediated by  
191 chemokine and cytokine signaling pathway, and Wnt signaling pathway may involved

192 in circRNA-mediated regulatory network in the pathogenesis of HCC (Figure 2B).



193

194 **Figure 2. Functional annotation of the host genes of DEC.** (A) Gene ontolog (GO) enrichment  
 195 analyses of the host genes of DEC, including biological process, cellular component, and **molecular**  
 196 function. (B) KEGG and Panther pathway enrichment analyses of the host genes of DEC.

197 **Prediction of circRNA-miRNA interactions**

198 Increasing evidences have demonstrated that circRNAs may competitively bind to  
 199 miRNAs and increase the expression of the target genes of these miRNAs. For the 87  
 200 DEC, online tools miRDB and circinteractome were used to predict the possible  
 201 interactions between circRNAs and miRNAs, and 343 and 1085 circRNA-miRNA  
 202 interactions were obtained from miRDB and circinteractome, respectively. **Furthermore,**  
 203 20 consensus miRNAs from both prediction tools were identified, and DEC potentially  
 204 bind to these miRNAs were shown in Table 3. Results showed that one specific  
 205 circRNA may bind to more miRNAs, while different circRNAs could interact with one

206 specific miRNAs, indicating that circRNAs may impact on HCC progression by  
 207 modulating various miRNAs.

208 **Table 3.** Consensus miRNAs and DECs potentially interact with them.

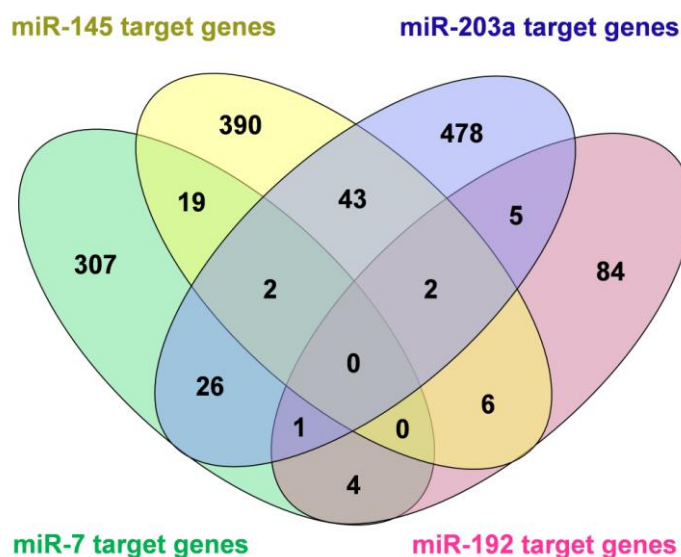
MiRNAs	DECs predicted to interact with
hsa-miR-1248	hsa_circ_0032704, hsa_circ_0000673, hsa_circ_0039053, hsa_circ_0016404, hsa_circ_0028196, hsa_circ_0003958, hsa_circ_0004976, hsa_circ_0008583, hsa_circ_0003528, hsa_circ_0001806, hsa_circ_0078279, hsa_circ_0005428
hsa-miR-1236-3p	hsa_circ_0032704, hsa_circ_0016404, hsa_circ_0027478, hsa_circ_0065214, hsa_circ_0001806, hsa_circ_0065214, hsa_circ_0002191, hsa_circ_0014879, hsa_circ_0007762, hsa_circ_0003859
hsa-miR-330-5p	hsa_circ_0001338, hsa_circ_0000291, hsa_circ_0005397, hsa_circ_0003892, hsa_circ_0007196, hsa_circ_0082182, hsa_circ_0002702, hsa_circ_0043302, hsa_circ_0026143, hsa_circ_0001955
hsa-miR-615-5p	hsa_circ_0008563, hsa_circ_0045006, hsa_circ_0092283, hsa_circ_0009910, hsa_circ_0002702, hsa_circ_0001228, hsa_circ_0006789, hsa_circ_0000511, hsa_circ_0003859
hsa-miR-1299	hsa_circ_0039053, hsa_circ_0002702, hsa_circ_0003645, hsa_circ_0002191, hsa_circ_0056548, hsa_circ_0007762, hsa_circ_0001806, hsa_circ_0001955, hsa_circ_0083766
hsa-miR-486-3p	hsa_circ_0002702, hsa_circ_0003859, hsa_circ_0001806, hsa_circ_0000554, hsa_circ_0000291, hsa_circ_0001806, hsa_circ_0033408, hsa_circ_0092310
hsa-miR-370-3p	hsa_circ_0004976, hsa_circ_0003528, hsa_circ_0074903, hsa_circ_0003945, hsa_circ_0001338, hsa_circ_0026143, hsa_circ_0062682
hsa-miR-589-5p	hsa_circ_0005428, hsa_circ_0000291, hsa_circ_0003892, hsa_circ_0008583, hsa_circ_0002191, hsa_circ_0078279
hsa-miR-145-5p	hsa_circ_0001955, hsa_circ_0028196, hsa_circ_0083766, hsa_circ_0001489, hsa_circ_0027478, hsa_circ_0005428
hsa-miR-1286	hsa_circ_0000747, hsa_circ_0026143, hsa_circ_0038718, hsa_circ_0051732, hsa_circ_0003958, hsa_circ_0002702
hsa-miR-7-5p	hsa_circ_0028196, hsa_circ_0065214, hsa_circ_0005785, hsa_circ_0003892, hsa_circ_0001489, hsa_circ_0092327
hsa-miR-637	hsa_circ_0016404, hsa_circ_0039053, hsa_circ_0026143, hsa_circ_0004913, hsa_circ_0003892, hsa_circ_0005397
hsa-miR-377-3p	hsa_circ_0036044, hsa_circ_0003859, hsa_circ_0072088, hsa_circ_0008274, hsa_circ_0003645
hsa-miR-203a-3p	hsa_circ_0039053, hsa_circ_0013048, hsa_circ_0032704, hsa_circ_0078279
hsa-miR-1296-5p	hsa_circ_0001955, hsa_circ_0001489, hsa_circ_0001279, hsa_circ_0082564
hsa-miR-192-5p	hsa_circ_0003528, hsa_circ_0078738, hsa_circ_0007196
hsa-miR-1256	hsa_circ_0033408, hsa_circ_0002191, hsa_circ_0001955
hsa-miR-1289	hsa_circ_0049997, hsa_circ_0004976, hsa_circ_0008160
hsa-miR-215-5p	hsa_circ_0003528, hsa_circ_0078738
hsa-miR-1261	hsa_circ_0072088, hsa_circ_0009910

209

210 **Target genes of some essential miRNAs and their function analysis**

211 Among the 20 consensus miRNAs, four essential miRNAs, including miR-7,  
 212 hsa-miR-145-5p (miR-145), hsa-miR-203a-3p (miR-203a), and hsa-miR-192-5p  
 213 (miR-192), were reported to be down-regulated in HCC, and play pivotal roles in HCC

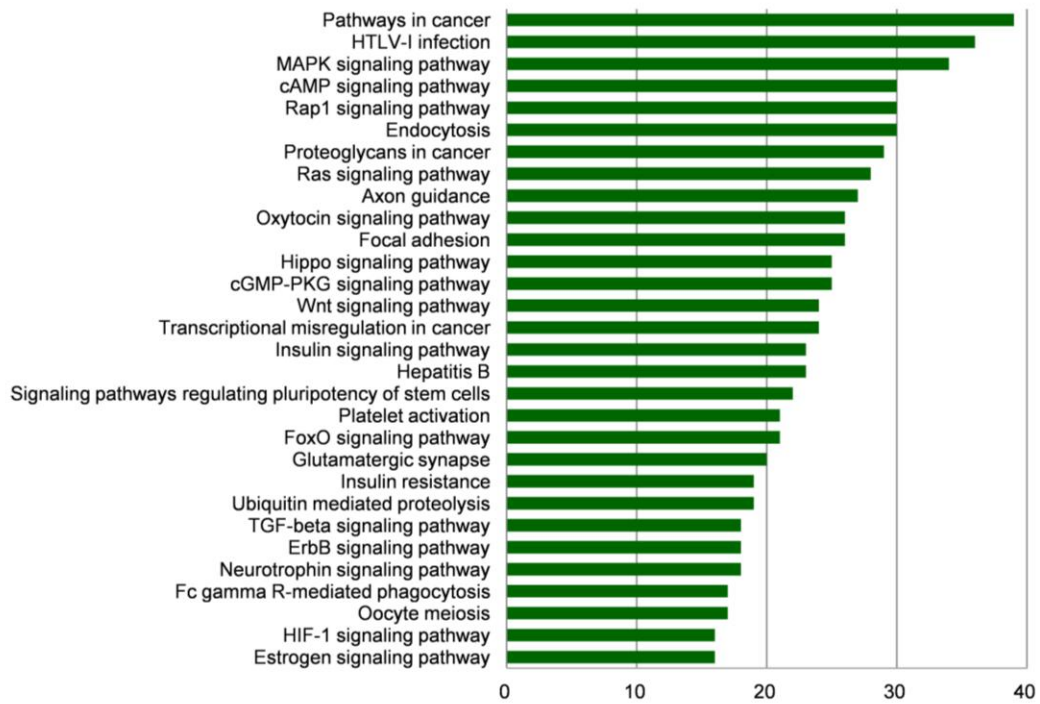
214 progression by targeting multiple protein-coding genes. Using online tools miRDB and  
 215 TargetScan, 1480 target genes of these four miRNAs were predicted, and **then** presented  
 216 in Venn diagram (Figure 3). Two common target genes (SLC4A4 and ZDHHC9) were  
 217 found for miR-7, miR-145 and miR-203a; two target genes (CPEB4, DYRK1A) were  
 218 found for miR-145, miR-203a, and miR-192; and one target gene (MECP2) for miR-7,  
 219 miR-203a and miR-192; while none of these genes was predicted to be targeted by all  
 220 the four miRNAs. KEGG and Panther pathway analyses revealed that these target genes  
 221 **were** presented in many **HCC-associated** signaling pathways, including Wnt, TGF- $\beta$ ,  
 222 Ras, etc., suggesting their possible roles in HCC pathogenesis (Figure 4).



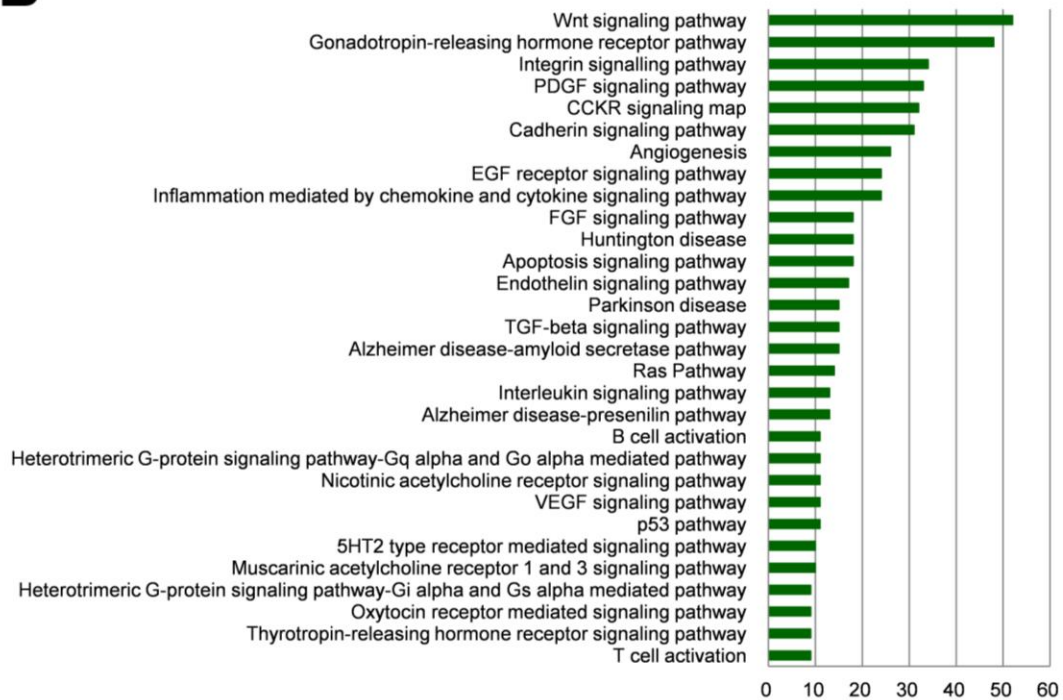
223  
 224 **Figure 3. Venn diagram of target genes of the four essential microRNAs (miRNAs), including**  
 225 **hsa-miR-7-5p (miR-7), hsa-miR -145-5p (miR-145), hsa-miR-203a-3p (miR-203), and**  
 226 **hsa-miR-192-5p (miR-192). 1480** target genes of the four miRNAs were predicted using online  
 227 tools miRDB and TargetScan.



**A**



**B**



228

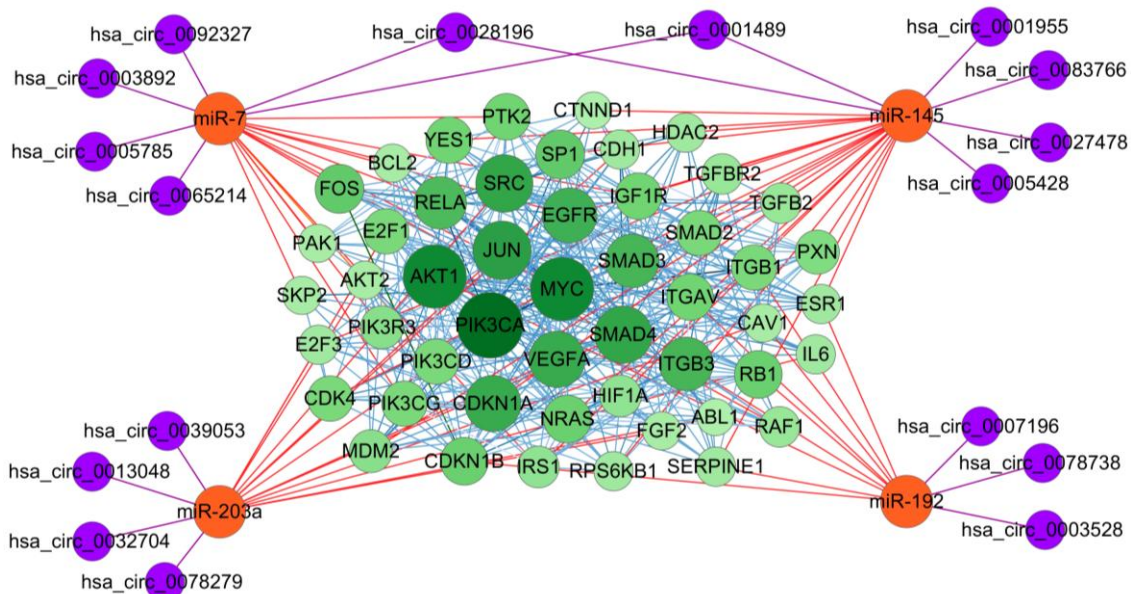
229 **Figure 4. Pathway analysis of target genes of the four essential miRNAs.** (A-B) KEGG pathway

230 (A) and Panther pathway (B) functional analyses of these target genes.

231 **Construction of essential miRNAs-centered regulatory network**

232 To analysis the significance of target genes of the four essential miRNAs, their

233 experimentally strongly supported targets genes were obtained from mirTarBase.  
 234 Among these, some genes, such as ABCC1 and CUL5, have been demonstrated to be  
 235 targeted by more than one essential miRNAs, suggesting a complex regulatory network  
 236 involving the four essential miRNAs and their target genes. Furthermore,  
 237 protein-protein interaction (PPI) was analyzed using online tool STRING, and the PPI  
 238 network of the top 50 genes which have more counts of interacting protein was  
 239 visualized using Cytoscape software (Figure 5). Subsequently, four essential miRNAs  
 240 and their potential interacting circRNAs were combined into the regulatory network. In  
 241 this figure, proteins with bigger circles showed more interactions with other proteins  
 242 than those with smaller circles.

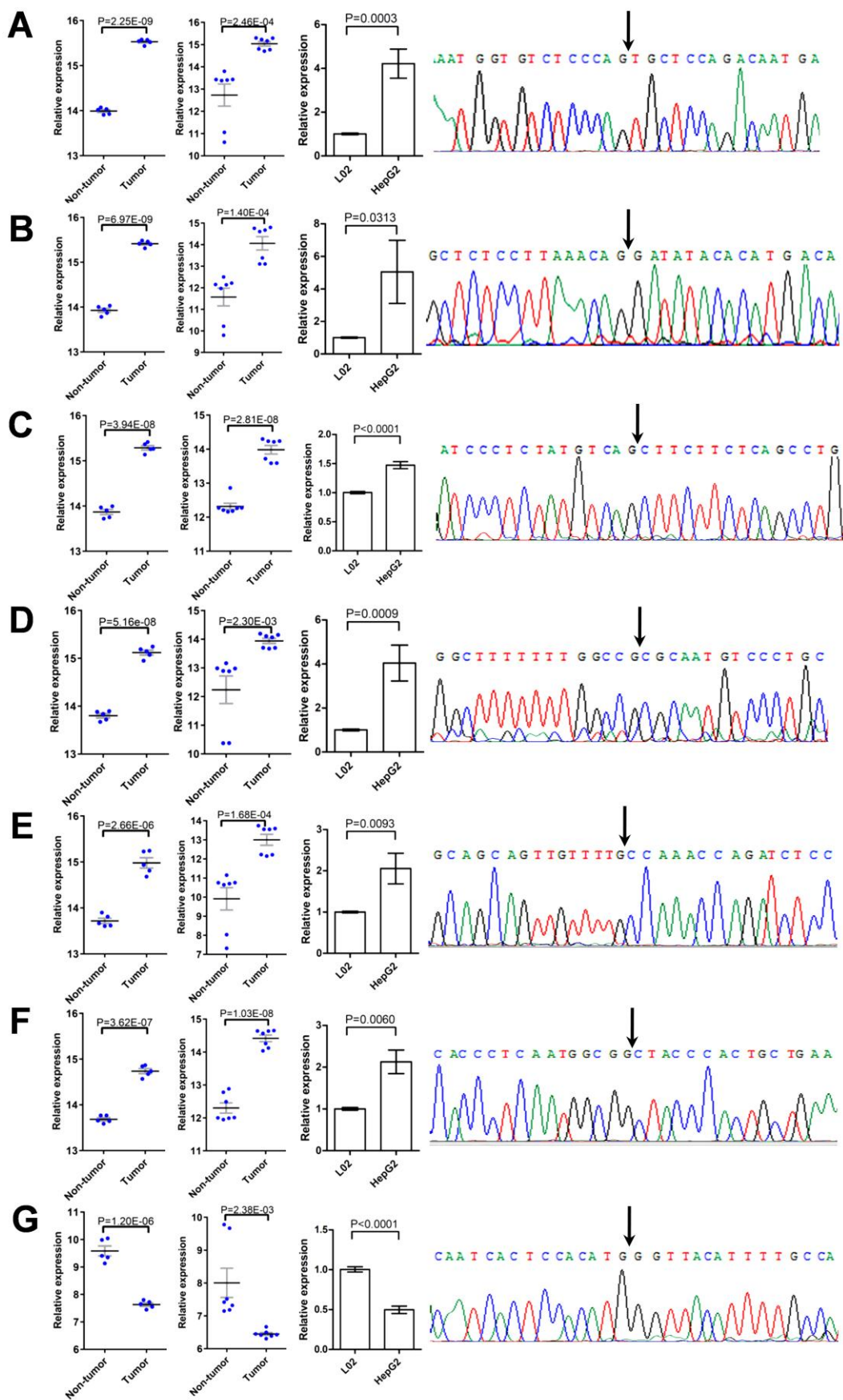


243  
 244 **Figure 5. Essential miRNAs-centered circRNA-miRNA-target gene network.** The  
 245 experimentally supported target genes of the four essential miRNAs were obtained using online tool  
 246 mirTarBase. MiRNA-mRNA interaction was represented as orange edges, protein-protein interaction  
 247 was represented as blue edges, and circRNA-miRNA interaction was represented as purple edges.  
 248 PIK3CA, AKT1, MYC, JUN, SMAD4, and SRC were shown as hub target genes as they have more  
 249 counts of interacting protein.



250 **Validation of DECs**

251 To verify the deregulation of DECs, nine of them were randomly chosen for further  
252 validation. The expression of these DECs in L02 and HepG2 cells were detected using  
253 qRT-PCR, and the specificity of qPCR products was evaluated by dissociation curve  
254 analysis and sequencing. Among them, the expression of two circRNAs, including  
255 hsa\_circ\_0001489 and hsa\_circ\_0039053, was low in both cell lines, and was failed to  
256 be detected. Six circRNAs were validated to be greatly up-regulated in HepG2 cells  
257 compared with L02 cells, including hsa\_circ\_0001806, hsa\_circ\_0003528,  
258 hsa\_circ\_0008583, hsa\_circ\_0009910, hsa\_circ\_0032704, and hsa\_circ\_0065214  
259 (Figure 6A-6F); while hsa\_circ\_0007762 was found to be greatly down-regulated in  
260 HepG2 cells compared with L02 cells (Figure 6G). The expression pattern of these  
261 circRNAs in HCC cell lines was similar to that in HCC specimens from the two GEO  
262 HCC datasets.



264 **Figure 6. Validation of DECs.** (A-G) The deregulation of seven DECs, including hsa\_circ\_0001806  
265 (A), hsa\_circ\_0003528 (B), hsa\_circ\_0008583 (C), hsa\_circ\_0009910 (D), hsa\_circ\_0032704 (E),  
266 hsa\_circ\_0065214 (F), and hsa\_circ\_0007762 (G), was validated by qRT-PCR and sequencing. For  
267 each DEC, from left to right: comparison of relative expression of the DEC between HCC tissues  
268 and paired non-tumor tissues, as extracted from GSE94508 (five pairs) and GSE97332 (seven pairs),  
269 respectively; comparison of relative expression of the DEC between HCC cell line HepG2 and  
270 normal liver cell line L02, as determined by qRT-PCR; and the specificity of the convergent PCR  
271 products, as verified by the Sanger sequencing of the DEC in back-splice junction. Data were  
272 shown as mean  $\pm$  SEM, and student's *t*-test was used to compare the differences of  
273 circRNA expression between two groups.

## 274 **Discussion**

275 Emerging evidences indicate that circRNAs are frequently deregulated in HCC tissues.  
276 Some deregulated circRNAs are promising biomarkers for HCC diagnosis and  
277 prognosis, while others may regulate HCC progression through diverse mechanisms by  
278 functioning as miRNA sponges, RNA-binding protein sponges, or transcriptional  
279 regulators [4]. In the current study, we identified 87 DECs from the HCC circRNA  
280 expression profiles of GSE94508 and GSE97332 downloaded from GEO database, and  
281 analyzed the functions and possible underlying mechanisms of these DECs in HCC  
282 tumorigenesis and progression using bioinformatic methods. In the meantime, the  
283 deregulation of some DECs was validated in HCC cells by qRT-PCR and the Sanger  
284 sequencing.

285 Among the 87 DECs identified in our study, four up-regulated circRNAs, including  
286 hsa\_circ\_0000673, hsa\_circRNA\_0072088, hsa\_circ\_0009910, and hsa\_circ\_0067934,  
287 were reported to play important roles in the progression of different cancers, such as  
288 HCC, gastric cancer (GC), colorectal cancer (CRC), and esophageal squamous cell

289 carcinoma (ESCC), etc. [35-40]. Two circRNAs, hsa\_circ\_0000673 and  
290 hsa\_circRNA\_0072088, were up-regulated in HCC and lung cancer, separately, and  
291 play tumor-promoting roles by modulating miR-767-3p/SET axis and  
292 miR-4302/znf121/MYC axis, respectively [35, 37]. However, they were down-regulated,  
293 and may act as tumor suppressors in other cancers. Hsa\_circ\_0000673 was reported to  
294 inhibit tumor suppressor gene RUNX3 by targeting miR-532-5p, leading to inhibition of  
295 GC progression; while hsa\_circRNA\_0072088 promote FOXO4 by targeting  
296 miR-532-3p, resulting in suppression of CRC [36, 38]. Other two circRNAs,  
297 hsa\_circ\_0009910 and hsa\_circ\_0067934, were demonstrated to be up-regulated in  
298 osteosarcoma and ESCC, separately, and play oncogenic roles in these cancers.  
299 Hsa\_circ\_0009910 was capable of sponging miR-499a and enhancing the expression of  
300 its target gene IL6R, and thus accelerating carcinogenesis of osteosarcoma; while  
301 hsa\_circ\_0067934 could promote the proliferation and migration of ESCC cells, and its  
302 expression level in ESCC was associated with tumor differentiation and TNM stages  
303 [39, 40]. Interestingly, our study demonstrated the up-regulation of hsa\_circ\_009910 in  
304 HCC, indicating its possible role in HCC progression. The precise function and possible  
305 mechanisms of this circRNA in HCC deserves further investigation.

306 Recent research works suggest that some circRNAs may regulate the transcription  
307 of their parental genes [41]. In the current study, the possible GO functional terms and  
308 signaling pathways of the host genes of these DECs were characterized. Results showed  
309 that these host genes were enriched in cell adhesion, cytosol, and protein binding, all of  
310 which were associated with HCC progression. Pathway analysis from KEGG and  
311 Panther demonstrated that tight junction and Wnt signaling pathway are among the most  
312 relevant pathways for HCC. Tight junction signaling contributes to pathogenesis of GC

313 and CRC, plays functional roles in epithelial-to-mesenchymal transition and viral entry,  
314 and could be used as potential targets for gastrointestinal and liver disease; while Wnt  
315 signaling pathway is well known to impact on hepatocarcinogenesis and HCC  
316 progression [42-46]. These results suggested that these DEC's may participate in HCC  
317 progression by regulating their parental genes.

318 Many miRNAs have been demonstrated to regulate HCC progression by modulating  
319 the expression of one or more HCC-associated genes [47, 48]. However, the functions  
320 of miRNAs can be interfered by their possible interaction with circRNAs and lncRNAs.  
321 One of the most interesting examples is the interaction between ciRS-7 and miR-7.  
322 CiRS-7 is a special and unique natural circRNA which harbors more than 70 binding  
323 sites for miR-7, which could bind to miR-7 and facilitate the expression of miR-7 target  
324 genes, such as CCNE1, PIK3CD, and EGFR, and thus block the inhibitory effect of  
325 miR-7 on HCC [17-19]. Similarly, circRNAs circMTO1 and circHIPK3 were found to  
326 modulate HCC progression by competitively bind to miR-9 and miR-124-3p, and  
327 increase the expression of multiple oncogenic genes, such as p21, Norch1, AQP3, etc.  
328 [14, 49]. In the current study, the interactions between DEC's and miRNAs were  
329 predicted. Among all the DEC's, hsa\_circ\_0005428 contains the most number of  
330 interactions and could potentially bind to 16 different miRNAs, whereas  
331 hsa\_circ\_0001834 and hsa\_circ\_000511 have only one potential miRNA binding site.  
332 Among the top 20 miRNAs which predispose to interact with DEC's, each one contains  
333 at least two potential DEC's binding sites. Specially, hsa-miR-1248 has as many as 12  
334 DEC's binding sites. Given the importance of miRNAs in HCC progression, it is  
335 possible that DEC's could impact on HCC progression by targeting specific miRNAs to  
336 increasing the expression of their target genes.

337 Among the top 20 miRNAs, four essential miRNAs, including miR-7, miR-145,  
338 miR-203, and miR-192, were reported to be down-regulated in HCC, and could inhibit  
339 HCC progression by modulating the expression of multiple target genes; while their  
340 modulation on target genes may be blocked by their interaction with non-coding RNAs.  
341 MiR-7 could be sponged by ciRS-7 and lncRNA KCNQ1OT1, and reduced expression  
342 of miR-7 **leads** to increased expression of its target genes CCNE1, PIK3CD, EGFR, and  
343 ABCC1, resulting in promotion of HCC progression [18, 19, 50]; whereas miR-145  
344 could be blocked by lincRNA-ROR and pseudogene OCT4-pg4, and the reduction of  
345 this miRNA **led to** enhanced expression of its target genes RAD18, ZEB2, and OCT4,  
346 which in turn **aggravates** the development of HCC [51-53]. MiR-203 could interact with  
347 three lncRNAs, including CRNDE, UCA1 and HULC, and the interaction of which  
348 greatly elevated the expression of miR-203 target genes BCAT1, SNAI2, and ADAM9,  
349 leading to acceleration of HCC progression [54-56]; while miR-192, however, could be  
350 sponged by lncRNA HOTTIP, and the decrease of this miRNA led to exacerbated  
351 expression of its target gene GLS1, and resulting in enhanced progression of HCC [57].  
352 Regarding to their pivotal roles in HCC progression, these **four** essential miRNAs were  
353 selected for further analysis. Their predicted and validated target genes were obtained,  
354 and pathway and protein-protein interaction network analyses were carried out to  
355 investigate the possible functions and related pathways of these target genes in HCC  
356 progression. KEGG and Panther pathway analyses suggested that **the** predicted target  
357 genes **of these miRNAs** are involved in various pathways, including Wnt, TGF- $\beta$ ,  
358 oxytocin, Ras, etc., all of which are closely related to hepatogenesis and HCC  
359 progression. **A four essential miRNAs-centered circRNA-miRNA-mRNA network was**  
360 **established by combination of the potential interacting DECs of the four miRNAs with**

361 the PPI network based on the top 50 experimentally supported target genes of these  
362 miRNAs. Results suggested that some target genes of these miRNAs, such as PIK3CA,  
363 AKT1, MYC, JUN, SMAD4, and SRC, may impact on cell function by interacting with  
364 different molecules in cells and interfering with various signaling pathways, while  
365 specific circRNAs may control the expression of these genes by indirectly targeting  
366 essential miRNAs. As expected, we found that the expression of two circRNAs,  
367 including hsa\_circ\_0032704 and hsa\_circ\_0065214, were greatly increased in HCC  
368 tissues and cell lines, suggesting that these two circRNAs may function in HCC  
369 progression by targeting HCC suppressor genes miR-203a and miR-7, respectively,  
370 leading to enhanced expression of oncogenic proteins and promotion of HCC  
371 progression. In following investigations, the cellular location of these two circRNAs  
372 needs to be determined, and their function and molecular mechanisms, including  
373 possible interactions with miRNAs, need to be explored.

374 In conclusion, this study reveals aberrant circRNA profile of HCC, and discussed the  
375 possible roles of these deregulated circRNAs in HCC progression. Further  
376 investigations are needed to fully elucidate the function and underlying mechanisms of  
377 these deregulated circRNAs and their regulatory networks in tumorigenesis and HCC  
378 progression.

### 379 **Abbreviations**

380 HCC, Hepatocellular carcinoma; circRNAs, circular RNAs; ncRNAs, non-coding  
381 RNAs; GEO, the Gene Expression Omnibus database; DECs, differentially expressed  
382 circRNAs; GO, Gene ontolog; PPI, protein-protein network; qRT-PCR, quantitative  
383 real-time polymerase chain reaction; EcircRNAs, circular exonic circRNAs; MREs,  
384 miRNA response elements; ciRNAs, circular intronic RNAs; EIciRNAs, exon-intron

385 circRNAs; miR-7, hsa-miR-7-5p; FC, fold change; BP, biological process; CC, cellular  
386 component; MF, molecular function; DAVID, the Database for Annotation,  
387 Visualization, and Integrated Discovery; KEGG, Kyoto Encyclopedia of Genes and  
388 Genomes; STRING, the Search Tool for the Retrieval of Interacting Genes database;  
389 miR-145, hsa-miR-145-5p; miR-203a, hsa-miR-203a-3p; miR-192, hsa-miR-192-5p;  
390 GC, gastric cancer; CRC, colorectal cancer; ESCC, esophageal squamous cell  
391 carcinoma.

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## 398 **Competing Interests:**

399 The authors have declared that no competing interest exists.



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